

APPROVED

by the decision of the Supervisory
Board of UKRAINIAN UNIVERSAL
EXCHANGE LIMITED LIABILITY
COMPANY

Minutes of the meeting of the
Supervisory Board

№ 12 of January 17, 2024

Chairman of the Supervisory Board

 O. Makovyi

REGULATIONS
on the organization and conduct of exchange trading
on purchase and sale of untreated timber and lumber
on the commodity exchange -
Limited Liability Company
"UKRAINIAN UNIVERSAL EXCHANGE"
(new edition)

1. GENERAL PROVISIONS

1.1. Regulations on the organization and conduct of exchange auctions for the purchase and sale of untreated timber and lumber on the commodity exchange - Limited Liability Company "UKRAINIAN UNIVERSAL EXCHANGE" (hereinafter - the Regulations) is developed in accordance with the internal documents of the Limited Liability Company "UKRAINIAN UNIVERSAL EXCHANGE" (hereinafter - the Exchange), the current legislation of Ukraine and regulates legal relations that arise between participants in exchange trades (their clients) and between participants in exchange trades, their clients agents and Exchange as a professional participant in organized commodity markets and capital markets, and establishes the procedure for organizing and conducting exchange trades in the field of "Untreated timber and lumber", conducting exchange transactions, concluding / executing exchange agreements (exchange agreements), dispute resolution of these issues and liability for violation of this Regulations.

1.2. This Regulations regulates the procedure for organizing and conducting of exchange trades for the purchase and sale of untreated timber/firewood/lumber by business entities, in particular, exchange trades for the sale of untreated timber, by enterprises that are permanent forest users, using the technology of one-way auction for purchase and one-way auction for sale in the direction of "Untreated timber and lumber" (hereinafter referred to as electronic auctions, auctions).

1.3. The Exchange organizes and conducts exchange auctions for the purchase and sale of untreated timber/firewood/lumber in accordance with the auction schedule, which is posted on the Exchange's website in the form of an auction calendar.

1.4. A member of the Exchange admitted by the Exchange to electronic auctions in accordance with this Regulations confirms that he is acquainted with this Regulations, recognizes and undertakes to comply with it.

This Regulations and amendments (additions) to it are approved by the decision of the Supervisory Board of the Limited Liability Company "UKRAINIAN UNIVERSAL EXCHANGE" (hereinafter - the Supervisory Board) and are mandatory from the date of entry into force of such Regulations (changes) amendments) to the Regulations) for all auction participants (their clients), members of the Exchange, employees of the Exchange, agents and persons admitted to the auctions on the Exchange in accordance with this Regulations. The Regulations, changes and additions to it come into force from the moment of its / their approval by the decision of the Supervisory Board of the Exchange, unless otherwise determined by the decision of the Supervisory Board.

1.5. All Annexes to the Regulations, which are directly referenced in the Regulations, are its components and integral part.

1.6. The Exchange shall bring to the attention of interested parties this Regulations, amendments to it, other information about its activities by posting such information on the official website of the Exchange on the Internet at: **www.uub.com.ua**. The Exchange may additionally use other methods of informing auction participants and other interested persons in accordance with this Regulations.

1.7. In the Regulations, the terms are used in the following meaning:

analogue of handwritten signature (AHS) - a simple electronic signature obtained as a result of the Exchange providing the auction participant with personal parameters of access to the ETS, and allows identifying the auction participant, establishing the absence of distortion of information in the documents and actions of the auction participant in the ETS. The ETS shall be used in the ETS by auction participants - non-residents of Ukraine, as well as in the other cases stipulated by the Regulations;

agent - legal entity or natural person - an entrepreneur who meets the criteria defined by the Exchange, and, on the basis of an agency agreement concluded with the Exchange, carries out activities consisting in providing on behalf, in the interests and under the control of the Exchange services for information support

and consulting services of exchange traders to which it is involved by the Exchange, and the performance of other actions provided for in this Regulations, other internal documents of the Exchange, the Agency Agreement and the Public Agreement;

agency agreement -a bilateral contract concluded between the Exchange and the agent for the performance of agency functions;

ETS administrator -a representative of the Exchange, who has the authority to monitor compliance with the Auction Participants' Regulations during the organization and conduct of the electronic auction and perform other actions in accordance with this Regulations;

exchange (auction) certificate - a document created in the electronic trading system and certifying the fact of concluding an exchange agreement for the purchase and sale of a certain volume of untreated timber/firewood/lumber at a certain price (for auctions for the purchase/sale of firewood, where the buyer/seller is a non-resident of Ukraine, taking into account the specifics established by this Regulations), and is the basis for concluding a contract of sale of untreated timber/firewood/lumber between the buyer and the seller; the exchange (auction) certificate displays information about the bidders who became parties to the exchange agreement and their clients (if the bidder(s) represented the interests of the client(s));

exchange fee -payment for the services of the Exchange for the organization and conduct of the auction, paid by the winner of the auction (buyer) in auctions for the sale of untreated timber / wood / lumber or the initiator of the auction (buyer) in auctions for the purchase of untreated timber / wood / lumber;

website -the official website of the Exchange on the Internet at: www.uub.com.ua;

data processing fee -payment for the services of the Exchange or the services of an agent for processing the application (s) for participation in the auction (s) of a separate trading session, paid by the participant (buyer) to the account of the Exchange or agent under the conditions specified in this Regulations;

internal documents of the Exchange -documents developed and implemented by the Exchange in the course of its professional activities in organized commodity markets and capital markets (rules, regulations, procedures, regulations, etc.);

guarantee fee- funds deposited on the current account of the Exchange by participants in the auction for the sale of untreated timber, firewood, in the cases provided for in this Regulations or the initiator of the auction (buyer) in auctions for the purchase of untreated timber, wood (in the cases provided for in this Regulation) as security to ensure compliance exchange transactions/exchange agreements;

Dutch auction - an electronic auction where the bidder initiating such an auction (auction initiator) sells untreated timber/firewood /lumber on the terms of competitive counter offers (purchase orders) of other bidders using the mechanism of stepwise price formation (first to increase the price, then to decrease the price) in accordance with the methodology defined by these Rules;

access to electronic trading system -the system of admission of auction participants to certain functions of the electronic trading system and the ability to use it to sell / buy untreated timber / wood / lumber;

electronic trading system (ETS) -multilateral system, the requirements for which are established by law, through which the Exchange organizes and conducts exchange trades (auctions);

electronic auction (auction) - exchange bidding technology, according to which the bidder initiating the auction (auction initiator) sells (auction for sale, Dutch auction) or buys (auction for purchase) untreated timber / wood / lumber on the basis of competitive bids (purchase orders) or bids of other bidders;

electronic signature - an electronic signature as defined by current legislation of Ukraine in the field of electronic identification and electronic trust services, which is provided in the ETS by means of a handwritten signature analogue (AHS), advanced electronic signature (AES) or qualified electronic signature (QES);

auction application -submitted by the initiator of the auction in accordance with this Regulations a

document established by the Exchange form containing an unconditional commercial offer (offers) for the sale or purchase of untreated timber / wood / lumber and the conclusion of an exchange agreement under the conditions specified in such document; the bid for the auction, admitted by the Exchange to the auction in accordance with this Regulations, shall be registered in the ETS as a bid for sale or a bid for purchase;

application for participation in the auction - submitted and signed by the auction participant (buyer or seller) in accordance with this Regulations a document established by the Exchange form containing an unconditional commercial offer (offers) for the purchase or sale of untreated timber / wood / lumber and conclusion of an exchange agreement under the conditions specified in such documents; the application for participation in the auction, admitted by the Exchange to the auction in accordance with this Regulations, shall be registered in the ETC as application (s) for purchase or application (s) for sale;

initiator of the auction-bidder- seller (including in the case of representing the interests of the client(s)) or buyer who has the right to initiate an electronic auction in accordance with this Regulations;

the client - a legal entity or an individual - an entrepreneur who sells raw wood/firewood through the mediation (representation) of a bidder - a member of the Exchange.

auction step -the amount by which the value of the lot changes (increases / decreases) during the auction (price per lot), the value of the lot is determined in the national currency - hryvnia (price step);

lot -a lot of untreated timber offered for sale or declared for purchase at exchange auctions in terms of assortments and quality classes in accordance with national standards; a lot of lumber offered for sale or declared for purchase at exchange auctions in terms of varieties, geometric dimensions; a lot of firewood offered for sale or offered for purchase at exchange auctions in terms of species (groups of species), geometric dimensions, etc. in accordance with national standards and / or technical conditions;

direction "Untreated timber and lumber" - set of sections of the Exchange, within which exchange trades are held by the type of product "untreated timber", "firewood" and "lumber";

auction announcement -publication by the Exchange of information about the auction on the Exchange's website indicating its terms;

the winner of the auction- the participant of the auction (buyer or seller) who offered the highest price in the auction for sale / the lowest price in the auction for purchase based on the results of its holding; if the initiator of the auction in the application for the auction identified other (additional) criteria, the auction participant (buyer / seller) is recognized as the winner, taking into account such criteria;

personal access settings -system of logins and passwords by means of which the participant gets access to ETS;

participant's personal account - an integral part of the ETS, which allows the auction participant to perform actions in the ETS in accordance with this Rules;

public contract -an agreement that is public and concluded between the auction participants, the Exchange and the agent in the form of Annex № 9 to this Regulations with the relevant Annexes to such an agreement;

a list of bidders - sellers admitted to the auction for purchase - document drawn up by the initiator of the auction for the purchase of untreated timber/firewood/lumber is submitted to the Exchange no later than 3:00 p.m. on the working day preceding the day of such auction, and contains information about the bidders - sellers admitted initiator of an auction for the purchase of untreated timber/firewood/lumber to participate in such an auction;

a list of bidders - sellers who applied for participation in the purchase auction - document drawn up and provided by the Exchange to the initiator of the auction for the purchase of untreated timber/ firewood /lumber no later than 12:00 on the working day preceding the day of the auction for the purchase of untreated timber/ firewood /lumber and contains the information defined by this Regulations about all participants who applied for participation in such an auction;

starting price of the lot- the price of the lot at the time of the beginning of exchange trading, which is set by the initiator of the auction, is indicated in the application for the auction and published by the Exchange in the announcement of the relevant exchange trading;

trading session – the period of time during which the Exchange conducts auctions for the sale of untreated timber/firewood/lumber at the initiative of sellers of a separate region (regions) on the current trading day; the period of time during which the Exchange conducts auctions for the purchase of untreated timber/firewood/lumber;

trading day- the day on which the auctions take place on the Exchange in accordance with the trading schedule, namely: starts (Kyiv time) at 08:30 of the current trading day and ends at 17:30 of the current trading day. If necessary, in case the ETS administrator extends the trading session, the trading day may end later than the specified time;

Agent -a person acting on behalf of the auction participant and endowed with all necessary rights to perform legally significant actions on his behalf;

auction participants (trades participants, bidders) -members of the Exchange buyers or sellers, (including in the case of representation by a member of the exchange - a seller of the interests of the client (s) on the basis of commission contracts), natural persons-entrepreneurs or legal entities, as well as non-residents (in cases specified in the Regulations) who have expressed their intention to participate in the auction and fulfilled the requirements for admission to exchange trading provided by internal documents of the Exchange;

member of the Exchange - a legal entity or a natural person-entrepreneur who has gained membership on the Exchange by renting an exchange place.

1.8. Since the entry into force of this Regulations, the Regulations on the Organization and Conduct of Exchange Trades for the Purchase and Sale of Untreated Timber and Lumber on the Commodity Exchange - UKRAINIAN UNIVERSAL EXCHANGE Limited Liability Company, approved by the Supervisory Board on January 12, 2024 is repealed, which approved by the Minutes of the meeting of the Supervisory Board № 9 of «12» January 2024).

2. AUCTION PARTICIPANTS AND OBTAINING EXCHANGE PARTICIPANT STATUS

2.1. The participants of the auction for the sale of untreated timber/firewood/lumber are the seller (including in the cases of representation of the interests of the client (s) by the seller provided for in this Regulations) and buyers, the auction of the purchase of untreated timber/firewood/lumber are the buyer and sellers who have acquired the status of a member of the Exchange in accordance with the requirements of this Regulations by concluding an exchange place lease agreement, gained access to the ETS, and also expressed their intention to participate in the auction.

In the case of representation by the seller at auctions for the sale of untreated timber/ firewood with the delivery terms specified in clause 3.6. of this Regulations, the interests of the client (s), such a seller acts on behalf of such client (s) and on his (their) account in accordance with these Regulations, the internal documents of the Exchange and on the basis of the commission agreement (s).

2.2. Business entities that meet the following requirements can acquire the status of a member of the Exchange and pass accreditation in the area of "Untreated timber and lumber":

2.2.1. The Sellers (including clients of the bidder in the cases provided for in this Regulation) at auctions for the sale of untreated timber can be permanent forest users, forest owners, other business entities, that harvest timber on the territory of Ukraine in accordance with the procedure established by the current legislation of Ukraine, and revealed their intention to sell untreated timber at auctions and/or members of the Exchange, who, in the cases provided for by this Regulation, represent the interests of clients on the basis of power of attorney contracts, namely: permanent forest users, forest owners who

harvest wood on the territory of Ukraine in accordance with the procedure established by the current legislation of Ukraine and revealed their intention to sell untreated timber at auctions.

2.2.2. Sellers at auctions for the sale of lumber/firewood can be permanent forest users, forest owners and other economic entities who have expressed the intention to sell firewood and lumber at auctions .

2.2.2.1. Sellers at auctions for the sale of firewood with the delivery terms specified in clause 3.6. of this Regulations, where the seller can act on behalf of and at the expense of the client (s), except for the persons specified in paragraph 2.2.2. of the Regulations, may also be members of the Exchange, who in the cases provided for by this Regulation represent the interests of clients on the basis of power of attorney contracts, namely: permanent forest users, forest owners who harvest wood on the territory of Ukraine in accordance with the procedure established by the current legislation of Ukraine.

2.2.3. Buyers at auctions for the sale of raw wood and firewood can be natural persons-entrepreneurs or legal entities - residents of Ukraine, who have expressed their intention to participate in sales auctions as buyers and have fulfilled the requirements for admission to sales auctions provided for by this Regulations.

2.2.3.1. Buyers at auctions for the sale of untreated timber /firewood with the delivery terms specified in clause 3.6. of this Regulations, where the bidder - the seller can act on behalf and at the expense of the client (s), only business entities - residents of Ukraine, who additionally meet the following criteria, have the right to be:

2.2.3.1.1. The average registered number of full-time employees of the bidder, according to the labor report for the last calendar month preceding the month in which exchange trading takes place, is at least 100 people;

2.2.3.1.2. The salary fund for full-time employees, according to the labor report for the last calendar month preceding the month in which stock market trading takes place, is at least UAH 1.5 million;

2.2.3.1.3. The volume of untreated timber /firewood purchased and received (accepted) by the buyer is not less than 20,000 cubic meters according to the results of the previous calendar year.

2.2.4. Buyers at auctions for the sale of firewood wood for industrial use (UKTZED code 4401)/lumber, in addition to the above-mentioned persons, may also be non-residents (natural persons engaged in entrepreneurial activity and legal entities) who have fulfilled the requirements for admission to sales auctions provided for by this Regulations, and are not members of the Exchange.

2.2.5. Buyers at auctions for the purchase of untreated timber can be natural persons-entrepreneurs or legal entities - residents of Ukraine, who have expressed their intention to buy untreated timber at these auctions.

2.2.6. Buyers at auctions for the purchase of firewood/lumber can be residents of Ukraine (natural persons-entrepreneurs or legal entities) and non-residents (natural persons engaged in entrepreneurial activity and legal entities) who have expressed their intention to buy firewood/lumber at auctions.

2.2.7. Sellers at auctions for the purchase of untreated timber can be permanent forest users, that harvest wood on the territory of Ukraine in accordance with the procedure established by the current legislation of Ukraine, and sellers at auctions for the purchase of lumber/firewood can be permanent forest users and other sub- economic entities that expressed their intention to sell firewood and lumber at auctions.

2.3. Bidders are allowed to participate in auctions - in the area of "Untreated timber and lumber" - sellers and buyers who, in accordance with this Regulations, have entered into an Agreement for the lease of an exchange space on the commodity exchange with the Exchange - the Limited Liability Company "UKRAINIAN UNIVERSAL EXCHANGE" (hereinafter - the Agreement for the lease of an exchange space), have acquired the status of a member of the Exchange, paid the mandatory payments established by this Regulations (taking into account individual decisions of the Supervisory Board regarding such mandatory payments) and performed other necessary actions in accordance with this Regulations.

To participate in auctions for the sale of untreated timber/ firewood with the delivery terms specified in

clause 3.6. of this Regulations, bidders - sellers who represent the interests of customers (permanent forest users, that harvest wood on the territory of Ukraine in accordance with the procedure established by the current legislation of Ukraine) are allowed to act on their behalf and at their expense on the basis of contracts concluded with customers commission contracts, and registered such clients on the Exchange.

To register a client on the Exchange, a bidder representing the interests of such a client shall provide the Exchange with an original or certified copy of the signature of an authorized person of the bidder and his seal (if available) of the power of attorney agreement concluded between the bidder and the client and a power of attorney to perform legal actions on the Exchange.

The commission agreement must comply with the provisions of the Law of Ukraine "On Commodity Exchanges" and the regulations of the National Commission for Securities and the Stock Market regarding the interaction between the bidder and the client.

Additionally, when registering a client, a bidder representing the interests of such a client provides the Exchange with information about the communication channels with the client(s) through which the Exchange will send such client(s) exchange (auction) certificates and details of the current account(s) of the client(s) to which the Exchange will transfer the buyer's guarantee fee (the corresponding part of the buyer's guarantee fee). The bidder and his client are responsible for the relevance and reliability of the information provided to the Exchange.

In the event that a business relationship was not previously established between the Exchange and the client, whose interests are represented by the bidder, and/or its due verification was not carried out, the Exchange has the right to request, and the bidder and/or the client is obliged to provide documents/information / take actions necessary for the Exchange to carry out such a proper inspection in accordance with the legislation on prevention and countermeasures against the legalization (laundering) of proceeds of crime, the financing of terrorism and the financing of the proliferation of weapons of mass destruction.

The exchange assigns a unique identification number to the client during the registration of the bidder.

2.4. In order to enter into an exchange place lease agreement, legal entities and natural persons - entrepreneurs (hereinafter referred to as applicants, and separately - the applicant) residents of Ukraine go to the website of the Exchange "**Electronic trading. Accreditation on-line**" (acc.uub.com.ua) in the "Questionnaire" section and fill out the registration form in electronic form in the direction of "Untreated timber and lumber", to which color scanned (if technical possibility), archived copies of the following documents are attached valid at the time of their submission, as well as download the public key certificate (s) of the person (s) who will (will) fulfill the authority of the broker (s) with the extension .cer or .crt:

2.4.1. Legal entities provide the following documents:

- Extract or extracts from the unified state register of legal entities, natural persons-entrepreneurs and public formations;
- Charter;

If the applicant acts on the basis of the model charter, the decision of the founders on the establishment of the company acting on the basis of the model charter or the decision of the participants to conduct activities on the basis of the model charter, signed by all founders (participants) or a list of documents to be submitted to the state registrar for the registration action. The decision of the founders must contain information about the name of the company operating on the basis of the model charter, the composition of the founders (participants), the size of the share capital, the size of shares in the share capital of each participant, their contribution (in cash and / or non-cash) .

- Balance sheet and report on financial results for the last reporting period with a corresponding note of the state body on their acceptance;
- Extract from the register of VAT payers (if the applicant is a VAT payer) or a certificate of a single tax payer;

- Order (decision) on the appointment of the applicant's head;
- Passport and certificate of assignment of the identification number of the applicant's head;
- Order on hiring a person (persons) who will perform the powers of the broker (brokers), if the powers of the broker will be performed not by the head (or not only the head) of the applicant, or other document confirming his powers;
- Passport and certificate of assignment of the identification number of the person (persons) who will perform the powers of the broker (brokers) (if the powers of the broker will be performed not by the head (or not only the head) of the legal entity);
- Power of attorney (powers of attorney) for the person (persons) who will perform the powers of the broker (brokers) (**Annex № 3 to the Regulations**);
- client questionnaire of the Limited Liability Company "UKRAINIAN UNIVERSAL EXCHANGE" - a legal entity (business entity - resident of Ukraine / non-resident of Ukraine) to comply with the Law of Ukraine "On Prevention and Counteraction to Legalization (Laundering) of Proceeds from Crime, Financing of Terrorism and Financing of Proliferation of Weapons of Mass Destruction" (**Annex № 6 to the Regulations**);
- a certificate of schematic representation of the applicant's ownership structure (samples of which are available on the Exchange website at <https://acc.uub.com.ua/documents/wood-trading/>);
- Application for accession to the Regulations (**Annex № 8 to the Regulations**);
- Application for accession to the Public Contract (**Annex № 9 to the Regulations**).

2.4.1.1. If a legal entity's branch(es)/representative office(s) will also participate in exchange trading on behalf of the legal entity, such branch(es)/representative office(s) shall submit in electronic form a registration form (Appendix No. 1/1 to the Regulations), to which copies of the following documents shall be attached

- a document issued by the authorized state registrar confirming the registration of the branch / representative office in the Unified State Register of Legal Entities, Individual Entrepreneurs and Public Organizations;
- Regulations on the branch / representative office or other document approved by the authorized body of the legal entity, which determines the legal status, functions and powers of the representative office / branch;
- certificate from the bank on opening a current account for the branch/representative office;
- order (employment agreement, contract, protocol, other document) of the head of the branch / representative office, which contains information on the term and scope of his powers;
- passport and certificate of identification number of the head of the branch/representative office;
- order on employment of the person (persons) who will perform the powers of the broker (brokers) of the branch/representative office, if the powers of the broker will be performed not by the head (or not only by the head) of the branch/representative office, or other document confirming his/her powers;
- passport and certificate of identification number of the person (persons) who will exercise the powers of the broker (brokers) of the branch/representative office (if the powers of the broker will be exercised not by the head (or not only by the head) of the branch/representative office);
- power of attorney (powers of attorney) for the person (persons) who will perform the powers of the broker (brokers) of the branch / representative office;

– client questionnaires of the Ukrainian Universal Exchange, a separate subdivision (branch or representative office) of a legal entity (resident/non-resident business entity) in accordance with the requirements of the Law of Ukraine "On Prevention and Counteraction to Legalization (Laundering) of Proceeds of Crime, Terrorist Financing and Financing of Proliferation of Weapons of Mass Destruction" (**Appendix No. 6/1 to this Regulations**);

- Applications for accession to the Regulation (**Annex No. 8 to the Regulation**);

- Applications of accession to the Public Treaty (**Annex No. 9 to the Regulation**).

2.4.2. Individual entrepreneurs provide the following documents:

- Extract from the unified state register of legal entities, natural persons-entrepreneurs and public formations;
- Tax declaration for the last reporting period with a corresponding note of the state body about its acceptance;
- Extract (certificate) from the register of single tax payers and extract from the register of VAT payers (in case if the applicant is a VAT payer);
- Passport and certificate of assignment of the applicant's identification number;
- Order on hiring a person (persons) who will perform the powers of a broker (brokers), if the powers of the broker will be performed not by a natural person-entrepreneur, or not only a natural person-entrepreneur, or other document confirming his authority;
- Passport and certificate of assignment of the identification number of the person (persons) who will perform the powers of the broker (brokers) (if the powers of the broker will perform not individual entrepreneur);
- Notarized power of attorney (powers of attorney) per person (persons), that will perform the powers of a broker (brokers) (by established sample) (**Annex № 3 to the Regulations**).
- a client questionnaire of the Ukrainian Universal Exchange Limited Liability Company - an individual entrepreneur (business entity - resident of Ukraine) / individual engaged in entrepreneurial activity (business entity - non-resident of Ukraine) in accordance with the requirements of the Law of Ukraine "On Prevention and Counteraction to Legalization (Laundering) of Proceeds of Crime, Terrorist Financing and Financing of Proliferation of Weapons of Mass Destruction" (**Annex № 7 to the Regulations**).
- Application for accession to the Regulations (**Annex № 8 to the Regulations**);
- Application for accession to the Public Contract (**Annex № 9 to the Regulations**).

The Exchange has the right to require additional documents from applicants in order to clarify and / or verify the accuracy of the information received from them.

2. 5. In order to conclude the Exchange place lease Agreement, **non-resident legal entities** and individuals engaged in entrepreneurial activity follow the link to the **Exchange's website “Electronic Trading. Accreditation on-line” (acc.uub.com.ua) in the section “Questionnaire”** and fill in the electronic application form in the field of “Untreated timber and lumber”, to which are added scanned in color (if technical possibility), archived copies of the following documents to be valid at the time of their submission:

- Legalized extract from the trade, bank or court register, issued not later than the month before the date of documents summition for accreditation, or notarized registration Certificate of the authorized body of foreign state on legal entity registration/natural person, providing entrepreneur activity;
- Certificate from the bank bank (other document signed by an authorized person with a seal (if any)

of a non-resident) containing information on the details of the bank where the account is opened, and bank account number;

- Certificate signed by an authorized person with the seal (if any) - non-resident, containing information on ownership structure, ultimate beneficial owners (controllers) and content (types) of activity, issued not later than one month before the date of submission of documents for accreditation. Ownership structure information, final beneficiary owners (controllers) submitting only by legal entities;
- Employment contract (contract, order, protocol or other document) of the head of the legal entity;
- Document certifying the identity of the natural person, providing entrepreneur activity/ head of the legal entity;
- Document certifying the person (s) who will perform the powers of the broker (brokers);
- Power of attorney (Power of Attorney) for a person (persons) who will perform the powers of a broker (brokers), executed in accordance with the laws of the country where the non-resident legal entity is officially registered;
- questionnaire of a legal entity (business entity - resident of Ukraine / non-resident of Ukraine) in accordance with the requirements of the Law of Ukraine "On Prevention and Counteraction to Legalization (Laundering) of Proceeds of Crime, Terrorist Financing and Financing of Proliferation of Weapons of Mass Destruction" (Annex No. 6 to the Regulations) / questionnaire of an individual entrepreneur (business entity - resident of Ukraine) / individual, carrying out entrepreneurial activity (non-resident business entity) in accordance with the requirements of the Law of Ukraine "On Prevention and Counteraction to Legalization (Laundering) of Proceeds of Crime, Terrorist Financing and Financing of Proliferation of Weapons of Mass Destruction" (Annex No. 7 to the Regulations);
- Application for joining the Regulations (**Annex № 14 (for a non-resident of Ukraine) to the Regulations**);
- Application for accession to the Public Agreement (**Annex № 15 (for non-residents of Ukraine) to the Regulations**).

All documents presented in a foreign language (except English) must be translated into Ukrainian with a certificate of correctness of translation from one language to another.

Documents in English shall be accepted by the Exchange for consideration without a requirement to translate them into Ukrainian, except for:

- a legalised extract from a trade, bank or court register or a notarised registration certificate of an authorised body of a foreign state on registration of a legal entity/individual carrying out entrepreneurial activity;
- a certificate (other document) containing information on the ownership structure and ultimate beneficial owners (controllers) of the legal entity.

2.6. The decision to grant a permit to lease an exchange place or to refuse to lease is made by the Supervisory Board of the Exchange within 5 (five) working days from the date of filling in the electronic application form, receiving electronic documents in accordance with paragraph 2.4. or paragraph 2.5 of this Regulations, uploading the public key certificate(s) of the person(s) who will (will) fulfill the authority of the broker(s) with the extension .cer or .crt (for residents of Ukraine), about which the applicant is notified to his e-mail address specified in the registration form.

In case of submission by a branch / representative office of a legal entity of documents according to subitems 2.4.1.1. of item. 2.4 of these Regulations for participation in exchange trading on behalf of a legal

entity, the applicant (branch / representative office) is notified of the decision to grant permission to participate in exchange trading by sending a corresponding message to its e-mail address specified in the registration form.

2.7. In case the Supervisory Board makes a positive decision on granting a lease permit, the applicant within 5 (five) working days from the date of receipt of the e-mail notification in accordance with paragraph 2.6 of this Regulations, sends (provides) to the legal address of the Exchange originals of the following documents, information in which must be relevant (valid) on the date of their sending (providing) to the Exchange:

- registration form (**Annex №1 (for legal entities), Annex № 2 (for natural persons-entrepreneurs) to this Regulations**);
- exchange lease agreement (**according to the form according to Annex № 4 - for legal entities, in the form approved by the Supervisory Board of the Exchange, for a legal entity, on behalf of which its branch(es)/separate subdivision(s) will also have the right to participate in exchange trading; according to the form according to Annex № 5 - for natural persons-entrepreneurs; according to the form according to Annex № 13 - for non-residents of Ukraine**) in two copies signed by the authorized person applicant and certified by the applicant's seal (if any));
- power of attorney for a person (persons) who will perform the powers of a broker (brokers) (**in the form according to Annex № 3**);
- power of attorney for the authorized representative (representatives) of the non-resident legal entity, which will perform the functions of a broker, executed in accordance with the laws of the country where the non-resident legal entity is officially registered (for non-resident of Ukraine);
- client questionnaire of the Ukrainian Universal Exchange Limited Liability Company - a legal entity (business entity - resident of Ukraine / non-resident of Ukraine) in accordance with the requirements of the Law of Ukraine "On Prevention and Counteraction to Legalization (Laundering) of the Proceeds of Crime, Financing of Terrorism and Financing of the Proliferation of Weapons of Mass Destruction" (**Annex № 6 to the Regulations**) / client questionnaire of the Ukrainian Universal Exchange Limited Liability Company - individual entrepreneur (business entity - resident of Ukraine) / individual, (non-resident business entity) in accordance with the requirements of the Law of Ukraine "On Prevention and Counteraction to Legalization (Laundering) of the Proceeds of Crime, Terrorist Financing and Financing of the Proliferation of Weapons of Mass Destruction" (**Annex № 7 to the Regulations**);
- Certificates signed by the authorized person with the seal of the legal entity (if any) of the nonresident, containing information on the ownership structure, ultimate beneficial owners (controllers) and the content (types) of activities (for non-residents of Ukraine), issued no later than one month before submission documents. Information on the ownership structure and ultimate beneficial owners (controllers) is submitted only by legal entities;
- information on the schematic representation of the ownership structure of the applicant (resident legal entity);
- Applications for accession to the Regulations (**Annex № 8 (for legal entities and natural persons-entrepreneurs), Annex № 14 (for non-residents of Ukraine) to the Regulations**);
- Applications for accession to the Public Agreement (**Annex № 9 (for legal entities and natural persons-entrepreneurs), Annex № 15 (for non-residents of Ukraine) to the Regulations**).

Also, **legal entities-applicants submit duly certified copies of the following documents**, which must be valid at the time of their submission:

- Extracts from the unified state register of legal entities, natural persons-entrepreneurs and public formations;
- Charter (If the applicant acts on the basis of the model charter, the decision of the founders to establish a company acting on the basis of the model charter or the decision of the participants to operate on the basis of the model charter, signed by all founders (participants), shall be submitted or a list of documents to be submitted to the state registrar for the registration action. The decision of the founders must contain information on the name of the company, acting on the basis of the model charter, the composition of the founders (participants), the amount of the charter capital, the amount of shares in the charter capital of each participant, the method of making contributions by them (in cash and/or non-monetary form).
- Balance sheet and statement of financial performance for the last reporting period;
- Extract from the register of VAT payers (if the applicant is a VAT payer) or a certificate of a single tax payer;
- Order (decision) on the appointment of the applicant's head;
- Passport and certificate of assignment of the identification number of the applicant's head;
- Order on hiring a person (persons) who will perform the powers of the broker (brokers), if the powers of the broker will be performed not by the head (or not only the head) of the applicant, or other document confirming his powers;
- Passports and certificates of assignment of the identification number of the person (persons) who will perform the powers of the broker (brokers) (if the powers of the broker will be performed not by the head (or not only the head) of the legal entity).

Also, **natural persons-entrepreneurs - applicants** submit duly certified copies of the following documents, which must be valid at the time of it`s submission:

- Extracts from the unified state register of legal entities, natural persons-entrepreneurs and public formations;
- Tax return for the last reporting period;
- Extract (certificate) from the register of single tax payers and extract from the register of VAT payers (in case if the applicant is a VAT payer);
- Passport and certificate of assignment of the applicant's identification number;
- Order on hiring a person (persons) who will perform the powers of broker (brokers), if the powers of the broker will be performed not by a natural person-entrepreneur, or not only by a natural person-entrepreneur, or another document confirming his authority;
- Passports and certificates of assignment of the identification number of the person (persons) who will perform the powers of the broker (brokers) (if the powers of the broker will perform not a natural person-entrepreneur).

Also, non-residents of Ukraine submit duly certified copies of the following documents, which must be valid at the time of their submission, with a duly certified translation into Ukrainian of the following documents:

- Legalized extract from the trade, bank or court register, issued not later than the month before the date of documents summition for accreditation, or notarized registration Certificate of the authorized body of foreign state on legal entity registration/natural persons, carrying out entrepreneur activities;
- certificates from the bank (other document signed by an authorized person with the seal (if any) of

the non-resident) containing information on the details of the bank where the account is opened and bank account number;

- employment agreement (contract, order, protocol, charter or other document) on the appointment of the head of the legal entity;
- a document certifying the identity of an individual engaged in entrepreneurial activity /the head of the legal entity;
- a document certifying the person (s) who will perform the powers of the broker (brokers).

2.7.1. In case the Supervisory Board makes a positive decision to grant the branch(es)/representation(s) of a legal entity permission to participate in exchange trading on behalf of the legal entity, the applicant (branch/representation) within 5 (five) working days from the date of receipt of the notification by e-mail (according to item 2.6 of this Regulations) shall send to the Exchange the **originals of the following documents**

- registration questionnaire (**Appendix No. 1.1. to this Regulations**);
- certificate from the bank on opening a current account for the branch/representative office;
- power of attorney (powers of attorney) for the person (persons) who will perform the powers of the broker (brokers) of the branch / representative office;
- client questionnaires of the Ukrainian Universal Exchange Limited Liability Company, a separate subdivision (branch or representative office) of a legal entity (resident/non-resident business entity) in accordance with the requirements of the Law of Ukraine "On Prevention and Counteraction to Legalization (Laundering) of Proceeds of Crime, Terrorist Financing and Financing of Proliferation of Weapons of Mass Destruction" (Appendix No. 6/1 to this Regulations);
- Declarations of accession to the Regulation (**Annex No. 8 to the Regulations**);
- Applications for accession to the Public Agreement (**Annex No. 9 to the Regulations**).

The applicant (branch / representative office) shall also submit **duly certified copies of the following documents**, which must be valid at the time of their submission:

- a document issued by the authorized state registrar confirming the registration of the branch/representative office in the Unified State Register of Legal Entities, Individual Entrepreneurs and Public Organizations;
- regulations on the branch / representative office or other document approved by the authorized body of the legal entity, which determines the legal status, functions and powers of the representative office / branch;
- order (employment agreement, contract, protocol, other document) of the head of the branch / representative office, which contains information on the term and scope of his powers;
- passport and certificate of identification number of the head of the branch/representative office;
- order on hiring of the person (persons) who will perform the powers of the broker (brokers) of the branch/representative office, if the powers of the broker will be performed not by the head (or not only by the head) of the branch/representative office, or other document confirming his/her powers;
- passport and certificate of assignment of identification number of the person (persons) who will perform the powers of the broker (brokers) of the branch/representative office (if the powers of the broker will be performed not by the head (or not only the head) of the branch/representative office);

2.8. In case the Exchange enters into an agreement with another commodity exchange in accordance with the current legislation on the right to use information on proper customer due diligence received from a third party - the commodity exchange, according to the internal documents of which the applicant has already passed the accreditation procedure by the direction of "untreated timber and lumber ", providing the appropriate package of documents, such an applicant for accreditation in the direction of "Untreated timber and lumber" on the Commodity Exchange - Limited Liability Company "UKRAINIAN UNIVERSAL EXCHANGE" sends to the legal address of the Exchange (36039, ul. , 52) originals of the following documents:

2.8.1. exchange place lease agreement **(in the form according to Annex № 4 - for legal entities, in the form approved by the decision of the Supervisory Board of the Exchange - for a legal entity on behalf of which its branch(es)/representation(s) will also have the right to participate in exchange trading); in the form according to Annex № 5 - for natural persons-entrepreneurs, in the form according to Annex № 13 - for non-residents of Ukraine)** with details of the applicant, in two copies, signed by the authorized person of the applicant and certified by the seal of the applicant (if any));

2.8.2. power of attorney for a person (persons) who will perform the powers of a broker (brokers) on the Exchange **(in the form according to Annex № 3);**

2.8.3. power of attorney for the authorized representative (representatives) of the non-resident legal entity, which will perform the functions of a broker, executed in accordance with the laws of the country where the non-resident legal entity is officially registered **(for non-resident of Ukraine);**

2.8.4. Application for joining the Regulations **(Annex № 8, Annex № 14 (for non-residents of Ukraine) to the Regulations);**

2.8.5. Application for accession to the Public Agreement **(Annex № 9, Annex № 15 (for non-residents of Ukraine) to the Regulations).**

Other documents / information about the applicant the Exchange receives from a third party - the commodity exchange on the basis of the above agreement on the exchange of information.

2.9. Participants must provide (send) to the Exchange documents with valid (up-to-date) information in case of changes in the information and documents provided by them for the conclusion of the lease agreement, within **3 (three) working days** from the date of occurrence of such changes. Responsibility for the accuracy of information contained in documents and information provided by applicants for concluding a lease agreement and Exchange participants, for actions taken on the basis of such documents and information, for late notification of the Exchange to amend such documents and information, as well as for replacement or termination of these documents, and the risk of adverse consequences, including property, are the persons who provided such documents and information.

2.10. To comply with the requirements of the legislation in the field of prevention and counteraction to legalisation (laundering) of proceeds from crime, financing of terrorism and financing of proliferation of weapons of mass destruction, upon request of the Exchange, the participants shall update the documents submitted by them for conclusion of the lease agreement for the exchange place (regardless of whether there were changes in the relevant information and documents) by providing the Exchange with duly certified copies of the documents that must be valid (valid) at the time of their submission.

2.11. In case of violation by the participant of the requirements specified in clauses 2.9, 2.10 of the Regulations, the Exchange shall have the right to suspend the status of the trading participant on the Exchange in the direction of " Untreated timber and lumber" by temporary (for a certain period) suspension of admission (access) to exchange trading until such documents are provided in full, of which the trading participant shall be notified by receiving an information message by e-mail.

The grounds for renewal of the status of a trading participant on the Exchange in the direction of " Untreated

timber and lumber " is the elimination/cancellation of the circumstances that caused the suspension of such status.

2.12. In case of termination of the Exchange Place Lease Agreement, participants who intend to continue to participate in electronic auctions specified in this Regulation shall enter into a new Exchange Place Lease Agreement in accordance with the procedure established in accordance with Section 2 of the Regulations. Any amendments to the provisions of the Exchange Place Lease Agreement between the Exchange and its Member shall be allowed only upon the decision of the Supervisory Board of the Exchange.

2.13. The Exchange ensures non-disclosure and storage of information contained in the documents submitted by the participant, except in cases when the disclosure / provision of such information is carried out by the Exchange in compliance with the provision of legislation and / or of this Regulations and / or concluded agreement on the exchange of information on the proper inspection of the client with a third party (another commodity exchange).

3. ORGANIZATION OF AUCTIONS FOR THE SALE OF UNTREATED TIMBER / LUMBER AND THE PROCEDURE FOR ACCESS OF THE PARTICIPANTS TO AUCTION

3.1. Untreated timber / firewood / lumber are admitted to exchange trading at the Exchange in accordance with the internal documents of the Exchange.

3.2. Legal entities and natural persons-entrepreneurs - residents of Ukraine who wish to participate in auctions for the sale of untreated timber / firewood / lumber as buyers, as well as legal entities and natural persons carrying out business activities - non-residents of Ukraine, who wish to participate in auctions for the sale of wood for industrial use (UKTZED code 4401) / lumber as buyers, must:

- 1) acquire the status of a member of the Exchange, pass accreditation in the area of «Untreated timber and lumber» and get access (personal access parameters (login and password)) to ETS;
- 2) provide the Exchange with a certificate signed by the head of the legal entity/individual entrepreneur regarding the amount of untreated timber actually processed for the previous quarter, certified by a seal (if any), regarding auctions for the sale of untreated timber;
- 3) provide Exchange with documents confirming the transfer of the guarantee fee (regarding auctions for the sale of untreated timber, firewood for auction participants who are residents of Ukraine (of Ukraine (except for auctions for the sale of industrial wood (UKTZED code 4401), in which both residents and non-residents of Ukraine can participate as buyers)) and payment of the data processing fee (except for auctions for the sale of industrial wood (UKTZED code 4401), in which both residents and non-residents of Ukraine can participate as buyers), and the payment of the fee for data processing, as well as other payments, in the prescribed amount, specified in the announcement of the holding auction and/or established by the Exchange. In case of decision by the Supervisory Board to cancel the payment of the guarantee fee and the fee for data processing, supporting documents are not provided.

3.2.1. Business entities are residents of Ukraine who expressed their intention to participate in auctions for the sale of raw wood/firewood with the delivery terms specified in clause 3.6. of this Regulation, as buyers, except for the requirements specified in the first paragraph of clause 3.2. Regulations, additionally provided by the Exchange:

3.2.1.1. the original or a duly certified copy of Report No. 1-PIB (monthly) with the corresponding mark of the statistical authority or the receipt of the registration of the report by the statistical authority when sending the report in electronic form for the month preceding the month in which the relevant auction will take place (*the specified document is valid until the end of the calendar month in which such a document was provided to the Exchange, and does not need to be re-submitted in the event that the business entity participates in auctions that take place during such month*).

3.2.1.1. Certificate issued by SE "FIAC", which indicates the amount of untreated timber/firewood purchased and received (accepted) by the buyer (cubic meters) according to the results of the last completed calendar year (*the specified document is valid until the end of the year in which it was issued*) and does not need to be re-provided in the case when the business entity participates in auctions that take place during such a calendar year).

3.2.3. In the event that an agent is involved in the organization of the auction, the bidder provides the above-mentioned documents to such an agent, information about which is published in the announcement of the relevant auction, which is provided for in the terms of the public contract (**Appendix No. 9 to the Regulations**), to which the bidder joined by signing the Application for Joining to the public contract.

3.3. Legal entities wishing to participate in auctions for the sale of untreated timber/firewood/lumber as sellers, including in cases of representation of the client(s) in auctions for the sale of untreated timber /firewood, must acquire the status member of the Exchange, pass accreditation in the area of «Untreated timber and lumber» and get access (individual access parameters (login and password)) to the ETS. To initiate an auction for the sale of untreated timber /firewood/lumber, a bidder (seller) submits an auction application to the Exchange no later than 5 (five) calendar days before the date of the auction, while the auction start time must be within the trading day. If a legal entity wishes its branch(es)/representative office(s) to participate in auctions on its behalf, such branch(es)/representative office(s) must be accredited and gain access to the ETS after the legal entity becomes a member of the Exchange.

3.4. To initiate an auction for the sale of untreated timber/firewood/lumber, a bidder (seller) submits an auction application to the Exchange no later than 5 (five) calendar days before the date of the auction, while the auction start time must be within the trading day.

In case of holding an additional auction in the last 5 (five) calendar days of the current quarter, with a deadline for the delivery of untreated timber/ firewood/ lumber within the current calendar quarter, in which exchange trading will take place, the bidder (seller) submits an application to the Exchange to conduct an additional auction, taking into account the fact that the volume of each lot should not exceed 30 cubic meters.

In case of re-listing of unsold lots based on the results of the auction for the sale of untreated timber/ firewood/ lumber, within 5 (five) calendar days after the holding of such auction, at a different starting price (different from the starting price of the auction at which these lots were not sold) the bidder (seller) submits an application to the Exchange for holding an additional auction no later than 3 (three) calendar days before the date of the additional auction. The start time of the additional auction must be within the trading day.

In case of initiation of a Dutch auction, the bidder (seller) shall notify the Exchange by sending a letter to its e-mail address: lis@uub.com.ua and the agent (if involved in the auction) no later than the day of submission of the application for its holding. The Dutch auction cannot be initiated by the bidder (seller) if the supply of untreated timber based on the results of the auction within the lot will be carried out by separate divisions (branches) of the bidder (seller).

The application states, in particular:

- a list of untreated timber lots by assortment and quality classes in accordance with national standards / a list of lumber lots by grade, geometric dimensions / a list of firewood lots by species (groups of species), geometric dimensions, etc. in accordance with national standards and/or technical conditions, as well as for each lot:
- batch volume of untreated timber /firewood/lumber;
- warehouse for placing a batch of untreated timber /firewood: upper, lower, intermediate;
- for auctions for the sale of industrial wood for industrial use (UKTZED code 4401), in which residents and non-residents of Ukraine can participate as buyers: a station for the shipment of

industrial wood for industrial use (UKTZED code 4401);

- the starting price per cubic meter of untreated timber /firewood/lumber non-industrial use, taking into account the composition of the placement of untreated timber /firewood (the price is determined in the national currency of Ukraine). The starting price per cubic meter of lumber is indicated excluding VAT. The starting price per cubic meter of untreated timber /firewood (except for auctions for the sale of untreated timber/firewood with delivery terms specified in Clause 3.6 of this Regulations, and auctions for the sale of wood for industrial use (UKTZED code 4401), in which only residents of Ukraine / residents and non-residents of Ukraine can participate as buyers, where the starting price is indicated exclusively with VAT included) can be specified both with VAT and without VAT, which must be recorded accordingly.

If the supply of untreated timber based on the results of the auction will be carried out by separate subdivisions (branches) of the bidder (seller), the starting price shall also be indicated in terms of the price of each batch of untreated timber to be supplied by a separate subdivision (branch) within the lot;

- for auctions for the sale of industrial wood (UKTZED code 4401), in which residents and non-residents of Ukraine can participate as buyers: the cost of loading industrial wood for industrial use (UKTZED code 4401) the amount of additional costs that are subject to inclusion in the total sale price of the goods based on the results of the auction (in case, if the auction winner is non-resident of Ukraine), namely: at the industrial warehouse of the bidder (seller), the cost of services for the transportation of industrial wood for industrial use (UKTZED code 4401) to the shipment station, the cost of unloading and loading industrial wood for industrial use (UKTZED code 4401) onto railway cars, cost of brokerage services (phytosanitary certificate, customs declaration). The bidder (seller) may also indicate in the auction application other additional costs that are not included in the total cost of sale of industrial wood (UKTZED code 4401), but may be agreed upon by the parties (seller and buyer (non-resident of Ukraine)) by concluding an additional agreement to the contract of purchase and sale of industrial wood;
- date and time of exchange trading;
- the form of the contract for the purchase and sale of lumber, which is proposed to be concluded based on the results of exchange trading, taking into account the features defined by this Regulations;
- the term during which the supply of untreated timber /firewood/lumber will be carried out;
- information that only residents of Ukraine/residents and non-residents of Ukraine can participate in the auction for the sale of wood for industrial use (UKTZED code 4401) as buyers;
- other information necessary for exchange trading is determined by the internal documents of the Exchange.

In other information necessary for exchange trading, in the event that the seller initiates an auction for the sale of untreated timber/firewood with the delivery terms specified in clause 3.6. of this Regulations, on behalf of the client (s), such a bidder (seller) in the auction application shall indicate: identification data of the client (s) he/she represents (unique client identification number received from the Exchange after registration by such customer(s), the volume / in cubic meters; the starting price of the untreated timber / firewood offered for sale by each relevant customer in the lot.

If the seller acting on behalf of the client(s) has not provided the Exchange with all the necessary documents and/or information necessary for the registration of the client(s) before the date of submitting the application for the auction, the Exchange has the right to refuse such a seller to initiate the auction of the auction for the sale of untreated timber with the delivery terms indicated in clause 3.6. of this Regulations.

If the supply of untreated timber based on the results of exchange trading will be carried out by separate subdivisions (branches) of the bidder (seller), such bidder (seller) in the application for the auction for each batch of untreated timber to be supplied by such separate subdivision (branch) within the lot shall additionally indicate:

- name and number of the separate subdivision (branch);
- characteristics of untreated timber;
- volume of untreated timber;
- the warehouse of untreated timber placement.

3.5. The term during which untreated timber /firewood/lumber can be delivered:

- 1) within the current calendar quarter, in which exchange trading will take place;
- 2) within the calendar quarter following the one in which exchange trading will take place;
- 3) within the period specified by the bidder (seller) in the auction application (in auctions for the sale of industrial wood (UKTZED code 4401), in which residents and non-residents of Ukraine may participate as buyers).

3.6. The period during which delivery of raw wood/firewood can be made in auctions for the sale of untreated timber/firewood, where the bidder is the seller, may act on behalf and at the expense of the client(s):

- 1) within 6 months from the date of conclusion of the exchange agreement;
- 2) within 1 year from the date of conclusion of the exchange agreement;
- 3) within the current calendar year (from the date of conclusion of the exchange agreement to the last day of the calendar year inclusively), in which the exchange agreement was concluded;

The initiator of the auction for the sale of lumber - the seller who submitted an application for the auction for the sale of lumber / initiator of the auction for the sale of industrial wood (UKTZED code 4401) - a seller who has submitted an application for an auction for the sale of industrial wood (with a note in the application on the possibility of participating in the auction as buyers of both residents and non-residents of Ukraine) (including the initiator of the auction for the sale of firewood, which represents the interests of the client (s)) is considered to have agreed (the client(s) of the initiator of the auction for the sale of firewood is considered to have agreed) to the fact that the participants of the auction, in addition to residents of Ukraine, may be non-residents of Ukraine, as well as from by the fact that in the event that a non-resident of Ukraine is recognized as the winner of the auction, an exchange (auction) certificate is drawn up, and a contract for the sale of firewood/timber is concluded, taking into account the features specified in section 9 of this Regulations.

3.7. An application to hold an auction for the sale of untreated timber /firewood/lumber can be withdrawn by the auction initiator by the seller no later than 1 (one) working day before the date of such auction

3.8. The initiator of the auction - the seller, when forming the lot (s) in the auction for the sale of lumber / firewood, is prohibited from specifying in the application for the auction a requirement for a preliminary inspection directly at the seller's warehouse of the lumber / firewood that is the subject of sale.

3.9. If, according to the results of the auction for the sale of untreated timber/firewood/lumber, not all lots were sold, the Exchange may hold additional auctions, while the application deadline for conducting such auctions must meet the requirements specified in clause 3.4. of this Regulations.

3.10. Applications for the auction are submitted by participants (sellers) of the Exchange in the section of lots. The volume of the lot is determined by the participants (sellers) independently. Lots are formed separately by assortments and quality classes in accordance with national standards (for untreated timber) / by grades, geometric dimensions (for lumber) / by species (groups of species), geometric dimensions, etc. in accordance with national standards and/or technical conditions (regarding firewood).

3.11. In the event that a sales agent is involved in the organization of the auction, the application for the auction may be submitted to the Exchange by the initiator of the auction - the seller through such an agent within the terms and in compliance with the requirements specified in clause 3.4. of this Regulations.

3.12. The announcement of the auction for the sale of untreated timber /firewood/lumber, which is posted

on the website of the Exchange, should contain, in particular, but not exclusively:

- information about the type of auction;
- lot in a section of assortments and quality classes in accordance with national standards (for untreated timber) / in a section of grades, geometric dimensions (for lumber) / in a section of species (groups of species), geometric dimensions, etc. in accordance with national standards and/or technical conditions (regarding firewood);
- number of lots;
- the starting price of the lot (for untreated timber / firewood of non-industrial use, the starting price can be indicated with or without VAT, which must be recorded accordingly; regarding untreated timber/firewood, which is put up for sale at auctions with the delivery terms specified in clause 3.6. of this Regulations, and industrial wood (UKTZED code 4401) in auctions for the sale of industrial wood, in which residents and non-residents of Ukraine can participate as buyers, the starting price is indicated exclusively with VAT included; for lumber, the starting price is indicated without VAT). If supply of untreated timber based on the results of the auction will be carried out by separate subdivisions (branches) of the bidder (seller), the starting price of the lot shall also be specified in terms of the price of each batch of goods to be supplied by a separate subdivision (branch) within the lot;
- for auctions for the sale of industrial wood (UKTZED code 4401), in which residents and non-residents of Ukraine can participate as buyers: the cost of loading industrial wood for industrial use (UKTZED code 4401) the amount of additional costs that are to be included in the total cost of the sale of the goods based on the results of the auction (in case, if the auction winner is non-resident of Ukraine), namely: at the industrial warehouse of the bidder (seller), the cost of services for the transportation of industrial wood for industrial use (UKTZED code 4401) to the shipment station, the cost of unloading and loading industrial wood for industrial use (UKTZED code 4401) onto railway cars, packaging details, cost of brokerage services (phytosanitary certificate, customs declaration). The auction announcement may also include other additional costs specified by the bidder (seller) in the auction application, which are not included in the total cost of sale of industrial wood (UKTZED code 4401), but may be agreed upon by the parties (seller and buyer (non-resident of Ukraine)) by concluding an additional agreement to the contract of sale of industrial wood;;
- volume of the lot;
- a warehouse for placing a batch of timber;
- for auctions for the sale of industrial wood for industrial use (UKTZED code 4401), in which residents and non-residents of Ukraine can participate as buyers: a station for the shipment of industrial wood for industrial use (UKTZED code 4401);
- place, date and time of exchange trading;
- the deadline for accepting applications for participation at exchange auctions;
- auction step;
- the amount, order and term of the recalculation of the guarantee fee and the fee for data processing (not indicated in the case of a decision by the Supervisory Board to cancel the payment/recalculation of the guarantee fee and the fee for data processing);
- information about the agent(s) involved in the organization of this auction (if available);
- the form of the contract for the sale of lumber, which is proposed to be concluded based on the results of exchange trading, taking into account the features specified in this Regulations;
- the period during which the supply of untreated timber / firewood / lumber will be carried out.
- a note that the seller acts on behalf of, in the interests of, and at the expense of the client (s), as well as an

instruction on the payment by the winner (s) of the auction of the remuneration to the member of the exchange - the seller, on the terms determined by the contract (s) of the purchase and sale of untreated wood/firewood, which is concluded based on the results of the auction(s), in which(s) the member of the exchange - the seller acts on behalf of the client(s), with an indication of the procedure for calculating the amount of such remuneration;

- information that only residents of Ukraine/residents and non-residents of Ukraine can participate in the auction for the sale of wood for industrial use (UKTZED code 4401) as buyers;

- a list of the seller's customers who offer for sale untreated timber/firewood within the lot and are not VAT payers.

If supply of untreated timber based on the results of the auction, will be carried out by separate subdivisions (branches) of the bidder (seller), the auction announcement for each batch of untreated timber to be supplied by a separate subdivision (branch) within the lot shall additionally indicate:

- name and number of the seller's separate subdivision (branch);
- characteristics of untreated timber in terms of grades and quality classes in accordance with national standards;
- volume of untreated timber;
- warehouse of untreated timber location.

3.13. Prices at the auction for the sale of untreated timber /firewood/lumber are formed freely on the basis of supply and demand.

3.14. The amount declared in the auction for the sale of untreated timber /firewood/lumber is determined by the number of lots and cannot be less than 1 lot.

3.15. The Exchange has the right to refuse admission to the participant (buyer) to participate in the auction for the sale of untreated timber /firewood/lumber in case:

3.15.1. failure to submit before the end of the deadline for accepting documents in accordance with clause 3.2. of the Regulations;

3.15.2. non-payment by such bidder of the fee for data processing and/or the guarantee fee and/or the absence of the guarantee fee and/or the fee for data processing on the current account of the Exchange/agent at the time of the end of the acceptance of applications for participation in the auction (does not apply in the cases determined by individual decisions by the Supervisory Board);

3.15.3. termination of the status of the auction participant in the area of " Untreated timber and lumber" in cases determined by the Exchange Rules;

3.15.4. suspension of the status of the auction participant in the area of " Untreated timber and lumber" in cases:

- determined by the Exchange Rules;
- providing incomplete and/or inaccurate information to the Exchange;
- detection by the Exchange of violations of the legislation and/or internal documents of the Exchange and/or other violations of these Regulations.

3.16. Participants who intend to participate in the auction for the sale of untreated timber /firewood/lumber are required to register in the ETS with the necessary information about themselves.

4. ORGANIZATION OF AUCTIONS FOR THE PURCHASE OF UNTREATED TIMBER / WOOD / LUMBER AND PROCEDURE FOR ACCESSION OF PARTICIPANTS TO AUCTION

4.1. Timber (untreated timber, untreated lumber, firewood)/lumber admitted to exchange trades on the Exchange in accordance with the internal documents of the Exchange is announced for purchase auctions. If an agent is involved in the organization of the auction for the purchase of untreated timber/firewood/lumber, the application for the purchase auction may be submitted to the Exchange by the initiator of the auction - the buyer through such an agent within the time limits and in compliance with the requirements specified in clause 4.2. and 4.3. in accordance with this Regulations.

4.2. To initiate an auction for the purchase of untreated timber/firewood/lumber, a bidder (buyer) must:

- 1) acquire the status of a member of the Exchange, pass accreditation in the area of «Untreated timber and lumber» and get access (personal access parameters (login and password)) to ETS;
- 2) to submit an application for the auction to the Exchange;
- 3) to ensure that the starting price per cubic meter of untreated timber /firewood/lumber applied for the purchase auction is not lower than/equal to the starting price of untreated timber/firewood/lumber with relevant characteristics that has not been realized at the auctions for the sale of untreated timber /firewood/lumber, organized and conducted by the Exchange in the last two weeks. The exchange publishes the relevant information in ETS;
- 4) provide the Exchange with documents confirming the transfer of the guarantee fee (regarding auctions for the purchase of untreated timber, firewood for bidders - residents of Ukraine), as well as other payments, in the amount established by the Exchange, specified in the Exchange Tariffs (not applicable in case of acceptance The Supervisory Board's decision to cancel the payment of such contributions/payments, etc.).

In the case of involving an agent in the organization of an auction for the purchase of untreated timber /firewood/lumber, the bidder (buyer) in accordance with the terms of the public contract (Appendix No. 9 to the Regulations), to which he joined by signing the Application for joining the public contract, provides the above documents to such agent. Information about the agent involved in the organization of the auction for the purchase of untreated timber /firewood/lumber is made public in the announcement of the relevant auction.

4.3. To initiate an auction for the purchase of untreated timber /firewood/lumber, a bidder (buyer) submits an auction application to the Exchange no later than 2 (two) working days (not including the day of application submission) before the auction date. Applications for the purchase auction are accepted by the Exchange until 12:00 every working day. Applications for a purchase auction submitted after 12:00 are rejected by the Exchange. The start time of the purchase auction, specified in the application for such an auction, must be within the trading day.

An application for holding an auction for the purchase of untreated timber can be initiated only for the current quarter in which the application is submitted.

In the application for holding an auction for the purchase of untreated timber /firewood/lumber, the following shall be specified, in particular:

- a list of untreated timber lots by assortment and quality classes in accordance with national standards / a list of lumber lots by grade, geometric dimensions / a list of firewood lots by species (groups of species), geometric dimensions, etc. in accordance with national standards and/or technical conditions, as well as for each lot:
 - batch size of untreated timber /firewood/lumber;
 - warehouse for placing a batch of untreated timber /firewood: upper, lower, intermediate;

- the starting price per cubic meter of untreated timber /firewood/lumber, taking into account the composition of the placement of untreated timber /firewood (the price is determined in the national currency of Ukraine). The starting price per cubic meter of lumber is indicated excluding VAT. The starting price per cubic meter of untreated timber /firewood can be specified both with VAT and without VAT, which must be recorded accordingly;
- date and time of exchange trading;
- the form of the contract for the purchase and sale of untreated timber /firewood/timber, which is proposed to be concluded based on the results of exchange trading, taking into account the features defined by this Regulations, in case it differs from the standard form of the contract, which is posted on the website of the Exchange;
- the term during which the supply of untreated timber /firewood/lumber will be carried out and the schedule for the supply of untreated timber /firewood/lumber with an indication of the monthly supply volumes;
- other information necessary for exchange trading is determined by the internal documents of the Exchange.

4.4. An application for a purchase auction can be withdrawn by the initiator of the auction (buyer) no later than 1 (one) working day before the date of the auction.

4.5. An application for holding an auction for the purchase of untreated timber /firewood/lumber is submitted by a bidder (buyer) of the Exchange by lots. The volume of the lot is determined by the bidder (buyer) independently. Lots are formed separately for each type of timber, taking into account assortments, grades, geometric dimensions, etc., in accordance with national standards and technical conditions.

4.6. The announcement of the auction for the purchase of untreated timber /firewood/lumber, which is posted on the website of the Exchange on the day of submitting an application for the auction of the purchase of untreated timber /firewood/lumber and can be sent to the bidders at their e-mail addresses, must contain, in particular, but not exclusively, the information provided in clause 3.10. of this Regulations.

4.7. In order to timely inform seller bidders, the Exchange can automatically send notifications about the date and time of the auction for the purchase of untreated timber /firewood/lumber to the e-mail addresses of all seller bidders registered in ETS.

The message states:

- the quarter in which the delivery must be made;
- deadline (date and time) for submission of applications for participation in the auction by participating sellers;
- the date and time of the end of accepting applications for participation in the auction;
- date and time of the auction.

4.8. Auction prices are formed freely based on supply and demand.

4.9. The amount of timber/firewood/lumber applied for purchase is determined by the number of lots and cannot be less than 1 lot.

4.10. Exchange has the right to refuse the initiator of the auction (buyer) to submit an application for holding an auction for the purchase of untreated timber/firewood/lumber in cases:

4.10.1. non-payment of the guarantee fee and/or absence at the time of the start of the auction for the purchase of the guarantee fee on the current account of the Exchange, in relation to auctions for the purchase of untreated timber, firewood (for auction initiators - residents of Ukraine) (does not apply in the event of a decision on cancellation by the Supervisory Board payment of the guarantee fee);

- 4.10.2. provision of incomplete and/or false information to the Exchange;
- 4.10.3. termination of the status of the trading participant in the auction in the direction of "Untreated timber and lumber" in cases determined by the Exchange Rules;
- 4.10.4. suspension of the status of the auction participant in the auction in the direction of " Untreated timber and lumber " in cases:
- determined by the Exchange Rules;
 - provision of incomplete and/or other unreliable information to the Exchange;
 - if the Exchange detects violations of the legislation and/or internal documents of the Exchange and/or failure to fulfil (improper fulfilment of) its obligations under the concluded exchange transactions;
 - detection by the Exchange of other violations of the provisions of these Regulations and internal documents of the Exchange.
- 4.11. Participants who intend to participate in the auction for the purchase of untreated timber/firewood/lumber are required to register in the ETS with the necessary information about themselves.

A participant in the auction for the purchase of firewood/lumber - a seller who submitted an application for participation in the auction for the purchase of firewood/lumber, the initiator of which is a non-resident of Ukraine, is considered to have agreed to the features of the registration of the exchange certificate and the conclusion of the purchase agreement of purchase and sale of firewood/lumber.

5. PROCEDURE OF INTERACTION WITH AGENT

5.1. The Exchange has the right to engage agent(s) for information support and consulting services for auction participants (potential auction participants), as well as for the other actions, stipulated by this Regulations, the agency contract, the public contract and the Regulation on the regulation of relations between the commodity exchange - UKRAINIAN UNIVERSAL EXCHANGE LLC and the agent in the direction of "Untreated timber and lumber" (hereinafter - the Regulation on the regulation of relations with the agent).

In auctions for the sale of untreated timber/firewood with the delivery terms specified in clause 3.6. of this Regulations, where the seller-bidder may act on behalf and at the expense of the client(s), the agent(s) is not involved.

The decision to grant agent status is made by the Exchange based on the results of the Exchange's verification of the Agent's compliance with the criteria specified in the Regulation on the regulation of relations with the Agent.

The order of interaction of the Exchange with the agent (s) is regulated by the bilateral agency agreement (s) and the public agreement.

5.2. The list of Exchange agents is approved by the Director or other authorized person of the Exchange and published on the Exchange's website <https://www.uub.com.ua>.

5.3. Decision to hire an agent is made by the Exchange. Information on the involvement of the agent is published in the announcement of the relevant auction (s) and contains, in particular, the name of the agent, identification code, contact details.

In the auctions to which the Exchange engages an agent, such agent shall facilitate the proper organization and conduct of such auctions, as well as the Exchange's implementation of control measures over compliance by trading participants with the legislation, the Regulations, the Exchange Rules, other internal documents of the Exchange, decisions of the Supervisory Board of the Exchange and obligations of such participants under exchange transactions/contracts for the purchase and sale of untreated

timber/firewood/lumber, concluded as a result of exchange trading, in accordance with the Procedure.

The procedure of interaction of the Exchange with the agent and participants of auctions in the direction of "Untreated timber and lumber" is regulated by the public Agreement (**Appendix № 9 to the Regulations**).

Participants join the Public Agreement by submitting to the Exchange an Application for Accession to the Public Agreement, which is an Annex to it.

5.4. The application for accession signed by the participant is an acceptance of the public contract and provides for full and unconditional acceptance of all terms of the public contract with the relevant Annexes to it, and neither the agent nor the participant can offer their terms of the public contract.

5.5. A Public Agreement is considered to be concluded by the participant in case the Exchange makes a positive decision on granting it permission to lease the Exchange place. (for the branch / representative office of the participant - granting permission to participate in exchange trading) in accordance with clause 2.6. of the Regulations.

5.6. The signing of a bilateral agency agreement by an agent is an acceptance of the agent of the public agreement (Annex № 9 to the Regulations) with the relevant Annexes thereto.

5.7. The duties of the agent are determined by the Regulation on the regulation of relations with the agent, the agency contract and the public contract.

6. PROCEDURE FOR ACCEPTANCE OF APPLICATIONS FOR PARTICIPATION IN THE AUCTION FROM BIDDERS

6.1. Bidders who wish to participate in the auction as buyers or sellers submit applications for participation in the auction of the Exchange using ETS. The form of the application and the procedure for its submission are determined by the Exchange.

In sales auctions, the submission of applications for participation by bidders who wish to participate in the sales auction as buyers ends at 12:00 on the working day preceding the day of the sales auction.

In purchase auctions, submission of applications for participation by bidders who wish to participate in the purchase auction as sellers ends at 5:00 p.m. on a working day 1 (one) business day before the day of the purchase auction. Applications submitted after 5 p.m. will be rejected by the Exchange.

6.2. Fees for data processing and guarantee fees like an instrument for ensure of exchange agreements implementing (regarding auctions for the sale of untreated timber, auctions for the sale of firewood of non-industrial use, for auction participants who are residents of Ukraine), auctions for the sale of wood for industrial use, in which only residents of Ukraine can participate as buyers (for bidders who are residents of Ukraine), as well as other payments, must be credited to the accounts of the Exchange or the agent's account specified in the announcement of the auction in the terms specified in the announcement (does not apply if the Supervisory Board makes a decision to cancel the payment of the fee for data processing, guarantee fees, as well as other payments/fees).

The guarantee fee (regarding auctions for the purchase of untreated timber, auctions for the purchase of firewood (for auction participants - residents of Ukraine), as well as other payments, must be credited to the accounts of the Exchange by the initiator of the purchase auction in the amount specified in the Tariffs of the Exchange, until the publication of the announcement of the corresponding purchase auction (does not apply in case of adoption by the Supervisory Board of the decision to cancel the payment of guarantee fees, as well as other payments/contributions).

6.3. Applications for participation in the auction for the sale of untreated timber /firewood/lumber of non-industrial use, that do not meet the requirements of this Regulations, submitted by the bidder after the expiration of the established period or not provided with a guarantee fee like an instrument for ensure of exchange agreements implementing (regarding auctions for the sale of untreated timber, auctions for the

sale of firewood (for bidders who are residents of Ukraine), auctions for the sale of wood for industrial use, in which only residents of Ukraine can participate as buyers (for bidders who are residents of Ukraine), whether the participant has not paid the fee for data processing or another payment (fee), if it is established by the Exchange (does not apply in the cases determined by individual decisions by the Supervisory Board), are not accepted, and the participant is not admitted to the auction for the relevant lot(s).

An application for an auction for the purchase of untreated timber /firewood/lumber, which does not meet the requirements of this Regulations, was submitted by the initiator of the auction in violation of the terms specified in this Regulations or was not provided with a guarantee fee (regarding auctions for the purchase of untreated timber, auctions for the purchase of timber of firewood (for auction participants – residents of Ukraine), whether other payments (contributions) have not been paid (does not apply in cases determined by individual decisions by the Supervisory Board) if they are set by the Exchange, they are not accepted.

Applications for participation in the auction for the purchase of untreated timber /firewood/lumber that do not meet the requirements of this Regulations, submitted by a bidder (seller) after the expiration of the established period, will not be accepted, and the bidder will not be admitted to the auction for the purchase of the corresponding (- i) by lot(s).

6.4. In the event that in the application for participation in the auction for the sale of untreated timber /firewood, the participant-buyer indicated the lot(s), the seller(s) of which is the forest farm(s), to participate in the auctions which participant(s) is not admitted due to the imposition of sanctions on him, such application may be rejected by the Exchange as a whole.

6.5. Bidders who submitted bids agree to the conditions of participation in the auction, certify their unconditional consent to the processing of their personal data, the implementation of this Regulations, confirm the legal significance of their actions during participation in the auction, in particular, actions taken in the ETS and using the ETS, as well as their consequences.

The bidder may withdraw the submitted bid for participation in the auction before the deadline for submission of bids specified in the auction announcement.

6.6. Applications for participation in the auction and application for withdrawal of the application for participation in the auction shall be submitted by means of the ETS and signed by the bidder's broker by applying an electronic signature.

6.7. Acceptance of applications for participation in the auction is terminated at the time specified in the announcement of the auction.

7. DATA PROCESSING FEE AND WARRANTY FEE

7.1. To participate in the auction for sale, the participant (buyer) pays a fee for data processing to the account of the Exchange or the agent, in case the latter is involved by the Exchange in the organization of the auction. In auctions for the sale of lumber and auctions for the sale of wood for industrial use (UKTZED code 4401), in which both residents and non-residents of Ukraine can participate as buyers, no fee is paid for data processing by the buyer participants. The fee for data processing is fixed and is paid for participation in the trading session (and in the case of participating in Dutch auction – for participating in both trading sessions, during which such an auction is holding on) regardless of the number of auctions in which the buyer participates, in the amount in accordance with the Exchange Tariffs.

7.2. In order to ensure the conclusion of purchase and sale agreements of untreated timber / lumber based on the results of the auction (on the basis of concluded exchange agreements), the auction participants buyers residents of Ukraine (industrial use, in which only residents of Ukraine can participate as buyers) for auctions for the sale of timber) transfer the guarantee fee to the Exchange, like an instrument for ensure of exchange agreements implementing, except the auctions for the sale of lumber, and auctions for the sale of industrial wood (UKTZED code 4401), in which both residents and non-residents of Ukraine can

participate as buyers, where the guarantee fee by the participants-buyers is not transferred. The Supervisory Board of the Exchange may decide to cancel the payment of the guarantee fee in case of force majeure, including for the period of martial law. In this case, the guarantee fee is not transferred and supporting documents for its payment are not provided.

7.2.1. In order to guarantee fulfillment of obligations under the untreated timber purchase and sale Contract, under which the goods will be delivered within the lot by separate subdivisions (branches) of the seller, the auction participant buyer (winner of the auction) shall transfer to the Exchange within 5 (five) business days starting from the next business day after the day of the relevant auction, a guarantee fee in the amount of 5 (five) % of the starting price of the lot purchased at the auction as security for the performance of the purchase and sale Contract.

In case of non-payment of the guarantee fee by the buyer (winner of the auction) as a security for the contract performance, such untreated timber purchase and sale Contract concluded on the basis of the auction results (if concluded) shall be automatically terminated, and the Exchange shall notify the relevant parties to the contract.

7.2.2. To ensure the conclusion of purchase and sale contracts for untreated timber/firewood/lumber based on the results of the auction (based on exchange agreements), the auction initiator (for auctions for the purchase of fire wood, the auction initiator is an exclusively resident of Ukraine) transfers the guarantee fee to the Exchange, except for auctions for the purchase of lumber, where the guarantee fee is not transferred by the purchasing participants. The Supervisory Board may decide to cancel the guarantee fee in case of force majeure, including for the period of martial law. In this case, the guarantee fee shall not be transferred and no supporting documents shall be provided.

7.3. The payment of the fee for data processing and the transfer of the guarantee fee are made by the participant of the sale auction (buyer)/initiator of the purchase auction (buyer) in the national currency of Ukraine, taking into account the fourth paragraph of this clause (does not apply if the Supervisory Board makes a decision to cancel the payment of the fee for processing data, guarantee fee):

- the amount of the fee for data processing is established by the decision of the Supervisory Board and is specified in the Tariffs of the Exchange;
- the amount of the guarantee fee is determined by the decision of the Supervisory Board and is specified in the Tariffs of the Exchange. The guarantee fee is transferred without VAT.

When paying the fee for data processing by a participant of the sales auction (buyer) who is a non-resident of Ukraine, such fee may be paid in foreign currency. In this case, the amount of the contribution for data processing in currency is equivalent to the amount of the contribution established by the decision of the Supervisory Board in hryvnias at the official exchange rate of the National Bank of Ukraine as of the day of the auction.

7.4. The data processing fee and the guarantee fee in sales auctions must be transferred to the account of the Exchange/agent in the order and terms specified in the auction announcement, the guarantee fee in purchase auctions must be credited to the accounts of the Exchange by the initiator of the purchase auction by the time of publication announcement of the corresponding purchase auction (does not apply in case of adoption by the Supervisory Board of the decision to cancel the payment of the fee for data processing, guarantee fee).

8. PROCEDURE OF THE AUCTION

8.1. In order to ensure the proper organization and conduct of the auction, the Exchange appoints an ETS Administrator - an employee of the Exchange, who carries out comprehensive communication with the auction participants and the agent, in the case of his involvement by the Exchange. The e-mail address and contacts of the ETS Administrator are published in the auction announcement.

8.2. The seller or buyer submits an application for the auction to the Exchange by providing a file of the corresponding filling form. When conducting auctions for the sale / purchase of firewood/lumber, the Exchange can provide information to the auction participants about the starting price of the lot indicated by the initiator of the purchase-sale auction in the application in the national currency of Ukraine and information - in foreign currency (s) according to the official at the exchange rate of the National Bank of Ukraine as of the day of application submission. The starting price for the sale of firewood/lumber may be revised in connection with the change in the official rate of foreign currency in relation to the national currency (hryvnia).

8.3. A buyer or seller who wishes to participate in the auction submits an application signed by electronical signature for participation in the auction to the Exchange through ETS by accepting the seller's application(s) for sale or the buyer's application(s) for purchase.

An application for participation in the auction, after signing with electronic signature is considered submitted after its registration in the ETS as a purchase application or a sale application and on the condition that the bidders fulfill their financial obligations, as well as other requirements of this Regulations, established for the buyer bidders or the seller bidders.

8.4. Before the start of the auction, participants are registered in ETS. Participants who have fulfilled all the requirements established by this Regulations are admitted to the auction.

8.5. The buyer/seller has the right to participate in the auction only for those lots specified in his application for participation in the auction, unless otherwise determined by the Exchange. Actions of the buyer/seller that do not correspond to his application in electronic form are not taken into account by the Exchange and ETS and do not cause changes in the price of the lot and execution of the transaction.

8.6. The purchase auction process is divided into three periods:

1. Pre-trade period.

The pre-trading period begins when the auction initiator (buyer) submits an application for an auction for the purchase of untreated timber /firewood/lumber and ends with the Exchange notifying the bidders (sellers) about their admission to the purchase auction.

The exchange, no later than 12:00 on the working day preceding the day of the purchase auction, provides the initiator of the purchase auction (buyer) with a list of bidders - sellers who have applied for participation in the purchase auction, which indicates the names of the legal entities person/surname, first name, patronymic of an individual entrepreneur and identification code of a legal entity/taxpayer registration card registration number or series (if available) and passport number (for individuals and individual entrepreneurs who through their religious convictions refuse to accept the registration number of the taxpayer's account card and have informed the relevant supervisory body about it and have a mark in the passport) of the participant.

The initiator of the purchase auction, based on its internal documents, procedures, etc., compiles the List of bidders - sellers admitted to the purchase auction (in the form according to **Appendix No. 11 to this Regulations**) from among the participants who submitted applications for participation in the auction, and submits it Exchanges no later than 3:00 p.m. on the working day preceding the day of the auction.

The list of bidders - sellers admitted to the purchase auction is a document that expresses the will and needs of the initiator of the purchase auction and equates to the qualification requirements for the bidders - sellers declared by the initiator of the purchase auction.

The exchange notifies about the admission to the purchase auction of all bidders - sellers, specified in the List of bidders - sellers admitted to the purchase auction, until 5:00 p.m. on the working day preceding the day of the purchase auction.

2. Trading period.

The bidding period begins at the time specified in the announcement and ends with the determination of the winner of the purchase auction.

3. Post-trade period.

The post-trading period begins after the determination of the winner of the purchase auction, includes the signing of the exchange (auction) certificate by the Exchange, the sending of the exchange (auction) certificate to the participants of the exchange agreement, and ends with the Exchange receiving from the participants of the exchange agreement a scanned copy of the contract for the sale of untreated timber /firewood . Auctions can be held for all lots at the same time or according to the trading schedule published by the Exchange. At the decision of the Exchange, auctions of lots of individual sellers/buyers for a certain nomenclature or breed may be held at different times during one trading session.

8.7. During the auction, the price of the lot changes according to the step. Number of steps is not limited.

8.8. During the sale auction, the participant (buyer) has the right to trade for the corresponding lot by increasing the price offer, and during the purchase auction, the participant (seller) has the right to trade for the corresponding lot by lowering the price offer.

8.9. The auction for the sale or purchase of the lot is considered completed within the period established by the ETS, with the recording of the last change in price offers and the participant who made such changes. The winner of the corresponding lot is the participant who offered the highest price (auction for sale) or the lowest price (auction for purchase) and his offer was recorded during the auction (subject to compliance with other criteria, if such were specified by the seller/buyer in the application) about conducting the auction).

8.10. During the Dutch auction, the bidding period shall be conducted in two trading sessions:

8.10.1. The first bidding session shall be held in accordance with the general rules of the price increase auction. The winner of the respective lot shall be the bidder who offered the highest price for it and whose bid was recorded during the bidding.

Based on the results of the first bidding session, all unsold lots shall be put up for sale in the second bidding session with the possibility of gradual reduction of the starting price of the lot, acceptance of the current value of the lot and submission of counter bids.

8.10.2. The second trading session shall begin with a countdown for each lot. The second trading session shall be attended by the buying participants who have fulfilled all the requirements set forth herein and were admitted to the first trading session. Such buyer participants shall be entitled to participate in the second trading session for all unsold lots, and not just the ones that were bid for in the auction.

During the countdown, the seller shall have the right to:

- accept a gradual decrease in the starting price of the lot by 1 (one) step;
- set an automatic decrease in the starting price of the lot by 1 (one) step with the completion of each countdown for the lot;
- set a lower limit for the automatic reduction of the starting price of the lot;
- consider the bids and make one of the following decisions: accept, reject or take no action;
- not to change the current price of the lot and complete the bidding on it after the end of the countdown.

During the countdown, the buyer shall have the right to:

- submit a counter-offer for the lot and withdraw it;
- start bidding on the lot at the current price;

- take part in the bidding for the respective lot in accordance with the general rules of the price increase auction.

Any price offer may be made by the buyer only within the available balance of the guaranty fee at the time of the corresponding action in the ETS. The guarantee fee balance shall be recalculated in the ETS automatically throughout the entire period of the second trading session.

Upon completion of the countdown for all lots, the second trading session and the Dutch auction as a whole shall be deemed completed.

8.11. From the moment of determining the ETS of the winner for the relevant lot, it is considered that the seller (including in the cases provided for by this Regulations, the seller acting on behalf and at the expense of the client (s)) and the buyer have reached agreement on all essential terms of the transaction, and the parties (including the client(s), on whose behalf and in whose interests the seller acted) are responsible for the further execution of the concluded exchange agreement.

8.12. Exchange trading may be stopped, terminated, canceled, renewed or postponed in the cases and in the manner provided by this Regulations and/or other internal documents of the Exchange.

9. PROCEDURE FOR DOCUMENTS PROCESSING BASED ON THE RESULTS OF THE AUCTION

9.1. Based on the results of the auction and concluded exchange agreements, Exchange shall form exchange (auction) certificates signed by the Exchange.

Based on the results of the auction for the sale of untreated timber/firewood with delivery terms specified in clause 3.6 hereof, where the auction initiator may act on behalf of and at the expense of the client(s), and concluded exchange transactions, Exchange shall form exchange (auction) certificates for each client of the auction initiator.

In auctions for the purchase/sale of untreated timber/firewood /lumber, Exchange shall send the signed exchange (auction) certificate to the auction initiator and the auction winner by electronic document exchange services (M.E.Doc, SOTA, FlyDoc and FREDO) and/or send a scanned copy of the exchange (auction) certificate signed by the Exchange to their e-mail addresses.

In auctions for the sale of untreated timber/firewood with delivery terms specified in clause 3.6 hereof, where the auction initiator may act on behalf of and at the expense of the client(s), the Exchange shall sign and send exchange (auction) certificates to the auction initiator, his client(s) and the auction winner.

9.1.1. Execution and exchange of exchange (auction) certificates, acts of work performed under these Rules may be carried out with application of the provisions of the Law of Ukraine "On Electronic Documents and Electronic Document Management" and the Law of Ukraine "On Electronic identification and electronic Trust Services".

9.1.2. Exchange (auction) certificates in paper and electronic forms shall have equal legal force.

9.2. Exchange (auction) certificate formed on the basis of concluded exchange agreements, shall be the basis for conclusion of the contract of purchase and sale of untreated timber/firewood for industrial use (for bidders - residents of Ukraine)/wood for non-industrial use/firewood between the seller (initiator of the auction) and the buyer (winner of the auction) in the sale auctions and the buyer (initiator of the auction) and the seller (winner of the auction) in the purchase auctions within 5 (five) business days, starting from the next business day following the day of the relevant auction.

The contract of purchase and sale of untreated timber/firewood for industrial use with the winner of the auction (buyer) - a resident of Ukraine/wood for non-industrial use shall be concluded in the standard form approved by the Supervisory Board of the Exchange and posted in the Exchange's ETS by signing the formed contract in the Exchange's ETS with electronic signatures of authorized persons (QES or UES). In

this case, signing of the contract of purchase and sale of untreated timber/firewood for industrial use with the winner of the auction (buyer) - a resident of Ukraine/firewood for non-industrial use by the parties in paper form is not required and is not a prerequisite for its entry into force. Together with the contract of sale of untreated timber/lumber, the parties shall conclude the Delivery Schedule, which is an integral part of the contract, by signing the generated delivery schedule in the Exchange's ETS with electronic signatures of authorised persons (QEP or UEP). Such delivery schedule shall be generated automatically in the ETS of the Exchange, taking into account the size of the minimum volumes of batches of the exchange-traded commodity (by breed and grade) according to the reference book posted in the ETS of the Exchange.

In some cases, the Supervisory Board of the Exchange may approve the conclusion by the parties to the exchange deal (exchange deals) of a contract for the purchase and sale of untreated timber/firewood in paper form if there are circumstances beyond the control of the party (parties) that make it impossible to conclude the contract in electronic form. Consideration by the Supervisory Board of the Exchange of the above issues shall be carried out on the basis of a substantiated request of the relevant party to the exchange transaction (exchange transactions) upon written consent of the other party to the exchange transaction (exchange transactions) sent to the Exchange's e-mail address: lis@uub.com.ua.

9.2.1. Exchange (auction) certificate formed on the basis of concluded exchange agreements on purchase and sale of industrial wood (UKTZED code 4401), is the basis for concluding a foreign economic agreement for the sale and purchase of industrial wood (UKTZED code 4401) between the seller (initiator of the auction) and the buyer (winner of the auction) - non-resident of Ukraine within 10 (ten) business days starting from the next business day after the day of the relevant auction.

A foreign economic contract for the sale and purchase of industrial wood (UKTZED code 4401) with the winner of the auction (buyer), a non-resident of Ukraine, formed in the Exchange's ETS in accordance with the standard form approved by the Exchange's Supervisory Board, is concluded in paper form (due to the inability of non-residents of Ukraine to use electronic signatures (QES or UES) of authorized persons).

9.2.2. In auctions for the sale of untreated timber/firewood with delivery terms specified in clause 3.6. of this Regulations, where the bidder-seller may act on behalf of and at the expense of the client(s), the exchange (auction) certificate(s) generated on the basis of the concluded exchange transaction(s) is the basis for concluding the contract(s) of sale and purchase of untreated timber/firewood between the seller, the seller's client(s) and the buyer (auction winner).

If a non-resident of Ukraine (a legal entity or an individual carrying out entrepreneurial activities) is the buyer in an auction for the sale of lumber/wood for industrial use (UKTZED code 4401), the price specified in the exchange (auction) certificate shall be indicated in UAH and foreign currency(s) at the official exchange rate of the National Bank of Ukraine as of the day of the auction.

9.3. On the basis of the exchange (auction) certificates signed in accordance with clause 9.1. of the Regulations of exchange (auction) certificates formed on the basis of concluded exchange agreements, the initiator of the auction and the winner (s), in auctions for the sale of untreated timber / firewood with delivery terms specified in clause 3.6. of these Rules, where the auction initiator may act on behalf of and at the expense of the client(s), the auction initiator, the client(s) of the auction initiator and the winner(s) shall conclude the contract(s) for the sale of untreated timber/firewood within 5 (five) business days inclusive from the date of the relevant auction.

9.3.1. If the parties of the firewood purchase and sale agreement are residents of Ukraine, the price of the purchase and sale agreement is determined in the national currency of Ukraine (hryvnia). If the buyer is a resident of Ukraine and the seller is a VAT payer, the total value of the timber specified in the exchange (auction) certificate, excluding VAT, in the sale and purchase agreement shall be increased by the amount of VAT.

If a non-resident of Ukraine is one of the parties of lumber purchase and sale agreement, the price of sale and purchase agreement (the total cost of timber determined in the sale and purchase agreement) may be

determined in foreign currency and must correspond to the total cost of timber in such foreign currency, which is indicated in the exchange (auction) certificate on the basis of which the timber sale and purchase agreement is concluded.

9.3.2. If the parties of firewood purchase and sale agreement are residents of Ukraine, the price of the sale and purchase agreement (the total cost of firewood specified in the sale and purchase agreement) shall be determined in the national currency of Ukraine (hryvnia) and shall correspond to the total value of the firewood including VAT or excluding VAT in accordance with the terms of the exchange agreement, which is specified in the exchange (auction) certificate on the basis of which the firewood sale and purchase agreement is concluded.

If a non-resident of Ukraine is one of the parties of industrial wood purchase and sale agreement (UKTZED code 4401), the price of the sale and purchase agreement (the total cost of industrial wood (UKTZED code 4401) specified in the sale and purchase agreement) is equal to the total cost of industrial wood (UKTZED code 4401) specified in the exchange (auction) certificate (during the auction for the sale of industrial wood (UKTZED code 4401), the starting price shall be reduced by the amount of VAT). At the same time, the price of the purchase and sale agreement (the total cost of industrial wood (UKTZED code 4401), which is specified in the purchase and sale agreement) includes the cost of loading industrial wood (UKTZED code 4401) at the intermediate storage warehouse of the bidder (seller), the cost of services for the transportation of industrial wood (UKTZED code 4401) to the shipment station, the cost of unloading and loading industrial wood (UKTZED code 4401) onto railway cars, the cost of brokerage services (phytosanitary certificate, customs declaration).

The price of the purchase and sale agreement may be determined by the parties in foreign currency. In this case, the price of the contract for the sale and purchase of wood for industrial use (UKTZED code 4401) must be equivalent to the contract price calculated in UAH at the official exchange rate of the National Bank of Ukraine as of the day of the auction.

9.3.3. In auctions for the sale of untreated timber/firewood with delivery terms specified in clause 3.6. of this Regulations, where the bidder-seller may act on behalf of and at the expense of the client(s)), if the client(s) of the bidder is not a VAT payer(s), the price (total value) of unprocessed timber/firewood in the exchange (auction) certificate/ contract of sale of untreated timber/firewood shall be indicated without VAT.

9.4. All rights and obligations of the buyer, the seller, the seller's client(s) and the procedure for settlements and delivery of the exchange commodity shall be stipulated in the contract of sale of untreated timber/firewood, concluded on the basis of the results of the auction for sale of untreated timber/firewood with the delivery terms specified in clause 3.6. of these Rules, where the bidder-seller may act on behalf of and at the expense of the client(s).

All settlements for the exchange commodity shall be made directly between the seller (seller's client(s) in auctions for the sale of untreated timber/firewood with delivery terms specified in clause 3.6. of this Regulations (where the seller may act on behalf of and at the expense of the client(s)) and the buyer, taking into account the buyer's guarantee fee in accordance with the procedure provided for by this Regulations for auctions for the purchase/sale of untreated timber, auctions for the purchase/sale of firewood (only in case when the buyer is a resident of Ukraine), transferred by him to the current account of the Exchange as collateral to guarantee the execution of the exchange transaction (if the Supervisory Board decides to cancel the payment of the guarantee fee, all settlements in full shall be made directly between the seller and the buyer).

In case the seller in auctions for the sale of untreated timber/firewood with delivery terms specified in clause 3.6. of these Rules, where the seller bidder may act on behalf of and at the expense of the client(s), represents the interests of two or more clients, the amount of the buyer's guarantee fee in the calculations shall be distributed proportionally between such clients depending on the volume of sold untreated timber/firewood.

9.5. For violation of the terms of the contract of purchase and sale of untreated timber / firewood / lumber, seller, seller's client (s) in auctions for the sale of untreated timber / firewood with delivery terms specified in clause 3.6. of these Rules, where the seller may act on behalf of and at the expense of the client(s), and the buyer shall bear the responsibility determined by such agreement, the Sanctions Procedure, other internal documents of the Exchange and the current legislation of Ukraine.

9.6. The Exchange shall use means of facsimile reproduction of signatures (by means of mechanical copying means - facsimile) of the Exchange's authorized persons (according to samples **in accordance with Appendices No. 12 and No. 16 hereto**). The documents certified by facsimile reproduction of the signature of the Exchange's authorized person shall be duly signed and have the legal force of documents executed by the original handwritten signature of the Exchange's authorized person.

9.7. In case of failure of the parties to conclude the contract (-s) of purchase and sale of untreated timber / firewood /lumber within the period specified by these Rules, exchange transaction (-s), results of exchange trading, on the basis of which such exchange transaction(s) and the corresponding exchange (auction) certificate(s) shall be deemed canceled from the next business day after the expiration of such period in accordance with this clause of the Rules, and the parties to the exchange transaction(s) shall lose the right to conclude the contract(s) of purchase and sale of untreated timber / firewood / lumber based on such results of the exchange trading. With regard to the purchase and sale agreements concluded in accordance with the second paragraph of clause 9.2 hereof, the cancellation shall be made automatically in the Exchange's ETS.

9.8. In case of cancellation of the exchange agreement (exchange agreements), the parties of such agreement (agreements) shall not be released from liability for violation of the Exchange Rules and/or the Regulations and/or other internal documents of the Exchange and/or resolutions of the Supervisory Board of the Exchange, as well as payment of the exchange fee and other mandatory payments provided for by these Rules, internal documents of the Exchange, unless otherwise determined by resolution of the Supervisory Board of the Exchange.

9.9. In case of cancellation of an exchange transaction in auctions for sale/auctions for purchase of untreated timber/firewood (for bidders - buyers - residents of Ukraine), the amount of the guarantee fee or the balance of the guarantee fee under the canceled exchange transaction paid by the participant - buyer shall be distributed (refunded/not refunded and withheld by the Exchange in accordance with the Procedure for Application of Sanctions) by the decision of the Supervisory Board of the Exchange.

10. SETTLEMENT PROCEDURE

10.1. To reimburse the Exchange for expenses related to the organization and conduct of the auction, the bidder - the buyer (auction winner in the sale auction/auction initiator in the purchase auction) is charged an exchange fee for the organization and conduct of the electronic auction.

If an agent was involved in the organization of the auction under an agency contract, the winner of the sale auction/auction initiator in the purchase auction pays the agent a fee in accordance with the terms of the public contract.

10.2. To the bidder - the buyer (winner of the sale auction / initiator of the auction for the purchase), who disrupts its obligations on implementation of exchange Agreement and enter into a contract of purchase and sale of untreated timber / firewood (only if when the buyer is a resident of Ukraine) with the seller (seller and the client(s) of the seller in auctions for the sale of untreated timber/ firewood with the delivery terms specified in Clause 3.6 of this Regulations, where the bidder - the seller can act on behalf and at the expense of the client(s)) and / or violated (improperly fulfilled) his obligations under the concluded exchange agreement/concluded the terms of the contract of sale of untreated timber / firewood (only if the buyer is a resident of Ukraine) and terms the agreed delivery schedule to this agreement, Exchange may imposed sanctions in accordance with the Procedure of applying sanctions.

10.3. To the bidder - buyer in sales auctions, application for participation in the auction, from which ETS was registered, but who refused to participate in the auction or did not participate in the auction, the amount of data processing fee is not refundable, but withheld by the Exchange his / her costs for the organization of the auction (not applicable in case the Supervisory Board decides to cancel the payment of the fee for data processing).

10.4. Buyers who applied for participation in the sales auction/auction initiator who applied for an auction for the purchase of untreated timber / firewood (exclusively in the case when the buyer is a resident of Ukraine), but did not purchase the lots declared by them, a guarantee fee is to be returned within 3 (three) banking days from the day following the end of the respective auction. Transfer of funds to the account of third parties is not allowed (does not apply if the Supervisory Board decides to cancel the payment of the guarantee fee).

Risks related to the untimely notification to the Exchange of information about the change of bank account and new payment details, for which the Exchange returns the guarantee fee, are borne by the bidder - the buyer. The buyer is obliged to notify the Exchange in writing about the change of bank account and payment details within 2 (two) banking days from the day following the end of the relevant auction.

10.5. Seller -client(s) of the seller in auctions for the sale of untreated timber/firewood with delivery terms specified in Clause 3.6. of this Regulations, where the bidder - the seller may act on behalf and at the expense of the client(s)) and the buyer to confirm their fulfillment of their obligations under the concluded exchange agreement, namely: signing (conclusion) by the seller (client(s) of the seller) in auctions for the sale of untreated timber/ firewood with the delivery terms specified in clause 3.6. of this Regulations, where the seller bidder may act on behalf and at the expense of the client(s)) and the buyer specified in the exchange (auction) certificate, contract(s) for the purchase and sale of untreated timber / firewood / sawn timber, must submit a notification to the e-mail address of the Exchange (lis@uub.com.ua) with an attachment to it of such contract(s).

10.5.1. For transfer by the Exchange to the Seller (separate subdivisions (branches) of the seller) or his successor in cases provided for by this Regulations, (to the seller's client (s) in the auctions for the sale of untreated timber / fire wood with delivery terms specified in clause 3.6. of this Regulations, where the trading participant - Seller can act on behalf and at the expense of the client (s)) of the guarantee fee (part of the guarantee fee) of the buyer (minus the amount of the Exchange fee). As partial payment for the exchange commodity purchased at the auction, the Seller or his successor (the Seller's client (s) in auctions for the sale of untreated timber / fire wood with delivery terms specified in clause 3.6. of this Regulations, where the bidder - Seller can act on behalf and at the expense of the client (-s)) shall, in addition of the contract of sale of untreated timber / fire wood (only in the case when the buyer is a resident of Ukraine) to provide to the Exchange's e-mail address (lis@uub.com.ua) a letter confirming the fulfillment by the parties of the terms of the exchange transaction specified in the exchange (auction) certificate namely conclusion of the exchange agreement on the conditions of the exchange agreement (the provisions of this subparagraph do not apply in case the Supervisory Board decides to cancel the payment of the guarantee fee).

If the delivery of goods under the concluded exchange contract will be carried out by separate divisions (branches) of the seller, the seller may request the Exchange to transfer part of the buyer's guarantee fee (less the amount of the Exchange's exchange fee) in favor of such separate divisions (branches). In such a case, the seller must specify in the letter the specific amounts of the parts of the guarantee fee (less the Exchange's exchange fee) to be transferred by the Exchange to the accounts of its separate subdivisions (branches), as well as the details of the bank account of each separate subdivision (branch) to which the Exchange should transfer the said funds.

10.6. Within 3 (three) banking days, the Exchange shall transfer to the seller (to separate subdivisions (branches) of the seller) the funds in the amount of the guarantee fee (part of the guarantee fee) of the auction participant (winner) in the sale auction (except for auctions for the sale of untreated timber / fire

wood (only in case the buyer is a resident of Ukraine) with the delivery terms specified in clause 3.6. of this Regulations, where the bidder - seller may act on behalf and at the expense (to separate subdivisions (branches) of the seller) of the client (s) / initiator of the auction in the purchase auction (minus the amount of the exchange fee) for further consideration by the seller (client (s)) in settlements with the auction participant (winner) / initiator of the auction when making payment for the purchased untreated timber / fire wood (only if the buyer is a resident of Ukraine). The term established by this clause for the transfer of the guarantee fee by the Exchange does not apply in the case provided for in clause 10.7. of this Regulations.

The provisions of this clause do not apply in case the Supervisory Board decides to cancel the payment of the guarantee fee, and settlements under the contract of sale of untreated timber / fire wood (only if the buyer is a resident of Ukraine) are made directly between the seller and the buyer in full.

10.6.1. In the auctions for the sale of untreated timber / fire wood (only if the buyer is a resident of Ukraine) with the delivery terms specified in clause 3.6. of this Regulations, where the trading participant - seller may act on behalf and at the expense of the client (s), the Exchange shall transfer to the seller's client (s) 50% of the guarantee fee (minus the amount of the Exchange's exchange fee) as partial payment for the untreated timber / fire wood purchased at the auction. The remaining 50% of the guarantee fee shall be refunded by the Exchange to the winner of the auction to the buyer after confirmation of the fulfillment of the terms of the concluded contract of sale of untreated timber / fire wood and the agreed delivery schedule to this contract (the provisions of this paragraph shall not apply in case the Supervisory Board decides to cancel the payment of the guarantee fee).

Final settlements are made directly between the parties of the purchase and sale of untreated timber / fire wood contract.

10.6.2. If the supply of goods under the concluded sale and purchase agreement will be carried out by separate subdivisions (branches) of the seller, the guarantee fee (excluding the exchange fee) paid by the auction participant to the Exchange's account by the buyer as a measure to ensure the fulfillment of exchange transactions shall be transferred to the seller / distributed by the Exchange between the seller's separate divisions (branches) that will deliver the goods under the concluded sale and purchase agreement, within the terms and procedure specified in cl. 10.5, clause 10.6 of the Regulations, and subject to the auction participant's payment by the buyer to the Exchange's account of the guarantee fee as a means of ensuring the fulfillment of the purchase and sale contract in accordance with sub-clause 7.2.1 of clause 7.2 of the Regulations.

Guarantee fee paid as a means of securing fulfillment of obligations under the purchase and sale contract in accordance with sub-clause 7.2.1 of clause 7.2 of the Regulations shall be transferred by the Exchange to the seller as partial payment for the last batch of goods under the untreated timber purchase and sale contract on the basis of the seller's written request to the Exchange and based on the results of the Exchange's verification of the buyer's full performance of the purchase and sale contract in terms of payment and acceptance of previous batches of goods in accordance with the delivery schedule. If the Exchange establishes, based on the results of such verification, the facts of non-fulfillment/partial non-fulfillment of the said terms of the purchase and sale contract, such buyer's auction participant may be subject to sanctions in accordance with the Procedure for Application of Sanctions in the form of withholding such guarantee fee (part of guarantee fee) based on the relevant decision of the Exchange Supervisory Board.

In case of the seller's written application to the Exchange with a notice of the buyer's failure to fulfill the terms of the sale and purchase agreement with a copy of the letter of withdrawal from the agreement addressed to the buyer in accordance with the procedure specified in the sale and purchase agreement, such a buyer's auction participant may be subject to sanctions in accordance with the Procedure for Application of Sanctions based on the relevant decision of the Exchange's Supervisory Board in the form of withholding the guarantee fee paid to the Exchange's account as a means of ensuring fulfillment of obligations under the purchase and sale contract.

10.6.3. If in the result of provision of monitoring of participant's fulfillment of its obligations according to purchase and sale contracts by the Exchange, by which commodity supply providing in scope of the lot is carrying out by the subsidiary (branch) of the seller, fulfillment of such contract will be carried out between 97-103%, guaranty fee, as the measure of obligations fulfillment under purchase and sale contract according to p. 7.2.1 of p. 7.2 of the Regulations, to be refunded to the buyer without obliged receipt of written application of the seller.

Based on the information received as a result of the Exchange's monitoring of the performance of the sale and purchase agreement under which the goods are delivered within the lot by separate divisions (branches) of the seller, the guarantee fee (part of the guarantee fee) paid to the Exchange's account as security for the performance of the sale and purchase agreement in accordance with sub-clause 7.2.1 of clause 7.2 of the Rules, shall be returned by the Exchange to the buyer / withheld in accordance with the Sanctions Procedure, by a separate decision of the Supervisory Board of the Exchange.

10.7. If on the date of the auction with delivery terms within the calendar quarter following the quarter when trades occurred in which the auction was held, the seller was undergoing reorganization procedure, the Exchange transfers funds to the seller or his successor (depending on the stage of the seller's reorganization) in the amount of the guarantee fee (part of the guarantee fee) of the auction participant (winner) in the sales auction/auction initiator in the purchase auction (minus the amount of the exchange fee) within the period from the beginning of the quarter, in which the delivery is to take place, until the expiration of the contract of sale of untreated timber / fire wood, concluded as a result of such an auction.

The received funds of the guarantee fee shall be taken into account by the seller or his successor in settlements with the auction participant (winner) in the sale auction / with the initiator of the auction in the purchase auction when making payments for the purchased untreated timber / fire wood (only if the buyer is a resident of Ukraine).

10.8. A separate decision of the Supervisory Board may determine another procedure for transferring the guarantee fee of the auction participant (winner) in the sale auction / initiator of the purchase auction in case of reorganization of the auction participant.

10.9. Exchange transfers a guarantee fee (part of the guarantee fee) to the current account of the seller (separate subdivisions (branches) of the seller) or his successor (current account(s) of the seller's client(s)) with the purpose of payment: "Transfer of the guarantee fee (part of the guarantee fee) from _____ (name of the buyer) _____ according to the auction certificate № ____ dd " ____ " _____ 20__ year under the contract № ____ dd " ____ " _____ 20__ . Excluding VAT" (not applicable if the Supervisory Board decides to cancel the payment of the guarantee fee).

11. EXCHANGE FEE

11.1. To reimburse the Exchange for expenses related to the organization and conduct of the auction for the sale / purchase of untreated timber, firewood from the bidder - the buyer (winner of the auction in the auction for sale / from the initiator of the auction in the auction for purchase, for auctions for purchase / sale of firewood exclusively from a buyer resident of Ukraine), the exchange fee is collected in the amount established by the decision of the Supervisory Board, which is withheld by the Exchange from the amount of the guarantee fee of such auction participant, no later than the third banking day. In case the Supervisory Board decides to cancel the payment of the guarantee fee, the bidder - buyer (winner of the auction in the sale auction / with the initiator of the auction in the purchase auction) transfers the exchange fee within 5 business days starting from the date of Invoice issue.

11.1.1. In auctions for the sale/purchase of lumber, and in auctions for the sale/purchase of wood (UKTZED code 4401) / wood for non-industrial use / in auctions with firewood, the winners/initiators of which are non-residents of Ukraine, after the end of the auction, the conclusion of exchange agreements, in the ETS of the Exchange for each bidder - buyer (auction winner in the auction from the sale/initiator of the auction in the purchase auction) an account is formed for the payment of the stock exchange fee in the

amount established by the decision of the Supervisory Board, and stock (auction) certificates are also formed. The exchange fee is calculated in the national currency of Ukraine (hryvnia). When paying the exchange fee by non-residents, the exchange fee may be paid in foreign currency. In this case, the amount of the exchange fee in foreign currency is equivalent to the amount of the exchange fee calculated in hryvnia in terms of the official exchange rate of the National Bank of Ukraine as of the day of the auction.

The exchange fee is paid by the bidder - the buyer (auction winner in the sale auction/auction initiator in the purchase auction) within 3 (three) banking days from the day of the relevant auction for the sale/purchase of lumber/firewood an auction for the sale of wood for industrial use (UKTZED code 4401)/firewood for non-industrial use/an auction for the purchase of wood.

Provided that the exchange fee from the buyer (auction winner in the sale auction/auction initiator in the purchase auction) is credited to the Exchange's account, the Exchange will hand over the exchange (auction) certificate to the auction winner in the sale auction/auction initiator in the purchase auction, and the seller in the sales auction - information about the buyer's participant who became the winner.

11.2. The amount of the exchange fee is paid by the bidder - the buyer (the winner of the auction in the sale auction / the initiator of the auction in the purchase auction), including value added tax.

11.3. For auctions won by bidders (buyers / sellers) engaged by agents under public contracts in the form of Annex № 9 to this Regulations, the winner of the auction (buyer) in the sale auction / the initiator of the purchase auction undertakes to pay the appropriate agent remuneration in the amount and terms specified in the public contract.

12. WARNINGS

12.1. By submitting the documents for acquiring the status of the Exchange Member, as well as the relevant application for participation in the auction/application for holding the auction, the participants guarantee that when using the ETS and performing actions with its help, they work through personal computers using licensed software, if required by the legislation on copyright and related rights, and also agree to exchange information on lots put up for exchange trading, results of exchange trading (including concluded exchange transactions/exchange contracts) between the ETS of the Exchange and the unified state system of electronic timber accounting, which ensures the collection, storage, processing and protection of information on timber sales.

12.2. For reliable connection of the participant's computer with ETS it is necessary to use the latest (updated) versions of Firefox, Chrome, Opera browsers. Access to ETS must be organized by the participant through open access channels on the Internet without the use of anonymous means: anonymous networks, networks and browsers Tor, I2P, Obfsproxy and similar anonymizers, plugins for browsers with anonymous access, plugins with blocking traffic or traffic, blocks, cookies, applets, streams, etc., use private browsing in the browser when opening the website of the trading system, anonymous proxy servers, VPN channels, VPN tunnels, hiding IP addresses, hide IP addresses, use when accessing ETS safe internet surfing and other means of anonymous access.

12.3. The participant must take measures to prevent circumstances that may interfere with the functioning of the ETC, in particular to ensure reliable anti-virus protection of the participant's automated workstation and prevent virus attacks on the Exchange's website from his workplace, to prevent interference with about the occurrence of circumstances that may interfere with the functioning of the ETS.

12.4. The Exchange has the right to suspend (temporarily suspend) the participant's technical access to the ETS or limit (in whole or in part) the participant's authority to access the ETS in case of violation of this Regulations, in case of unauthorized access to the ETS, circumstances that impede the functioning of the ETS. The participant's technical access to the ETC can be restored only after the situation has been resolved.

12.5. Violation of this Regulations deprives the participant of the right to appeal against technical failures and delays of the ETS identified by him during the auctions.

12.6. The Exchange shall not be liable for any delays or interruptions in the fulfillment of its obligations as a result of force majeure circumstances known as force majeure at the national, regional, local or object levels, man-made, natural, military or socio-political nature, changes in current legislation, actions or inaction of state bodies that impede the fulfillment of obligations or make it impossible to fulfill their obligations.

12.7. The Exchange shall not be liable for any losses incurred by the Participant due to the loss of communication between the Participant's computer and the Exchange's server. The participant assumes all risks associated with insufficient reliability of access via Internet communication channels (disconnection) and unguaranteed bandwidth of Internet communication channels (delays in data transmission).

12.8. The Exchange shall not be liable for any delays or interruptions in the fulfillment of its obligations under this Regulation as a result of the following circumstances: technical failures caused by equipment failures and failures, software failures and errors, system failures and failures in communications, energy supply, air conditioning and other life support systems by the participant.

12.9. Sanctions against the participant who has committed a violation provided for in the Procedure for imposing sanctions, including violated requirements of the Rules and/or Regulations and/or the other internal documents of the Exchange and /or decisions of Supervisory Board, Exchange can impose sanctions according to Procedure of sanctions imposition.

Appendix No. 1
to the Regulations on
organization and conduct of
exchange trading for the purchase and sale of
of untreated timber
and lumber on the commodity exchange
Limited Liability Company
"UKRAINIAN UNIVERSAL EXCHANGE"

Attn. of the Chairman of the Supervisory Board of the Limited Liability Company
"UKRAINIAN UNIVERSAL EXCHANGE"

52, Shevchenko St., Poltava, 36039

Ref. № _____
dd. _____

REGISTRATION FORM
on acquiring the status of a Member of the
Limited Liability Company
"UKRAINIAN UNIVERSAL EXCHANGE"

(for legal entities)

We are asking you to lease an exchange place at the UKRAINIAN UNIVERSAL EXCHANGE LIMITED LIABILITY COMPANY, to conclude a lease Agreement for an exchange place at the UKRAINIAN UNIVERSAL EXCHANGE LIMITED LIABILITY COMPANY, and to grant the right to participate in exchange auctions and carry out exchange transactions at the UKRAINIAN UNIVERSAL EXCHANGE LIMITED LIABILITY COMPANY" (hereinafter - the Exchange).

Accreditation by direction/directions:

Direction(s) of the Exchange, on which we want
to carry out exchange transactions

	<i>Untreated timber and lumber</i>
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Lease term of the exchange place (months)

	<i>12</i>
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Information about the applicant:

Company name (full) Ukrainian	
Company name (abbreviated) Ukrainian	
Company name (full) English	
The company operates on the basis of	
Ownership type	
EDRPOU code	
Individual tax number (if the applicant is a VAT payer)	
Selected taxation system	

Category of the company in accordance with Article 2 of the Law of Ukraine "On Accounting and Financial Reporting"	
Applicable accounting and financial reporting standards	
Legal address	
mailing address	
Phone	
Additional phone (optional)	
Email	

Bank details of the applicant:

Name of the bank	
Current (current account)	
MFI bank	

Information about the applicant's manager:

Surname, first name, patronymic (in the nominative case)	
Surname, first name, patronymic (in the genitive case)	
Position (in the nominative case)	
Position (in the genitive case)	
Passport data <i>Regarding the passport (series, number, issued by whom and date of issue)</i> <i>Regarding the ID card (number, date of issue, who issued it)</i>	
ID number	

We ask you to accredit the person(s) who will perform the powers of broker(s) at the "UKRAINIAN UNIVERSAL EXCHANGE" Limited Liability Company:

Broker - 1

Full Name	
Position	
Passport data <i>Regarding the passport (series, number, issued by whom and date of issue)</i> <i>Regarding the ID card (number, date of issue, who issued it)</i>	
ID number	
Phone	
Additional phone (optional)	
Email	

Broker – 2 (if available)

Full Name	
Position	
Passport data <i>Regarding the passport (series, number, issued by whom and date of issue)</i> <i>Regarding the ID card (number, date of issue, who issued it)</i>	
ID number	
Phone	
Additional phone (optional)	
Email	

***We confirm that the documents provided in electronic form are authentic originals.
We are responsible for the accuracy of the information provided.***

***With the Rules of the Limited Liability Company
"UKRAINIAN UNIVERSAL EXCHANGE", Regulations on the Lease of Exchange place at
the UKRAINIAN UNIVERSAL EXCHANGE Limited Liability Company, Regulations on the
Organization and Conduct of Exchange Trades for the Purchase and Sale of Untreated timber
and Lumber on the Commodity Exchange – Limited Liability Company "UKRAINIAN
UNIVERSAL EXCHANGE" and the Tariffs for Exchange services are familiar with and
undertake to comply.***

We guarantee payment

Position _____ signature

Full name

SEAL

*Appendix No. 1/1
to the Regulations of
organization and implementation
of exchange trades of purchase and sale
of untreated timber
and lumber on the commodity exchange -
Limited Liability Company
"UKRAINIAN UNIVERSAL EXCHANGE"*

Ref. No _____
dd. _____

**Chairman of the
Supervisory Board of the Limited Liability
Company
"UKRAINIAN UNIVERSAL EXCHANGE"**

36039, Poltava, str. Shevchenko, 52

REGISTRATION QUESTIONNAIRE

(for a branch/representative office of a legal entity)

We ask you to grant permission to participate in exchange auctions and carry out exchange transactions at the limited liability company "UKRAINIAN UNIVERSAL EXCHANGE" (hereinafter - the Exchange) in the direction of the Exchange: "Untreated timber and lumber".

Information about the applicant (branches/representative offices of the legal entity:

_____) :
(name of legal entity)

Name of the branch/representative office in Ukrainian (full)	
Name of the branch/representative office in Ukrainian (abbreviated)	
Name of the branch/representative office in English (full)	
The branch/representative office operates on the basis of	
EDRPOU code of the branch/representative office (separate unit)	
Individual tax number (ITN) of the branch/representative office	
Legal address	
mailing address	
Phone	
Additional phone (optional)	
Email	

Bank details of the applicant (branches/representative offices):

Current account number 1

Name of the bank	
Bank address	
Current account	
BIC bank	

Current account number 2

Name of the bank	
Bank address	
Current account	
BIC bank	

Information about the head of the applicant (branches/representative offices):

Surname, first name, patronymic (in the nominative case)	
Surname, first name, patronymic (in the genitive case)	
Position (in the nominative case)	
Position (in the genitive case)	
Passport data <i>Regarding the passport (series, number, issued by whom and date of issue)</i> <i>Regarding the ID card (number, date of issue, who issued it)</i>	
ID number	

We ask you to accredit at the UKRAINIAN UNIVERSAL EXCHANGE Limited Liability Company the person(s) who will perform the powers of the broker(s) of the branch/representative office:

Broker - 1

Full Name	
Position	
Passport data <i>Regarding the passport (series, number, issued by whom and date of issue)</i> <i>Regarding the ID card (number, date of issue, who issued it)</i>	
ID number	
Phone	
Additional phone (optional)	
Email	

Broker – 2 (if available)

Full Name	
-----------	--

Position	
Passport data <i>Regarding the passport (series, number, issued by whom and date of issue)</i> <i>Regarding the ID card (number, date of issue, who issued it)</i>	
ID number	
Phone	
Additional phone (optional)	
Email	

***We confirm that the documents provided in electronic form are authentic originals.
We are responsible for the accuracy of the information provided.***

***With the Rules of the Limited Liability Company
"UKRAINIAN UNIVERSAL EXCHANGE", Regulations on the Exchange place lease at the
UKRAINIAN UNIVERSAL EXCHANGE Limited Liability Company, Regulations on the
Organization and Conduct of Exchange Trades for the Purchase and Sale of Untreated timber
and Lumber on the Commodity Exchange – Limited Liability Company "UKRAINIAN
UNIVERSAL EXCHANGE" and are familiar with the Tariffs for Exchange services and
undertake to comply.***

Position _____ signature

Full name

SEAL

*Appendix No. 2
to the Regulations of
organization and implementation
of exchange trades of purchase and sale
of untreated timber
and lumber on the commodity exchange -
Limited Liability Company
"UKRAINIAN UNIVERSAL EXCHANGE"*

Ref. No _____
dd. _____

Chairman of the Supervisory Board
of the Limited Liability Company
"UKRAINIAN UNIVERSAL EXCHANGE"

36039, Poltava, str. Shevchenko, 52

**REGISTRATION QUESTIONNAIRE
on acquiring the status of a Member on
Limited Liability Company
"UKRAINIAN UNIVERSAL EXCHANGE"
(for individuals - entrepreneurs)**

I am asking you to grant exchange place for lease at the UKRAINIAN UNIVERSAL EXCHANGE LIMITED LIABILITY COMPANY, to enter into a lease agreement for an exchange place lease at the UKRAINIAN UNIVERSAL EXCHANGE LIMITED LIABILITY COMPANY, and to grant the right to participate in exchange market auctions and carry out exchange transactions at the UKRAINIAN UNIVERSAL EXCHANGE LIMITED LIABILITY COMPANY " (hereinafter - the Exchange).

Accreditation by direction/directions:

Direction(s) of the Exchange, on which I wish
to carry out exchange transactions

	<i>Untreated timber and Lumber</i>
--	--

Lease term of the exchange place (months)

	12
--	----

Information about the applicant:

Full Name (in the nominative case) Ukrainian	
Full Name (in the genitive case) Ukrainian	
Full Name (English)	
ID number	
Individual tax number (if any)	
Selected Tax system	
Registration address	
mailing address	
Phone	

Additional phone (optional)	
Email	
Passport data <i>Regarding the passport (series, number, issued by whom and date of issue)</i> <i>Regarding the ID card (number, date of issue, who issued it)</i>	

Bank details of the applicant:

Name of the bank	
Current (current account)	
MFI bank	

I am asking to accredit the person(s) who will perform the powers of the broker(s) at the "UKRAINIAN UNIVERSAL EXCHANGE" Limited Liability Company:

Broker - 1

Full Name	
Passport data <i>Regarding the passport (series, number, issued by whom and date of issue)</i> <i>Regarding the ID card (number, date of issue, who issued it)</i>	
ID number	
Phone	
Additional phone (optional)	
Email	

Broker – 2 (if available)

Full Name	
Passport data <i>Regarding the passport (series, number, issued by whom and date of issue)</i> <i>Regarding the ID card (number, date of issue, who issued it)</i>	
ID number	
Phone	
Additional phone (optional)	
Email	

*We confirm that the documents provided in electronic form are authentic originals.
We are responsible for the accuracy of the information provided.*

*With the Rules of the Limited Liability Company
"UKRAINIAN UNIVERSAL EXCHANGE", Regulations on the Exchange place lease at the
UKRAINIAN UNIVERSAL EXCHANGE Limited Liability Company, Regulations on the
Organization and Conduct of Exchange Trades for the Purchase and Sale of Untreated timber*

and Lumber on the Commodity Exchange – Limited Liability Company "UKRAINIAN UNIVERSAL EXCHANGE" and the Tariffs for Exchange services are familiar with and undertake to comply.

We guarantee payment

signature

Full name

SEAL (if any)

NOTIFICATION

Pursuant to the second part of Article 12 of the Law of Ukraine "On Protection of Personal Data" dated 01.06.2010 No. 2297-VI (hereinafter - Law No. 2297), the Limited Liability Company "Ukrainian Universal Exchange" (hereinafter - the Exchange) informs that your personal data is included in the Personal Data Database "Natural persons whose personal data are processed in the course of conducting business" (hereinafter - the Personal Data Database), the owner of which is the Exchange. Composition and content of personal data processed in the Personal Data Database: identification data (full name); passport data, registration number of the taxpayer's registration card (identification number); place of residence according to state registration; payment details in banking institutions; documents on state registration; tax payer's certificate; electronic identification data (email address, website, phone) and others. Personal data in the Personal Data Database are processed in the 1C automated system and in the form of filing cabinets (in agreements, contracts, acts of acceptance and transfer of services provided (work performed), invoices, registration journals, organizational and administrative documents, accounting documents, reporting and accounting forms, other documents on paper containing personal data), as well as with the help of other software products (Word, Excel, etc.). The personal data base is located in the accounting and control department, the legal department, the exchange operations department, the independent and expert valuation department, the enterprise management department, the planning, coordination and development department, at the branches and in the archives of the Exchange. The processing of your personal data will be carried out exclusively by employees of the Exchange who have given written commitments not to disclose personal data entrusted to them or which have become known to them in connection with the performance of professional and official duties. The purpose of processing (including collection) of your personal data is to ensure the implementation of administrative-legal, contractual, tax relations and relations in the field of accounting (in accordance with the Tax Code of Ukraine, the Civil Code of Ukraine, the Economic Code of Ukraine, the Law of Ukraine "On Accounting accounting and financial reporting in Ukraine", etc., according to the Charter of the Exchange). According to Article 8 of Law No. 2297, you, as a subject of personal data, have the right to: 1) know about the sources of collection, the location of your personal data, the purpose of their processing, the location of the owner of personal data or to give a corresponding mandate to receive this information to persons authorized by you, except for cases established by law; 2) receive information about the conditions for providing access to personal data, in particular information about third parties to whom your personal data is transferred; 3) to access your personal data; 4) receive no later than 30 calendar days from the date of receipt of the request, except in cases provided by law, an answer about whether your personal data is being processed, as well as receive the content of such personal data; 5) present a reasoned demand to the owner of personal data with an objection to the processing of your personal data; 6) submit a reasoned demand for the change or destruction of your personal data by the owner of personal data, if these data are processed illegally or are unreliable; 7) to protect your personal data from illegal processing and accidental loss, destruction, damage in connection with intentional concealment, failure to provide or untimely provision of them, as well as protection from providing information that is unreliable or disgraces honor, dignity and business reputation natural person; 8) file complaints about the processing of your personal data to the Commissioner of the Verkhovna Rada of Ukraine for human rights or to the court; 9) apply legal remedies in case of violation of the legislation on the protection of personal data; 10) enter reservations regarding the limitation of the right to process your personal data when giving consent or concluding a transaction; 11) withdraw consent to the processing of personal data; 12) know the mechanism of automatic processing of personal data;

*Appendix No. 3
to the Regulation of
organization and implementation
of exchange trades of purchase and sale
untreated timber
and lumber on the commodity exchange -
Limited Liability Company
"UKRAINIAN UNIVERSAL EXCHANGE"*

POWER OF AUTHORITY No_

"_____20__

(full name of the legal entity)

EDRPOU code _____
_____ (hereinafter referred to as the Trustee) in person __, acting
on the basis of _____, hereby authorizes

(full name of the broker)

(passport or ID card data of the broker; identification number of the broker)

(hereinafter - Broker), to represent the interests of the Trustee as a Member (participant in exchange trading) of the commodity exchange - Limited Liability Company "UKRAINIAN UNIVERSAL EXCHANGE" (hereinafter - the Exchange) at exchange trading (auctions) in the direction of the Exchange "Untreated timber and lumber" from the purchase – untreated timber/wood/firewood/lumber, for which the Broker is granted the following powers:

- to take part in exchange trades (auctions) for the purchase and sale of untreated timber/firewood/lumber, which are organized and conducted by the Exchange;
- submit applications for holding auctions for the sale of untreated timber/firewood/lumber, applications for holding auctions for the purchase of untreated timber /firewood/lumber; submit and sign applications for participation in the auction for the sale/purchase of untreated timber /firewood/lumber; applications for the withdrawal of applications for participation in the auction for the sale/purchase of untreated timber/firewood/lumber; perform other actions, including in the ETS of the Exchange, aimed at the purchase/sale of untreated timber/firewood/lumber at exchange market auctions on the Exchange; to sign contracts for the sale of untreated timber/firewood/lumber on the basis of concluded exchange agreements;
- to provide the Exchange with all necessary documents for participation in exchange trades (auctions) and documents related to the execution of exchange agreements;
- take all necessary actions related to the purchase and/or sale of untreated timber /firewood/lumber, sign all necessary documents and perform all necessary actions related to the execution of this Power of Attorney (note: specify restrictions on actions if any).

Powers under this power of attorney cannot be transferred to other persons. This power of attorney is valid until the end of the lease term of the exchange place lease on the Exchange of the Principal.

I testify

(full name of the broker)

(sample broker's signature)

(manager's position) (manager's signature) Proper name SURNAME

SEAL

Appendix No. 4
to the Regulations of
organization and implementation
of exchange trades of purchase and sale
untreated timber and lumber on the commodity exchange -
Limited liability company
"UKRAINIAN UNIVERSAL EXCHANGE"

Contract
of exchange place lease № _____

Poltava

" _____ » _____ 202__

Limited Liability Company "UKRAINIAN UNIVERSAL EXCHANGE" (hereinafter - the Commodity Exchange), in the person of the Chairman of the Supervisory Board Oleksandr Makovyi, acting on the basis of the Charter, on the one hand, and

_____ (hereinafter the Tenant), in person _____, acting on the basis of _____, on the other hand, hereinafter together - the Parties, have entered into this Agreement for an exchange place lease (hereinafter - the Agreement) on the following:

1. Scope of the contract

1.1. According to the terms of this Agreement, the Commodity Exchange transfers, and the Lessee accepts for temporary paid use, the exchange seat at LLC "UKRAINIAN UNIVERSAL EXCHANGE" and the rights of a Member of LLC "UKRAINIAN UNIVERSAL EXCHANGE".

1.2. The Commodity Exchange provides, and the Lessee uses opportunities to carry out stock transactions on the Commodity Exchange, and in cases specified by law, over-the-counter transactions on the Commodity Exchange, during the term of this Agreement.

1.3. After concluding this Agreement and carrying out calculations based on it, the Lessee acquires the status of a Member (brokerage office) - a participant in trades on the Commodity Exchange and is accredited on the Commodity Exchange under the number ____.

2. Rights and obligations

2.1. The Commodity Exchange has the right to:

2.1.1. For the timely receipt of rent fee from the Tenant for the use of the exchange space.

2.1.2. Terminate this Agreement, according to clause 3.2. of this Agreement.

2.1.3. Establish and collect fines and apply other sanctions for violations of the Rules, other internal documents of the Commodity Exchange, including those that regulate exchange trading on the Commodity Exchange, and this Agreement.

2.1.4. Establish certain rights, obligations, and requirements for the Lessee, in particular, requirements for measures to manage the risks of non-fulfillment of obligations under exchange agreements and exchange contracts.

2.1.5. In case of violation by the Lessee and/or its accredited broker(s) of the requirements of legislation and/or rules and/or other internal documents of the Commodity Exchange and/or this Agreement, suspend or terminate the rights of the Lessee to participate in the exchange

auctions, including by terminating this Agreement.

2.1.6. To carry out other functions provided for by the current legislation of Ukraine, the Charter of the Commodity Exchange, the Rules of LLC "UKRAINIAN UNIVERSAL EXCHANGE" (hereinafter - the Rules), the Regulations on the Exchange Place lease at the LLC "UKRAINIAN UNIVERSAL EXCHANGE" (hereinafter - the Regulations), other internal documents of the Commodity Exchange exchanges that regulate exchange activity on the Commodity Exchange.

2.2. Responsibilities of the Commodity Exchange:

2.2.1. To ensure the organization and proper conditions for exchange trading.

2.2.2. After signing this Agreement and making payment by the Lessee in accordance with Section 4 of the Agreement, grant the Lessee and the broker(s) authorized by him the right to participate in exchange trading on the Commodity Exchange.

2.2.3. Provide the Lessee with the opportunity to be in the trading and operating halls of the Commodity Exchange, use the equipment of the Commodity Exchange, submit applications for the purchase/sale (supply, exchange) of goods, conclude exchange agreements, exchange contracts on the Commodity Exchange and documents related to the execution of exchange agreements and exchange contracts, not to prevent the Lessee from carrying out this activity.

2.2.4. To carry out monitoring of exchange trades and control over the Lessee's compliance with the Rules, Regulations, other internal documents of the Commodity Exchange regulating exchange trade, including in order to prevent manipulation on the commodity exchange and insider trading.

2.2.5. To keep commercial secrets and confidential information about the Lessee, in accordance with the Law of Ukraine "On Commodity Exchanges" and other normative legal acts of Ukraine.

2.3. The tenant has the right to:

2.3.1. To participate in exchange trading on the Commodity Exchange, as well as in cases specified by law, in over-the-counter transactions on the Commodity Exchange, and to receive remuneration for this from its clients.

2.3.2. Mediate when concluding agreements on the Commodity Exchange on the basis of a contract concluded with the relevant client.

2.3.3. When carrying out mediation during the sale or purchase (supply, mine) be in the trading and operating hall of the Commodity Exchange, use the equipment of the Commodity Exchange, with the help of its employees, submit applications for the purchase/sale (supply, mine) of goods, enter into exchange agreements on the Commodity Exchange and perform other actions related to the execution of exchange agreements and exchange contracts, Rules and other internal documents of the Commodity Exchange regulating exchange activity.

2.3.4. Participate in electronic exchange trading on the Commodity Exchange, as well as in cases specified by law, in off-exchange transactions on the Commodity Exchange, in accordance with the Rules and other internal documents of the Exchange regulating exchange trading.

2.3.5. Use other rights of the Member of the Exchange provided by the current legislation of Ukraine, Rules, Regulations and other internal documents of the Commodity Exchange regulating exchange trading.

2.4. Responsibilities of the Lessee:

2.4.1. Accredited your authorized person (broker/brokers) at the Commodity Exchange to participate in exchange trading at the Commodity Exchange with proper registration of relevant documents regarding representation in accordance with the Regulations.

2.4.2. To pay the rent for the use of the exchange place to the Commodity Exchange in a timely manner, as well as to pay other payments for services provided by the Commodity Exchange in a timely manner and in full.

2.4.3. When carrying out activities on the Commodity Exchange, comply with current legislation, Rules, Regulations, other internal documents of the Commodity Exchange regulating exchange trading, as well as requirements and indicators limiting the risks of activity on organized commodity markets, established by current legislation and the Commodity Exchange.

2.4.4. To perform calculations on their agreements in accordance with the Rules, other internal documents of the Commodity Exchange, which regulate exchange trading in the relevant direction (exchange commodity), and timely inform the Commodity Exchange about changes in their financial condition, as well as about other changes that may negatively affect fulfillment of obligations to clients, other participants of exchange trades and the Commodity Exchange.

2.4.5. Keep records of exchange transactions on the Commodity Exchange for own transactions and for each client separately and store such information for at least three years.

2.4.6. Provide the necessary information about yourself and supporting documents determined by the Commodity Exchange in order to carry out customer identification and verification by the Commodity Exchange in compliance with the Law of Ukraine "On Prevention and Counteraction of Legalization (Laundering) of Proceeds Obtained by Crime, Financing of Terrorism and Financing of the Distribution of Weapons of Mass Destruction" .

2.4.7. Inform the Commodity Exchange in writing about all changes made to the documents provided by the Lessee for the conclusion of this Agreement, including the change of address and details, within 3 (three) working days from the moment of occurrence of such changes.

2.4.8. To disclose information about exchange transactions in the order, volumes and terms stipulated by the law.

3. Lease term

3.1. The lease term of the exchange place is: from " " _____ 202__ year and valid until " " _____ 202_ of the year.

3.2. The lease of the exchange space on the Commodity Exchange is prematurely terminated and the Agreement is terminated:

3.2.1. In case of liquidation or reorganization (except transformation) of the Lessee or termination of the Lessee's activity as a natural person-entrepreneur.

3.2.2. At the initiative of the Lessee (in the event that the Lessee fulfills in full its obligations to the Commodity Exchange and other participants of exchange trades, according to exchange agreements (exchange contracts)) and on the condition of written notification no later than 10 (ten) calendar days before the scheduled date termination of membership.

3.2.3. At the initiative of the Commodity Exchange to terminate this Agreement, the Commodity Exchange shall notify the Lessee in writing 14 (fourteen) calendar days in advance.

3.2.4. According to the decision of the Commodity Exchange to terminate membership in cases of violation by the Lessee, his authorized broker(s) of the legislation of Ukraine regulating stock exchange trading, the Rules, other internal documents of the Commodity Exchange, this Agreement, about which the Commodity Exchange warns the Lessee within 3 (three) working days from the date of such decision.

3.2.5. By agreement.

3.2.6. In other cases provided for by the current legislation of Ukraine, the Statute of the Commodity Exchange, Rules, Regulations and other internal documents of the Commodity Exchange regulating exchange trading.

3.3. If, within one month before the date of termination of the Agreement, none of the Parties submits an application to terminate or change the terms of the Agreement, this Agreement shall be considered extended for one calendar year under the same conditions as provided for in the Agreement. This clause means the possibility of automatic multiple extension of the Agreement.

4. Rent

4.1. The Lessee pays the cost of the exchange place lease in the amount of _____ (_____) UAH 00 kopecks, incl. VAT _____, by transferring funds to the Lessor's account.

4.2. The rent is paid by the Lessee to the Lessor's account within 5 (five) banking days after signing this Agreement.

4.3. Settlements between the Parties are carried out exclusively in cashless form by transferring funds to the Lessor's current account.

5. Responsibilities of the parties

5.1 The Parties are responsible for non-fulfillment or improper fulfillment of the terms of this Agreement in accordance with the current legislation of Ukraine.

5.2. In case of violation by the Lessee, his authorized broker (brokers) of the current legislation regulating exchange trading, Rules, Regulations, other internal documents of the Commodity Exchange regulating exchange trading, this Agreement, the Commodity Exchange has the right to terminate the membership of the Lessee on the Commodity Exchange and terminate this Contract.

5.3. All disputes arising from this Agreement or related to it shall be resolved through negotiations between the Parties.

5.4. If the relevant dispute cannot be resolved through negotiations, it is resolved in a court of law under the established jurisdiction and jurisdiction of such a dispute in accordance with the legislation in force in Ukraine.

6. Miscellaneous

6.1. The Agreement enters into force from the moment of its signing by the Parties and is valid until the end of the lease term of the exchange place specified in Clause 3.1 of the Agreement (taking into account the possibility of extending the validity period in accordance with Clause 3.3 of this Agreement).

6.2. The Lessee has no right to transfer to another person his right to participate in exchange auctions and/or to sublease the exchange space.

6.3. Any changes and additions to this Agreement shall be made in writing by both Parties signing the corresponding Additional Agreement, which is an integral part of this Agreement.

6.4. In cases not provided for in this Agreement, the Parties are governed by the current legislation of Ukraine.

6.5. The Agreement, duly signed by the Parties and affixed with the seals of the Parties (if any) and transmitted by means of electronic communication, has the force of the original, until the Parties exchange original copies of this Agreement.

6.6. This contract is concluded in two original copies in the Ukrainian language - one for each of the Parties. All copies have the same legal force.

7. Details and signatures of the parties

**COMMODITY EXCHANGE:
"UKRAINIAN UNIVERSAL EXCHANGE"
LIMITED LIABILITY COMPANY**

Location: 36039, Poltava, str. Shevchenko, 52,
EDRPOU code 25158707

Account in IBAN format: UA
443806340000026001083095006 at PJSC "CB
"AKORDBANK", MFI 380634
ITN 251587016013
Phone: 0532-50-92-91
Email: info@uub.com.ua

Chairman of the Board

_____**Oleksandr MAKOVYI**

LESSEE:

Location: _____
EDRPOU code: _____
Account in IBAN format: _____
ITN (if available) _____
Phone/fax: _____
Email: _____

_____**Full name**

**Contract
of exchange place lease №_____**

Poltava

"____»_____202__

Limited Liability Company "UKRAINIAN UNIVERSAL EXCHANGE"(hereinafter - the Commodity Exchange), in the person of the Chairman of the Supervisory Board Oleksandr Makovyi, acting on the basis of the Charter, on the one hand, and

Individual – entrepreneur _____(hereinafter - the Lessee), valid on the basis of the Extract from the Unified State Register of Legal Entities individuals, individual entrepreneurs and public organizations, on the other hand, hereinafter together - the Parties, have entered into this Agreement for renting an exchange place (hereinafter - the Agreement) on the following:

1. Scope of the contract

1.1. According to the terms of this Agreement, the Commodity Exchange transfers, and the Lessee accepts for temporary paid use, the exchange place at LLC "UKRAINIAN UNIVERSAL EXCHANGE" and the rights of a Member of LLC "UKRAINIAN UNIVERSAL EXCHANGE".

1.2. The Commodity Exchange provides, and the Lessee uses opportunities to carry out exchange transactions on the Commodity Exchange, and in cases specified by law, over-the-counter transactions on the Commodity Exchange, during the term of this Agreement.

1.3. After concluding this Agreement and carrying out calculations based on it, the Lessee acquires the status of a Member (brokerage office) - a participant in trades on the Commodity Exchange and is accredited on the Commodity Exchange under the number .

2. Rights and obligations

2.1. The Commodity Exchange has the right to:

2.1.1. For the timely manner to receipt of rent fee from the Tenant for the use of the exchange place.

2.1.2. Terminate this Agreement, according to clause 3.2. of this Agreement.

2.1.3. Establish and collect fines and apply other sanctions for violations of the Rules, other internal documents of the Commodity Exchange, including those that regulate exchange trading on the Commodity Exchange, and this Agreement.

2.1.4. Establish certain rights, obligations, and requirements for the Lessee, in particular, requirements for measures to manage the risks of non-fulfillment of obligations under exchange agreements and exchange contracts.

2.1.5. In case of violation by the Lessee and/or its accredited broker(s) of the requirements of legislation and/or rules and/or other internal documents of the Commodity Exchange and/or this Agreement, suspend or terminate the rights of the Lessee to participate in exchange auctions, including by terminating this Agreement.

2.1.6. To carry out other functions provided for by the current legislation of Ukraine, the Charter of the Commodity Exchange, the Rules of LLC "UKRAINIAN UNIVERSAL EXCHANGE" (hereinafter - the Rules), the Regulations on the Leasing of Exchange Spaces at the LLC "UKRAINIAN UNIVERSAL EXCHANGE" (hereinafter - the Regulations), other internal documents of the Commodity Exchange exchanges that regulate exchange activity on the Commodity Exchange.

2.2. Responsibilities of the Commodity Exchange:

2.2.1. To ensure the organization and proper conditions for exchange trading.

2.2.2. After signing this Agreement and making payment by the Lessee in accordance with Section 4 of the Agreement, grant the Lessee and the broker(s) authorized by him the right to participate in exchange trading on the Commodity Exchange.

2.2.3. Provide the Lessee with the opportunity to be in the trading and operating halls of the Commodity Exchange, use the equipment of the Commodity Exchange, submit applications for the purchase/sale (supply, exchange) of goods, conclude exchange agreements, exchange contracts on the Commodity Exchange and documents related to the execution of exchange agreements and exchange contracts, not to prevent the Lessee from carrying out this activity.

2.2.4. To carry out monitoring of exchange trades and control over the Lessee's compliance with the Rules, Regulations, other internal documents of the Commodity Exchange regulating exchange trade, including in order to prevent manipulation on the commodity exchange and insider trading.

2.2.5. To keep commercial secrets and confidential information about the Lessee, in accordance with the Law of Ukraine "On Commodity Exchanges" and other normative legal acts of Ukraine.

2.3. The Lessee has the right to:

2.3.1. To participate in exchange trading on the Commodity Exchange, as well as in cases specified by law, in over-the-counter transactions on the Commodity Exchange, and to receive remuneration for this from its clients.

2.3.2. Mediate when concluding agreements on the Commodity Exchange on the basis of a contract concluded with the relevant client.

2.3.3. When carrying out mediation during the sale or purchase (delivery, mine), be in the trading and operating hall of the Commodity Exchange, use the equipment of the Commodity Exchange, with the help of its employees, submit applications for the purchase/sale (delivery, mine) of goods, conclude exchange agreements on the Commodity Exchange and perform other actions related to the execution of exchange agreements and exchange agreements, Rules and other internal documents of the Commodity Exchange regulating exchange activity.

2.3.4. Participate in electronic exchange trading on the Commodity Exchange, as well as in cases specified by law, in off-exchange transactions on the Commodity Exchange, in accordance with the Rules and other internal documents of the Exchange regulating exchange trading.

2.3.5. Use other rights of the Member of the Exchange provided by the current legislation of Ukraine, Rules, Regulations and other internal documents of the Commodity Exchange regulating exchange trading.

2.4. Responsibilities of the Lessee:

2.4.1. Accredit your authorized person (broker/brokers) at the Commodity Exchange to participate in exchange trading at the Commodity Exchange with proper registration of relevant documents regarding representation in accordance with the Regulations.

2.4.2. To pay the rent fee for the use of the exchange place on the Commodity Exchange in a timely manner, as well as to pay other payments for services provided by the Commodity Exchange in a timely manner and in full.

2.4.3. When carrying out activities on the Commodity Exchange, comply with current legislation, Rules, Regulations, other internal documents of the Commodity Exchange regulating exchange trading, as well as requirements and indicators limiting the risks of activity on organized commodity markets, established by current legislation and the Commodity Exchange.

2.4.4. To perform calculations on their agreements in accordance with the Rules, other internal documents of the Commodity Exchange, which regulate exchange trading in the relevant direction (exchange commodity), and timely inform the Commodity Exchange about changes in their financial condition, as well as about other changes that may negatively affect fulfillment of obligations to clients, other participants of exchange trades and the Commodity Exchange.

2.4.5. Keep records of exchange transactions on the Commodity Exchange for own transactions and for each client separately and store such information for at least three years.

2.4.6. Provide the necessary information about yourself and supporting documents determined by the Commodity Exchange in order to carry out customer identification and verification by the Commodity Exchange in compliance with the Law of Ukraine "On Prevention and Counteraction of Legalization (Laundering) of Proceeds Obtained by Crime, Financing of Terrorism and Financing of the Distribution of Weapons of Mass Destruction".

2.4.7. Inform the Commodity Exchange in writing about all changes made to the documents provided by the Lessee for the conclusion of this Agreement, including the change of address and details, within 3 (three) working days from the moment of occurrence/occurrence of such changes.

2.4.8. To disclose information about exchange transactions in the order, volumes and terms stipulated by the law.

3. Lease term

3.1. The lease term of the exchange place is: from " " _____ 202__ and valid until " " _____ 202__.

3.2. The lease of the exchange place on the Commodity Exchange is prematurely terminated and the Agreement is terminated:

3.2.1. In case of liquidation or reorganization (except transformation) of the Lessee or termination of the Lessee's activity as a natural person-entrepreneur.

3.2.2. At the initiative of the Lessee (in the event that the Lessee fulfills in full its obligations to the Commodity Exchange and other participants of exchange trades, according to exchange agreements (exchange contracts)) and on the condition of written notification no later than 10 (ten) calendar days before the scheduled date termination of membership.

3.2.3. At the initiative of the Commodity Exchange to terminate this Agreement, the Commodity Exchange shall notify the Lessee in writing 14 (fourteen) calendar days in advance.

3.2.4. According to the decision of the Commodity Exchange to terminate membership in cases of violation by the Lessee, his authorized broker(s) of the legislation of Ukraine regulating exchange trading, the Rules, other internal documents of the Commodity Exchange, this Agreement, about which the Commodity Exchange warns the Lessee within 3 (three) working days from the date of such decision.

3.2.5. By agreement.

3.2.6. In other cases provided for by the current legislation of Ukraine, the Charter of the Commodity Exchange, Rules, Regulations and other internal documents of the Commodity Exchange regulating exchange trading.

3.3. If, within one month before the date of termination of the Agreement, none of the Parties submits an application to terminate or change the terms of the Agreement, this Agreement shall be considered extended for one calendar year under the same conditions as provided for in the Agreement. This clause means the possibility of automatic multiple extension of the Agreement.

4. Rental fee

4.1. Lessee pays the cost of renting the exchange place in the amount of _____ (_____) UAH 00 kopecks, incl. VAT _____, by transferring funds to the Lessor's account.

4.2. The rent is paid by the Tenant to the Lessor's account within 5 (five) banking days after signing this Agreement.

4.3. Settlements between the Parties are carried out exclusively in cashless form by transferring funds to the Lessor's current account.

5. Responsibilities of the parties

5.1 The Parties are responsible for non-fulfillment or improper fulfillment of the terms of this Agreement in accordance with the current legislation of Ukraine.

5.2. In case of violation by the Lessee, his authorized broker (brokers) of the current legislation regulating exchange trading, Rules, Regulations, other internal documents of the Commodity Exchange regulating exchange trading, this Agreement, the Commodity Exchange has the right to terminate the membership of the Lessee on the Commodity Exchange and terminate this Contract.

5.3. All disputes arising from this Agreement or related to it shall be resolved through negotiations between the Parties.

5.4. If the relevant dispute cannot be resolved through negotiations, it is resolved in a court of law under the established jurisdiction and jurisdiction of such a dispute in accordance with the legislation in force in Ukraine.

6. Miscellaneous

6.1. Agreement enters into force from the moment of its signing by the Parties and is valid until the end of the lease term of the exchange place specified in Clause 3.1 of the Agreement (taking into account the possibility of extending the validity period in accordance with Clause 3.3 of this Agreement).

6.2. The Lessee has no right to transfer to another person his right to participate in exchange auctions and/or to sublease the exchange place.

6.3. Any changes and additions to this Agreement shall be made in writing by both Parties signing the corresponding Additional Agreement, which is an integral part of this Agreement.

6.4. In cases not provided for in this Agreement, the Parties are governed by the current legislation of Ukraine.

6.5. The Agreement, duly signed by the Parties and affixed with the seals of the Parties (if any) and transmitted by means of electronic communication, has the force of the original, until the Parties exchange original copies of this Agreement.

6.6. This contract is concluded in two original copies in the Ukrainian language - one for each of the Parties. All copies have the same legal force.

7. Details and signatures of the parties

**COMMODITY EXCHANGE:
"UKRAINIAN UNIVERSAL EXCHANGE"
LIMITED LIABILITY COMPANY**

Location: 36039, Poltava, str. Shevchenko, 52,
EDRPOU code 25158707
Account in IBAN format:
443806340000026001083095006 at PJSC "KB
"AKORDBANK", MFI 380634
ITN 251587016013
Phone: 0532-50-92-91
Email: info@uub.com.ua

Chairman of the Board

_____ **Oleksandr MAKOVY**

**LESSEE:
INDIVIDUAL ENTREPRENEUR:**

Location: _____
Bank details: _____
ID number: _____
Phone/fax: _____
Email: _____

Individual entrepreneur

_____ **Proper name SURNAME**

CLIENT QUESTIONNAIRE –LEGAL ENTITY

LIMITED LIABILITY COMPANY

"UKRAINIAN UNIVERSAL EXCHANGE"

(legal entity – resident/non-resident of Ukraine)

*in compliance with the requirements of the Law of Ukraine "On prevention
and countermeasures against the legalization (laundering) of criminal
proceeds, the financing of terrorism and the financing of the proliferation of
weapons of mass destruction"*

(hereinafter – the Law)

1.	Full and abbreviated name of the client	
1.1.	Ownership	<input type="checkbox"/> private <input type="checkbox"/> state <input type="checkbox"/> communal
1.2.	Does the client belong to non-profit enterprises/institutions/organizations in accordance with the legislation of Ukraine? (if yes, please provide the relevant data)	
2.	EDRPOU Code according to the Unified State Register of Legal Entities, Individual Entrepreneurs and Public Organizations (hereinafter referred to as the Register) / Code/number from the registration certificate or extract from the bank, trade or court register or registration certificate of the authorized body of a foreign state on registration of a legal entity (non-resident) (hereinafter referred to as the registration number of the business entity in the country of residence)	
3.	Date and number of the entry in the Register / date of entry of the legal entity's (non-resident's) data in the relevant register of business entities in the country of residence (if any)	
4.	Location/Place of registration/Legal address	
5.	Actual location	
6.	Contact phone numbers	

7.	Email address, official website (if any)	
8.	Information (name and address) about the parent company, corporation, holding group, industrial and financial group or other association of which the client is a member (name and address, identification code according to the Register / registration number of the business entity in the country of residence) (if any)	
9.	The number of employees according to the staff list	
10.	Description of the main species of economic activity in the format: code of economic activity according to the Ukrainian classifier / Name (Description) (for residents) / entered in the relevant constituent documents of the client / registers of business entities in the country of residence (if any) (for non-residents)	
11.	Do the types of activities that the client actually engages in (plans to engage in, in the case of newly started activities) activities entered in the Register according to the KVED (for residents) / entered in the relevant constituent documents of the client / registers of business entities in the country of residence (if any) (for non-residents)? If not, indicate which others activities of the client that are not listed to Register, (for residents) / relevant constituent documents of the client / registers of business entities in the country of residence (if any) (for non-residents).	<input type="checkbox"/> Yes <input type="checkbox"/> No
12.	Was there a reorganization, separation, renaming of the business entity, or is the business entity the legal successor of another legal entity? (if yes, specify the relevant data, date and contents)	<input type="checkbox"/> Yes <input type="checkbox"/> No
13.	Has there been a change in participants (from 0%) or shareholders (from 10%), directors, board members, supervisory board, other management bodies over the past two years? (if so, indicate the relevant changes, date and contents)	<input type="checkbox"/> Yes <input type="checkbox"/> No
14.	Is the business entity in the process of reorganization? (if yes, specify the relevant data)	<input type="checkbox"/> Yes <input type="checkbox"/> No
15.	Has the competent body (body of the business entity, court, registrar, etc.) taken a decision on the liquidation/termination of the business entity? (if yes, specify the relevant data)	<input type="checkbox"/> Yes <input type="checkbox"/> No
16.	Has bankruptcy proceedings been opened against the business entity? (if yes, specify the relevant data)	<input type="checkbox"/> Yes <input type="checkbox"/> No
17.	Does the business entity conduct activities in the territories of states classified as offshore zones (if so, specify which ones)	<input type="checkbox"/> Yes <input type="checkbox"/> No

18. Compliance with the regime of international sanctions/anti-corruption requirements:

1.	Whether Ukrainian/international sanctions (in particular, but not exclusively, sanctions of the UN, the USA, the European Union, the United Kingdom of Great Britain and Northern Ireland) for the entire period of the company's existence? (if yes, specify the relevant data)	<input type="checkbox"/> Yes <input type="checkbox"/> No
2.	Has the ultimate beneficial owner been subject to Ukrainian/international sanctions (including, but not limited to, UN, US, EU, UK and Northern Ireland sanctions)? (if yes, specify the relevant data)	<input type="checkbox"/> Yes <input type="checkbox"/> No
3.	Does the business entity/ultimate beneficial owner interact with persons subject to international/Ukrainian sanctions, in particular SDN, non-SDN (contractual relations, including an agreement on joint activities, participation in public organizations, joint participation in business (ownership of other by legal entities), financing in any form, litigation, personal connections)? (if yes, specify the relevant data)	<input type="checkbox"/> Yes <input type="checkbox"/> No
4.	Confirmation of the absence of a criminal record of the ultimate beneficial owner/members of the executive body/members of the company's supervisory body for corruption crimes, money laundering, fraud, terrorist financing; as well as confirmation that no investigation or legal proceedings are being conducted against them	<input type="checkbox"/> Confirm <input type="checkbox"/> I do not confirm
5.	Whether the business entity, its parent/subsidiary/sister company, their ultimate beneficial owner/members of the executive body/members of the supervisory body are involved in investigations/judicial proceedings against other persons in connection with corruption crimes, money laundering, fraud, terrorist financing? (if yes, specify the relevant data)	<input type="checkbox"/> Yes <input type="checkbox"/> No
6.	Is the business entity a legal entity: - who is a resident of the state carrying out armed aggression against Ukraine; - the ultimate beneficial owner of which is a citizen of a state that carries out armed aggression against Ukraine (except for citizens of such a state who were granted the status of a participant in hostilities after April 14, 2014), and/or a person whose place of permanent residence (residence, registration) is the state, which carries out armed aggression against Ukraine;	<input type="checkbox"/> Yes <input type="checkbox"/> No

	<ul style="list-style-type: none"> - the founder (participant, shareholder) or owner of which, directly or indirectly through other legal entities (trusts, other similar legal entities), is a state that carries out armed aggression against Ukraine; - a company established and registered in accordance with the laws of Ukraine, the ultimate beneficial owner, member or participant (shareholder) with a share in the authorized capital of 10 percent or more of which is the Russian Federation, a citizen of the Russian Federation, except for those legally residing in Ukraine, or a legal entity established and registered in accordance with the laws of the Russian Federation; - a member or participant (shareholder) with a share in the authorized capital of 10 percent or more, which is a citizen of the Russian Federation, except for those who legally reside in Ukraine; - which carries out the transfer (receipt) of assets to (from) Ukraine, using depository institutions, banks, other financial institutions and non-bank payment service providers, whose place of residence and/or registration is a state that carries out armed aggression against Ukraine? (if yes, specify the relevant data)	
7.	Is the business entity/ultimate beneficial owner of the business entity a person whose accounts are prohibited by the National Bank of Ukraine (due to the fact that the business entity/ultimate beneficial owner of the business entity is a resident of the Russian Federation / Republic of Belarus)? (if yes, specify the relevant data)	<input type="checkbox"/> Yes <input type="checkbox"/> No

19. Information on management bodies and their composition:

No.	Full name, date of birth, country of permanent residence, ITN (if any), (note: to be filled in in accordance with the law of the country of residence of the individual)* ¹	The position of a member of the management body (including the higher, controlling, executive management body)
1.		
2.		
3.		

*1 - in case of absence of the registration number of the taxpayer's account card, the series and number of the passport or ID card data of the member of the management body shall be indicated.

20. Are there separate units (branches, representative offices, etc.):

- ☐ Yes
☐ No

If "Yes", then please fill in the following table:

No.	Criterion	Data regarding a separate client unit (branches, representative offices, etc.)
1.	Name of the separate unit (branches, representative offices, etc.)	
2.	Type of unit (branch, representative office, etc.)	
3.	Identification code of the separate unit (branches, representative offices, etc.)	
4.	Location of the separate unit (branches, representative offices, etc.)	
5.	Activities of a separate unit (branches, representative offices, etc.)	
6.	Information about the head of a separate unit (branches, representative offices, etc.): full name, ITN (if any), in the absence of ITN, the series and number of the passport or ID card data, citizenship, date of birth, position, date of appointment are indicated, the presence of restrictions on representation on behalf of a legal entity-client	
7.	Information for contacting the branch office: phone number, email address, official website (if any)	

21. Information on the structure of ownership (direct ownership) with an indication of the share (list of persons who have direct ownership):

No.	Full name of legal entity/full name natural person	EDRPOU code of a legal entity, location / Citizenship of a natural person, data of a passport or other identity document (passport series and number, date of issue and the body that issued it or ID card data), place of residence or stay, ITN number (Note: to be filled in accordance with the law of the country of residence of the individual) (if any), date of birth	Share (%) in the authorized capital (indicating direct or indirect ownership)
1.			
2.			
3.			

22. Identification data of individuals who are ultimate beneficial owners (controllers):

(Data on individuals who have a formal right to 25 percent or more of the authorized capital or voting rights in a legal entity, but are commercial agents, nominal holders (nominal owners/nominal shareholders) or only intermediaries in relation to such a right, cannot be considered as confirmation of the establishment of the final beneficial owner (controller))

No.	Full name of natural person	Full name of non-resident (if any) (in Latin letters)	Citizenship, passport or other identity document data (passport series and number, date of issue and issuing authority or ID card data), place of residence or stay, tax number (if available), date of birth	Position * ²
1.				
2.				
3.				

*² - to be filled in if the person holds a position in the company according to the staff list

23. Are there persons authorized to represent the interests of the ultimate beneficial owners (controllers)?

☐ Yes

☐ No

If "Yes", then please fill in the following table:

No.	Full name	Citizenship, passport or other identity document data (passport series and number, date of issue and issuing authority or ID card data), place of residence or stay, tax number (if any), date of birth	Position * ² / Grounds for representation of interests * ³
1.			
2.			
3.			

*³ - to be filled in if the person represents the interests of the ultimate beneficial owners (controllers) on the basis of a power of attorney, contract of attorney, etc.

24. Are there any politically exposed persons (national public figures*⁴, foreign public figures*⁵ or persons performing political functions in international organizations*⁶), their family members or persons related to politically exposed persons among the individuals listed in paragraphs 19-23, 25, 25.1 of this Questionnaire?

☐ Yes

☐ No

If "Yes", then please fill in the following table:

No.	Surname, first name, patronymic (if available) of a politically significant person (if there are family members or persons related to politically significant persons, surname, first name, patronymic (if available) of a family member or the person with whom it is related)	Position, that is obtained	Duration (period) of holding the position
1.			

2.			
3.			

*⁴ - National public figures - individuals who perform or have performed prominent public functions in Ukraine, the list of which is defined by the Law.

*⁵ - Foreign public figures are individuals who perform or have performed prominent public functions in foreign countries, namely Heads of state, government, ministers (deputies), members of parliament or other bodies performing the functions of the legislative body of the state, chairmen and members of the boards of central banks or chambers of account, members of the supreme court, constitutional court or other judicial bodies whose decisions are not subject to appeal, except in exceptional circumstances, ambassadors extraordinary and plenipotentiary, chargé d'affaires and heads of central military authorities, heads of administrative, management or supervisory bodies of state-owned enterprises

*⁶ - Public figures - officials of international organizations who hold or have held the position of a manager (director, chairman of the board or other) or deputy manager in such organizations or perform or have performed any other managerial (prominent public) functions at the highest level, including in international intergovernmental organizations, members of international parliamentary assemblies, judges and senior officials of international courts.

25. Data identifying persons who have the right to dispose of accounts and property, as well as authorized to act on behalf of the client:

No.	Full name, ITN (if any), in the absence of ITN, the series and number of the passport or ID card data are indicated	Citizenship, country of permanent residence, date of birth	Share (%) in the authorized capital (direct possession)* ⁷	Indirect share (%) in the authorized capital (indirect possession)* ⁷
1.				
2.				
3.				

*⁷ - to be filled in if the persons own a share in the authorized capital.

25.1. Data identifying persons who have the right to dispose of accounts and property, as well as authorized to act on behalf of a separate division of the client (branches, representative offices, etc.):*

No.	Name of the separate unit (branches, representative offices, etc.)	Full name, ITN (if any), in the absence of ITN, the series and number of the passport or ID card data are indicated	Citizenship, country of permanent residence, date of birth	Share (%) in the authorized capital of the client (direct possession)* ⁸	Indirect share (%) in the authorized capital of the client (mediated possession)* ⁸
1.					
2.					
3.					

*⁸ - to be filled in if the client has a separate unit (branch, representative office, etc.)

26. Characteristics of the client's financial condition (for newly created enterprises, data on profit, loss and net income are indicated if available):

	For the last reporting period, the amount (in thousand hryvnias) (according to the last reporting submitted to the tax authority (I quarter, half year, nine months, year)	For the previous year, the amount (in thousand hryvnias) (according to the last annual report submitted to the tax authority)
The size of the registered authorized capital:		
The size of the paid statutory fee capital:		
Profit (+) / loss (-) (or planned for the current year in case of just started activities):		
Net income from sales of products/services* ⁹ :		
Amount of current accounts payable for long-term liabilities: * ⁹		
Current size accounts payable for goods, works, services* ⁹ :		
Bank loans* ⁹ :		
Deposits in banks* ⁹ :		

*⁹ - by a non-resident legal entity, filled in at its own desire.

Date of completion: “_____” _____ 20_____

(position of manager or authorized person)

(signature)

(Full name)

SEAL

(if any)

CLIENT QUESTIONNAIRE – LIMITED LIABILITY COMPANY

"UKRAINIAN UNIVERSAL EXCHANGE" OF A SEPARATE SUBDIVISION (BRANCH OR REPRESENTATIVE OFFICE) LEGAL ENTITY

(business entity - resident of Ukraine / non-resident of Ukraine))

*in compliance with the requirements of the Law of Ukraine "On prevention
and countermeasures against the legalization (laundering) of criminal
proceeds, the financing of terrorism and the financing of the proliferation of
weapons of mass destruction"*

(hereinafter – the Law)

No.	Criterion	Data on a separate unit (branches, representative offices, etc.)
1.	Full and abbreviated name of the separate unit (branches, representative offices, etc.)	
2.	Date and number of the entry in the Register on the state registration of a separate subdivision (branch, representative office, etc.)	
3.	Type of unit (branch, representative office, etc.)	
4.	Identification code of the separate unit (branches, representative offices, etc.)	
5.	Individual tax number of a separate unit (branches, representative offices, etc.)	
6.	Location of the separate unit (branches, representative offices, etc.)	
7.	Activities of a separate unit (branches, representative offices, etc.) in the format: economic activity code according to the classification of Ukraine / Name (Description)	
8.	Information about the head of a separate unit (branches, representative offices, etc.): full name, ITN (if any), in the absence of ITN, the series and number of the	

	passport or ID card data, citizenship, date of birth, position, date of appointment are indicated, the presence of restrictions on representation on behalf of a legal entity-client	
9.	Does a separate unit (branch, representative office, etc.) conduct activities in the territories of states classified as offshore zones (if so, specify which ones)	<input type="checkbox"/> Yes <input type="checkbox"/> No
10.	The number of employees according to the staff list	
11.	Contact phone number	
12.	email address	
13.	Official website (if available)	

14. Compliance with the regime of international sanctions/anti-corruption requirements:

1.	Have Ukrainian/international sanctions (in particular, but not exclusively, sanctions of the UN, the USA, the European Union, the United Kingdom of Great Britain and Northern Ireland) been applied to the separate unit (branch, representative office, etc.), its officials during the entire period of the company's existence? (if yes, specify the relevant data)	<input type="checkbox"/> Yes <input type="checkbox"/> No
2.	Does the separate unit (branch, representative office, etc.) interact with persons subject to international/Ukrainian sanctions, in particular SDN, non-SDN (contractual relations, including a contract on joint activities, participation in public organizations, joint participation in business (ownership of other by legal entities), financing in any form, litigation, personal connections)? (if yes, specify the relevant data)	<input type="checkbox"/> Yes <input type="checkbox"/> No
3.	Confirmation of the absence of a criminal record of the head of a separate unit (branch, representative office, etc.) for corruption crimes, money laundering, fraud, terrorist financing; as well as confirmation that no investigation or legal proceedings are being conducted against them (if you select the "do not confirm" option, please provide the relevant data for each person)	<input type="checkbox"/> confirm <input type="checkbox"/> I do not confirm
4.	Are the separate unit (branch, representative office, etc.), its officials involved in investigations/judicial proceedings against other persons in connection with corruption crimes, money laundering, fraud, terrorist financing? (if yes, specify the relevant data)	<input type="checkbox"/> Yes <input type="checkbox"/> No

5.	<p>Does a separate unit (branch, representative office, etc.) transfer (receive) assets to (from) Ukraine, using depository institutions, banks, other financial institutions and non-bank payment service providers, whose place of residence and/or registration is a state that carries out armed aggression against Ukraine?</p> <p>(if yes, specify the relevant data)</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No
6.	<p>Is a separate division (branch, representative office, etc.) a person whose accounts are prohibited by the National Bank of Ukraine?</p> <p>(if yes, specify the relevant data)</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No
7.	<p>Whether the business entity is a separate subdivision (branch, representative office, etc.) of a legal entity:</p> <ul style="list-style-type: none"> - a resident of a state that carries out armed aggression against Ukraine; - the ultimate beneficial owner of which is a citizen of a state that carries out armed aggression against Ukraine (except for citizens of such a state who were granted the status of a combatant after April 14, 2014), and/or a person whose place of permanent residence (stay, registration) is a state that carries out armed aggression against Ukraine; - the founder (participant, shareholder) or owner of which, directly or indirectly through other legal entities (trusts, other similar legal entities), is a state that carries out armed aggression against Ukraine; - established and registered in accordance with the laws of Ukraine, the ultimate beneficial owner, member or participant (shareholder) with a share in the authorized capital of 10 percent or more is the Russian Federation, a citizen of the Russian Federation, except for those who legally reside in Ukraine, or a legal entity established and registered in accordance with the laws of the Russian Federation; - a member or participant (shareholder) with a share in the authorized capital of 10 percent or more, which is a citizen of the Russian Federation, except for those who legally reside in Ukraine; - that transfers (receives) assets to (from) Ukraine using depository institutions, banks, other financial institutions and non-bank payment service providers whose location and/or registration is in a state that carries out armed aggression against Ukraine? <p>(if yes, please provide the relevant data)</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No

15. Are there persons authorized to represent the interests of the head of a separate unit (branches, representative offices, etc.), his officials?

- ☐ Yes
☐ No

If "Yes", then please fill in the following table

No.	Full name	Citizenship, passport or other identity document data (passport series and number, date of issue and issuing authority or ID card data), place of residence or stay, registration number of the taxpayer's account card (hereinafter referred to as the "TIN") (note: to be filled in in accordance with the law of the country of residence of the individual))* ¹ (if any), date of birth	Position
1.			
2.			
3.			

*1 - in case of absence of the registration number of the taxpayer's account card, the series and number of the passport of the member of the management body shall be indicated.

16. Are there politically significant persons (national public figures*², foreign public figures*³, figures who perform public functions in international organizations*⁴), members of their families or persons connected with politically significant persons?

☐ Yes

☐ No

If "Yes", then please fill in the following table:

No.	Ful name of a public figure (if there are related persons, the full name of the person with whom the person is related is indicated in addition)	Position held or held	Duration (period) of holding the position
1.			
2.			
3.			

*2 - National public figures - individuals who perform or have performed prominent public functions in Ukraine, the list of which is defined by the Law.

*3 - Foreign public figures are individuals who perform or have performed prominent public functions in foreign countries, namely Heads of state, government, ministers (deputies), members of parliament or other bodies performing the functions of the legislative body of the state, chairmen and members of the boards of central banks or chambers of account, members of the supreme court, constitutional court or other judicial bodies whose decisions are not subject to appeal, except in exceptional circumstances, ambassadors extraordinary and plenipotentiary, chargé d'affaires and heads of central military authorities, heads of administrative, management or supervisory bodies of state-owned enterprises

*4 - Public figures - officials of international organizations who hold or have held the position of a manager (director, chairman of the board or other) or deputy manager in such organizations or perform or have performed any other managerial (prominent public) functions at the highest level, including in international intergovernmental organizations, members of international parliamentary assemblies, judges and senior officials of international courts.

17. Data identifying persons who have the right to manage the accounts and property of the separated unit (branches, representative offices, etc.), as well as those authorized to act on behalf of the separated unit (branches, representative offices, etc.):

No.	Full name, ITN (if any), (note: to be filled in in accordance with the law of the country of residence of the individual)* ¹	Citizenship, country of permanent residence, date of birth
1.		
2.		
3.		

18. Characteristics of the financial status of a separate unit (branches, representative offices, etc.) (for newly created separate units (branches, representative offices, etc.), data on profit, loss, and net income are indicated, if available):

	For the last reporting period, the amount (in thousand hryvnias or the relevant presentation currency) (according to the last reporting submitted to the tax authority (I quarter, half year, nine months, year)	For the same reporting period of the previous reporting year, the amount (in thousands of UAH or the relevant currency) (according to the reports submitted to the fiscal authorities for the same reporting period of the previous reporting year)
Profit (+) / loss (-) (or planned for the current year in case of just started activities) * ⁵ :		
Net income from sales of products/services* ⁵ :		
Amount of current accounts payable for long-term liabilities* ⁵ :		
Current size accounts payable for goods, works, services* ⁵ :		
Bank loans* ⁵ :		
Deposits in banks* ⁵ :		

*⁵ - by a separate subdivision (branch, representative office) of a legal entity - by non-resident is filled in at his own request

Date of completion: “ _____ ” _____ 20_____

(position of manager or authorized person)

(signature)
SEAL (if any)

(Full name)

CLIENT QUESTIONNAIRE OF LIMITED LIABILITY COMPANY "UKRAINIAN UNIVERSAL EXCHANGE"

- INDIVIDUAL ENTREPRENEUR

(business entity - resident of Ukraine) /

AN INDIVIDUAL ENGAGED IN ENTREPRENEURIAL ACTIVITY

(business entity - non-resident of Ukraine)

*in compliance with the requirements of the Law of Ukraine "On
prevention and countermeasures against legalization (laundering) of
proceeds obtained through criminal means,
financing terrorism and financing the proliferation of weapons of mass
destruction"
(hereinafter – the Law)*

1.	Full name of the individual entrepreneur (resident) / individual engaged in entrepreneurial activity (non-resident)	
2.	Identification code according to the Unified State Register of Legal Entities, Individual Entrepreneurs and Public Organizations (hereinafter referred to as the Register) / Code/number from the registration certificate or extract from the bank, trade or court register or registration certificate of the authorized body of a foreign state on registration of an individual engaged in entrepreneurial activity (non-resident) (hereinafter referred to as the registration number of the business entity in the country of residence)	
3.	Date and number of the entry in the Register of state registration / Date of entry of the data of an individual engaged in entrepreneurial activity (non-resident) in the relevant register of business entities in the country of residence	

4.	Location/ Place of registration	
5.	Actual location	
6.	Contact phone and fax numbers	
7.	Email address, official website (in the presence)	
8.	Number of employees (if any)	
9.	Description of the main types of economic activity: economic activity code according to the classification of Ukraine / Name (Description) (for residents) / Entered in the relevant constituent documents of the client / registers of business entities in the country of residence (if any) (for non- residents)	
10.	Do the types of activities actually carried out (planned to be carried out in case of newly started activities) by the client correspond to the types of activities entered in the Register according to the KVED (for residents) / entered in the relevant constituent documents of the client / registers of business entities in the country of residence (if any) (for non- residents)? If no, indicate what other activities the client is engaged in that are not included in the Register (for residents) / relevant constituent documents of the client / registers of business entities in the country of residence (if any) (for non-residents)	<input type="checkbox"/> Yes <input type="checkbox"/> No
11.	Is the individual entrepreneur in the process of termination (if so, specify the relevant data)	<input type="checkbox"/> Yes <input type="checkbox"/> No
12.	Is the individual entrepreneur subject to bankruptcy proceedings? (if yes, please provide the relevant information)	<input type="checkbox"/> Yes <input type="checkbox"/> No
13.	Does the individual entrepreneur conduct business in the territories of states classified as offshore zones? (if yes, please specify which ones)	<input type="checkbox"/> Yes <input type="checkbox"/> No

14. Compliance with the regime of international sanctions/anti-corruption requirements:

1.	Have Ukrainian/international sanctions (in particular, but not exclusively, sanctions of the UN, the USA, the European Union, the United Kingdom of Great Britain and Northern Ireland) been applied to the individual entrepreneur during the entire period of existence of the business entity? (if yes, specify the relevant data)	<input type="checkbox"/> Yes <input type="checkbox"/> No
2.	Does the individual entrepreneur interact with persons subject to international/Ukrainian sanctions, in particular SDN, non-SDN (contractual relations, including an agreement on joint activities, participation in public organizations, joint participation in business (ownership of other legal entities), financing in any form, litigation, personal connections)? (if yes, specify the relevant data)	<input type="checkbox"/> Yes <input type="checkbox"/> No
3.	Confirmation that the natural person-entrepreneur has no criminal record for corruption crimes, money laundering, fraud, financing of terrorism; as well as confirmation that no investigation or legal proceedings are being conducted against her	<input type="checkbox"/> I confirm <input type="checkbox"/> I do not confirm
4.	Is the individual entrepreneur involved in investigations/judicial proceedings against other persons in connection with corruption crimes, money laundering, fraud, terrorist financing? (if yes, specify the relevant data)	<input type="checkbox"/> Yes <input type="checkbox"/> No
5.	Is there an individual entrepreneur: - a citizen of a state that carries out armed aggression against Ukraine (except for citizens of such a state who were granted the status of a participant in hostilities after April 14, 2014), and/or a person whose place of permanent residence (residence, registration) is the state that carries out armed aggression against Ukraine; - a person who transfers (receives) assets to (from) Ukraine, using depository institutions, banks, other financial institutions and non-bank payment service providers, whose place of residence and/or registration is a state that carries out armed aggression against Ukraine? (if yes, specify the relevant data)	<input type="checkbox"/> Yes <input type="checkbox"/> No
6.	Is a natural person-entrepreneur a person whose accounts are prohibited from spending transactions by the National Bank of Ukraine (a resident of the Russian Federation/Republic of Belarus)?	<input type="checkbox"/> Yes <input type="checkbox"/> No

	(if yes, specify the relevant data)	
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15. Data identifying persons who have the right to manage accounts and property and are authorized to act on behalf of the client:

No	Full name, taxpayer registration number (hereinafter referred to as the "ITN") (if any) (note: to be filled in in accordance with the law of the country of residence of the individual)* ¹ (in the absence of the ITN, the series and number of the passport or ID card data shall be indicated), date of birth	Citizenship country	Country of permanent residence, date of birth
1			
2			
3			

*1 - in case of absence of the registration number of the taxpayer's account card, the series and number of the passport or ID card data of the member of the management body shall be indicated.

16. Is the individual entrepreneur a politically significant person (a national public figure*², a foreign public figure*³ or a figure performing public functions in international organizations*⁴), a member of their families or a person related to politically significant persons?

Yes ☐ No ☐

If "Yes", please fill in the following table:

№	Full name of the public official (in case of related persons, the surname, first name, patronymic (if any) of the person with whom the public official is related shall be indicated additionally)	Position held or currently held	Duration (period) of the position
1.			
2.			
3.			

*² - National public figures - individuals who perform or have performed prominent public functions in Ukraine, the list of which is defined by the Law.

*³ - Foreign public figures are individuals who perform or have performed prominent public functions in foreign countries, namely Heads of state, government, ministers (deputies), members of parliament or other bodies performing the functions of the legislative body of the state, chairmen and members of the boards of central banks or chambers of account, members of the supreme court, constitutional court or other judicial bodies whose decisions are not subject to appeal, except in exceptional circumstances, ambassadors extraordinary and plenipotentiary, chargé d'affaires and heads of central military authorities, heads of administrative, management or supervisory bodies of state-owned enterprises

*⁴ - Public figures - officials of international organizations who hold or have held the position of a manager (director, chairman of the board or other) or deputy manager in such organizations or perform or have performed any other managerial (prominent public) functions at the highest level, including in international intergovernmental organizations, members of international parliamentary assemblies, judges and senior officials of international courts.

17. Characteristics of the client's financial condition (for newly registered individual entrepreneurs, data on profit, loss and net income shall be indicated if available):

	For the last reporting period, the amount (in thousand hryvnias) (according to the last reporting submitted to the fiscal authorities (I quarter, half year, nine months, year)	For the same reporting period of the previous year, the amount (in thousand hryvnias) (according to the last annual report submitted to the fiscal authorities)
Profit (+)/loss (-) (or planned for the current year in case of just started activities)		
Net income from sales of products/services* ⁵		
Amount of current payables by long-term obligations* ⁵ :		
Amount of current accounts payable for goods, works, services* ⁵ :		
Bank loans* ⁵		
Deposits in banks* ⁵		

*⁵ - by an individual engaged in entrepreneurial activity (non-resident) - to be filled in at their own request.

Date of completion: " _ " _____ 20 _____

(signature) (full name)

SEAL (if any)

*Appendix No. 8
to the Regulations of
organization and implementation
of exchange trades of purchase and sale
of untreated timber
and lumber on the commodity exchange -
Limited Liability Company
"UKRAINIAN UNIVERSAL EXCHANGE"*

**Application for joining
to the Regulations on the Organization and Conduct of Exchange Trades for
the Purchase and Sale of Untreated Timber and Lumber on the Commodity
Exchange - UKRAINIAN UNIVERSAL EXCHANGE Limited Liability Company**

Auction participant_____

(full name of the legal entity or full name of the individual entrepreneur)

EDRPOU code/identification number_____

in person_____, acting on the basis of_____

(for a legal entity)

by signing this Application, one agrees to the terms of the Regulations on the organization and conduct of exchange trades for the purchase and sale of untreated timber and lumber on the Commodity Exchange - UKRAINIAN UNIVERSAL EXCHANGE LIMITED LIABILITY COMPANY (hereinafter - the Regulations), posted on the Exchange's website at the address: <https://www.uub.com.ua/>, in the version valid on the date of signing this Application, and with all subsequent changes approved in the prescribed manner, accepts all the rights and obligations established in the Regulations for the auction participant, and undertakes to fulfill them properly.

We are responsible for the accuracy of the information provided.

“ ____ “ ____ 20 ____

(position for a legal entity)

(signature) (Full name)

SEAL (if any)

CONTRACT

Limited Liability Company "UKRAINIAN UNIVERSAL EXCHANGE" announces a public offer that concerns an unlimited range of legal entities and natural persons - entrepreneurs regarding the possibility of concluding this public Agreement (hereinafter - the Agreement)

Place of conclusion: Poltava

Limited Liability Company "UKRAINIAN UNIVERSAL EXCHANGE", hereinafter referred to as the **Exchange**,

and **Agent**, a person who has concluded an Agency Agreement with the Exchange and who is included in the list of Exchange Agents published on the Exchange website <https://www.uub.com.ua>.

and the **Participant**, a person who joined this Agreement by submitting to the Exchange an Application to join the Agreement in the form established by Appendix No. 1 to this Agreement (for residents), Appendix No. 15 (for non-residents), which means joining this Agreement and acquired the status of a Member Exchanges

hereinafter all collectively referred to as the "Parties", concluded this Agreement on the following terms:

1. SCOPE OF THE CONTRACT

1.1. The Agent, in accordance with the procedure and under the conditions defined by this Agreement and the Regulations, undertakes to provide informational support and advisory services to Auction Participants in the direction of "Untreated timber and lumber", the organization and conduct of which is regulated by the Regulations on the Organization and Conduct of Exchange Purchase Auctions - sale of untreated timber and lumber on the commodity exchange - "UKRAINIAN UNIVERSAL EXCHANGE" Limited Liability Company (hereinafter - the Regulations) and other internal documents of the Exchange, and obligation to facilitate the process of verification by the Exchange of signs of violations by bidders of the provisions of this Regulations, the Exchange Rules, other internal documents of the Exchange, the obligations of such bidders under contracts for the purchase and sale of untreated timber/firewood/lumber discovered during the relevant monitoring, concluded based on the results of exchange trading.

1.2. The list of agents of the Exchange who have joined this Agreement is approved by the director of the Exchange or another authorized person in accordance with the established procedure and is published on the website of the Exchange <https://www.uub.com.ua>.

1.3. The decision to engage (authorize) the Agent to provide informational support and advisory services to the Auction Participant(s) is adopted by the Exchange and published in the announcement of the relevant auction(s). The information contains the Agent's name, identification code, and contact information. The Exchange involves an Agent who has entered into an information interaction agreement with the Participant (initiator of the auction) to the auction(s).

1.4. In accordance with the terms of this Agreement, the Participant, in the cases and under the conditions established by the Regulations, undertakes to pay the remuneration to the Agent in accordance with the Tariffs for the services of the Limited Liability Company "UKRAINIAN

UNIVERSAL EXCHANGE" (hereinafter - the Tariffs of the Exchange).

1.5. The terms and definitions used in this Agreement are applied in the meanings given in the Regulations and other internal documents of the Exchange. The rights and obligations arising from this Agreement arise for the Parties, in case of involvement of the Agent in the auction(s) by the Exchange, information about which is published in the announcement of the auction(s).

1.6. Neither the Agent nor the Participant can offer their own terms to this Agreement.

1.7. In accordance with the terms of this Contract the Participant agrees that the Exchange shall have the right to transfer to the Agent, upon its reasonable request, the documents/information received from such Participant during its accreditation on the Exchange, for the purpose, including, but not limited to, transfer by the Agent of such documents/information to the bank in the process of settlements between the Participant and the Agent in terms of payment of the Agent's remuneration in accordance with the terms of this Contract.

2. RIGHTS AND OBLIGATIONS OF THE PARTIES

2.1. Exchange undertakes:

2.1.1. Organize and conduct auctions in accordance with the procedure defined by the Regulations and other internal documents of the Exchange.

2.1.2. Return Participant paid guarantee fee (if the Participant makes a guarantee fee) in the cases, procedure and terms specified by the Regulations.

2.1.3. To ensure the anonymity and confidentiality of information classified as confidential information (information) by the internal documents of the Exchange. Transfer by the Exchange to the Agent in accordance with clause 2.2.8 hereof of documents/information received from the Member during its accreditation shall not be deemed disclosure of confidential data (information).

2.1.4. Provide the Parties with all information necessary for the proper execution of this Agreement.

2.1.5. To inform the Agent about the terms of organizing and holding auctions and about changes to these terms.

2.1.6. Perform other duties established by this Agreement, Regulations and other internal documents of the Exchange.

2.2. Exchange has the right to:

2.2.1. To require the Auction Participant to pay the necessary payments in accordance with the Regulations, Exchange Tariffs and taking into account the relevant decisions of the Supervisory Board, adopted in cases provided for by the Regulations (force majeure circumstances, martial law).

2.2.2. Not to return the guarantee fee of the Participant in the cases stipulated by the Regulations (no applies in the case of the Supervisory Board's decision to cancel the payment of guarantee fee).

2.2.3. To cancel (terminate) the status of a member and/or participant in exchange trading, stop access to the auction(s) or terminate access to the Participant's auctions in the cases provided for by the Regulations.

2.2.4. Upon request, receive reports and other documents/information from the Agent and the Participant about the progress and results of the implementation of the terms of this Agreement and the Regulations.

2.2.5. Provide the Agent with information and documents for distribution among potential auction participants.

2.2.6. Early termination of this Agreement in the cases stipulated by the Regulations and this Agreement.

2.2.7. At the Agent's request, deny a participant (buyer) admission to participate in the auction for the sale of untreated timber/firewood/lumber, in the event that such a participant has arrears of remuneration to this Agent.

2.2.8. Provide an Agent, upon its reasonable request, with documents/information received from the Member during its accreditation on the Exchange, for the purpose, including, but not limited to, transfer by the Agent of such documents/information to the bank in the process of settlements

between the Member and the Agent in terms of payment of the Agent's remuneration in accordance with the terms hereof.

2.2.9. To have other rights stipulated by the Regulations and other internal documents of the Exchange.

2.3. The agent undertakes:

2.3.1. To provide services that are the subject of this Agreement and the Agency Agreement, in the event of the Agent's participation in the auction(s) by the Exchange.

2.3.2. Provide consultations to the Participant and provide information support to the Participant (in particular, provide information on the terms of accreditation on the Exchange, conditions of access to participation in auctions, and on other procedures related to the organization and conduct of auctions).

2.3.3. Ensure the proper execution of documents by the Participants and regarding the Participants, necessary for the Exchange to provide services for the organization and conduct of auctions in accordance with the Regulations and other internal documents of the Exchange, as well as the exchange of documents between the Exchange and the Participants.

2.3.4. For each auction, receive documents in accordance with the Regulations from the Participant for preliminary verification of compliance with the requirements of the Regulations, information in accordance with the Regulations.

2.3.5. To ensure the confidentiality of information and information that became known to the Agent during the execution of this Agreement.

2.3.6. To ensure the transfer to the Exchange of documents and information received under this Agreement within the terms and manner specified by the Regulations.

2.3.7. To provide the Exchange with regard to each auction in which the Agent is involved, summarized information on the concluded as a result of the auctions, executed and unexecuted (with an indication of the reason) contracts for the sale of raw wood/firewood/timber, in the format and to the e-mail addresses published on website of the Exchange.

2.3.8. In case the Exchange engages the Agent, the Exchange shall monitor the detected signs of violations of the provisions of these Rules by the Trading Members of the Exchange based on the results of the control measures taken in accordance with the Procedure for imposing sanctions on the trading members of the Exchange for the purchase and sale of untreated timber and lumber on the Commodity Exchange - Ukrainian Universal Exchange Limited Liability Company (hereinafter referred to as the Sanctions Procedure), Rules of the Exchange, other internal documents of the Exchange and obligations of such participants under exchange transactions / contracts of purchase and sale of untreated timber / firewood / lumber concluded as a result of exchange trading, to take actions to establish the actual circumstances that led to signs of such violations.

2.3.9. At the request of the Exchange, develop and implement a set of measures aimed at paying off the receivables of auction participants before the Exchange.

2.3.10. Conduct a scheduled inspection of the batch/lots of untreated timber/firewood /lumber at the Participant-seller in accordance with the schedule with photo/video recording of the batch being inspected and drawing up the relevant Inspection Report. At the same time, the plan-schedule of inspection by agents of the batch/lots of untreated timber/firewood /lumber from the participants-sellers shall be drawn up and approved by the Exchange at least once a quarter.

2.3.11. To inform the Exchange of the inspection of the batch(es) of untreated timber/firewood/lumber to determine compliance of such batch(es) with the terms of the exchange agreement if the Participant applies to the Agent with the relevant initiative. Such examination shall be carried out on the basis of a separately concluded agreement between the Agent and the Participant.

2.3.12. Perform other duties established by the Regulations, the Agency Agreement and other internal documents of the Exchange.

2.4. The agent has the right to:

2.4.1. To act on behalf, in the interests and under the control of the Exchange in order to fulfill the terms of this Agreement, in the case of involving the Agent in the auction(s) by the Exchange.

2.4.2. Receive remuneration on the terms specified in this Agreement.

2.4.3. Provide services to the Participant for inspection of the batch/batches of untreated timber/firewood/lumber in order to determine the compliance of such batch/batches with the terms of the exchange transaction, if the Participant applies to the Agent with the relevant initiative, and to regulate such legal relations in accordance with the requirements of the current legislation, namely by concluding a separate agreement.

2.5. The participant undertakes:

2.5.1. When performing any actions related to participation in the auction, be sure to follow the Regulations and the requirements of this Agreement.

2.5.2. Provide the Agent with information and documents in the process of implementation in accordance with the Regulations and this Agreement.

2.5.3. To provide the Agent with information on concluded and executed contracts for the purchase and sale of raw wood/firewood/lumber, acts and other reporting documents provided for by the Regulations.

2.5.4. In the cases established by the Regulations and this Agreement, pay the Agent's remuneration in accordance with the Exchange Tariffs.

2.5.5. Sign Acts of acceptance and transfer of services received from the Agent.

2.5.6. To provide the Agent/Exchange with answers to requests for information/documents, copies of documents, extracts from documents, written or oral explanations during the conduct by the Exchange independently or jointly with the agent who was engaged by the Exchange to conduct the auction, monitoring of signs of violations by bidders detected during the provisions control measures according to the Sanctions Procedure of this Regulation, the Rules of the Exchange, other internal documents of the Exchange, decisions of the Supervisory board, obligations of such participants under the exchange agreements, purchase and sale contracts of untreated timber/firewood/lumber, concluded as a result of exchange trades (including providing responses to requests sent in accordance with clause 4.4. of the Sanctions Procedure);

2.5.7. Perform other duties established by the Regulations, this Agreement and other internal documents of the Exchange.

2.6. The participant has the right to:

2.6.1. To receive from the Exchange and the Agent information that is necessary for him to fulfill this Agreement and the Regulations.

2.6.2. On receiving informative escort and consulting service Agent.

2.6.3. In cases installed Regulation, require from Exchanges return, listed for participation in the auction of the guarantee fee (does not apply if the Supervisory Board makes a decision to cancel the payment of the guarantee fee).

2.6.4. Apply to the Agent with the initiative to inspect the batch(es) of untreated timber/firewood/lumber in order to determine the compliance of such batch(es) with the terms of the exchange agreement, and to regulate such legal relations in accordance with the requirements of the current legislation, namely by concluding a separate agreement.

3. ACCEPTANCE AND TRANSFER OF PROVIDED SERVICES

3.1. Based on the results of the provided services, the Agent ensures the preparation and signing by the Participant and the Agent of the Act (s) of acceptance and transfer of the provided services in 3 copies, with their subsequent provision to the Participant (s). The act (s) of acceptance and transfer is drawn up within 3 (three) working days from the day the Agent receives the corresponding reward.

3.2. The Participant(s) within 3 (three) working days from the moment of receipt of the Act(s) of

acceptance and transfer of the provided services shall sign it and return its copy(s) to the Agent, or within this period submit comments (objections) to Act (s) of acceptance and transfer of the provided services in writing.

3.3. After elimination of comments (objections), the Act (s) of acceptance and transfer of the provided services is signed by the Participant (s) and the Agent in accordance with the terms of this Section.

4. PAYMENT FOR SERVICES

4.1. The amount of the Agent's remuneration under this Agreement is set by the Exchange in the Exchange Tariffs and consists of:

4.1.1. fee for data processing in sales auctions;

4.1.2. agent's fees paid by the Participant who became the winner of the sale auction or the initiator of the purchase auction.

4.2. Payment by the Participant(s) who became a winner(s) of the sale auction(s) or the initiator(s) of the purchase auction(s) of remuneration according to clause 4.1.2. of this Agreement, is carried out within 3 (three) banking days from the date of the auction(s).

4.3. Payment of remuneration to the Agent and other calculations under this Agreement are carried out by the Participant who is a resident of Ukraine, exclusively in the national currency of Ukraine - hryvnia, by means of a non-cash transfer of funds to the relevant accounts of the Agent.

Payment of remuneration to the Agent and other calculations under this Agreement may be made by the Participant, who is a non-resident of Ukraine, in foreign currency by means of a non-cash transfer of funds to the relevant accounts of the Agent in the currency of such account. The amount of remuneration to the Agent in foreign currency is equivalent to the amount of remuneration calculated in hryvnias at the official exchange rate of the National Bank of Ukraine as of the day of the auction.

5. RESPONSIBILITIES OF THE PARTIES

5.1. In case of violation of the Agreement, the Party bears the responsibility defined by the Regulations, this Agreement and (or) the legislation of Ukraine.

5.2. A breach of the Agreement is its non-performance or improper performance, that is, performance in violation of the conditions defined by the content of this Agreement.

5.3. The party is considered innocent and is not liable for breach of the Agreement, if it proves that it has taken all measures dependent on it for the proper implementation of this Agreement.

5.4. The Agent is fully responsible for the Exchange's losses as a result of the Agent's violation of this Agreement.

6. SETTLEMENT OF DISPUTES

6.1. All disputes arising from this Agreement or related to it shall be resolved through negotiations between the Parties.

6.2. If the relevant dispute cannot be resolved through negotiations, it is resolved in a court of law according to the established jurisdiction and jurisdiction of such a dispute in accordance with the current legislation of Ukraine.

7. FORCE MAJEURE

7.1. None of the Parties shall be liable for full or partial non-fulfillment of obligations, if such non-fulfillment is due to acts of force majeure.

7.2. The period of force majeure postpones the period for the Parties to fulfill their obligations under this contract.

7.3. The action of force majeure must be confirmed in accordance with the established procedure by the relevant state body.

7.4. If the period of force majeure continues for more than 30 (thirty) calendar days, each of the

Parties has the right to terminate this Agreement unilaterally. In this case, settlements between the Parties are made based on the obligations actually fulfilled.

8. CONTRACT TERM

8.1. The contract has legal force in accordance with Art. Art. 633, 641, 642 of the Civil Code of Ukraine and is equivalent to the Agreement signed by the Parties. The Agent and the Participant confirm the fact of familiarization with and agreement with all the terms of this Agreement in full by acceptance.

Acceptance of this Agreement is

- for the Participant from the moment the Participant submits an application for joining this Agreement (which means unconditional joining this Agreement) in accordance with Appendix 1 to this Agreement;

- for the Agent from the moment of concluding the Agency Agreement with the Exchange, which is the acceptance of this Agreement.

The Agreement is public and open-ended and is valid until its termination by the Exchange in accordance with the procedure established by this Agreement or current legislation, but in any case until the moment of its final performance by the Parties in terms of unfulfilled obligations.

8.2. Expiration of the term of this Agreement does not release the Parties from responsibility for its violation, which occurred during the validity of this Agreement.

8.3. The contract may be prematurely terminated in the following cases:

- by agreement;

- at the initiative of the Exchange. The Exchange has the right to prematurely terminate this Agreement with the Participant and/or Agent by posting on the Exchange's website a statement of termination of the Agreement with the relevant persons (the list of which is specified in the notification) no later than 10 working days before the date of early termination of the Agreement. In case of termination of the Agreement with the Agent, the contractual relations with the Participants who joined the Agreement with such Agent are also automatically terminated;

- in other cases stipulated by the current legislation.

8.4. In case of termination of the Agreement by the Exchange, the Agreement shall be considered terminated automatically without the conclusion of any additional agreements/agreements on termination, acts or any other documents from the date specified in the application for withdrawal of the Agreement. At the same time, the Exchange is considered to have fully and properly fulfilled its obligations under the Agreement, and the obligations of other Parties arising during the period of validity of this Agreement shall be effective until the moment of their full fulfillment.

9. FINAL PROVISIONS

9.1. The parties have the right to conduct electronic correspondence through the official electronic addresses determined in accordance with Clause 9.2. of this Agreement. All notices under this Agreement shall be deemed to have been properly delivered if they are sent to the other Party by email or by any other means agreed upon by the Parties.

9.2. The parties determine the persons responsible for the execution of this Agreement, contact telephone numbers and official e-mail addresses for correspondence under this Agreement as follows:

- information of the Exchange regarding responsible persons, contact numbers and official e-mail addresses for correspondence is indicated on the Exchange's website;

- the Agent's information on responsible persons, contact telephone numbers and official e-mail addresses for correspondence is indicated on the Agent's website and on the website of the Exchange.

- the Participant's information on responsible persons, contact numbers and official e-mail addresses for correspondence is specified in the Application for joining this Agreement, which is an integral part of this Agreement.

9.3. In the event of a change in any Party's email address or information about responsible persons, contact numbers, details, etc., the other Parties must be informed of the relevant changes no later than one day after their occurrence.

9.4. By concluding this Agreement, the authorized representatives of the Parties give consent (permission) to the processing of their personal data for the purpose of confirming the authority of the respective Party. By signing this Agreement, the representatives of the Parties confirm that they have been notified of their rights in accordance with Art. 8 of the Law of Ukraine "On Protection of Personal Data".

9.5. The Application form for joining the terms of the Agreement for the Participant is set out in the Appendix No. 1 to this Agreement and is an integral part of it.

9.6. The Parties agree that the response by the Trading Member to the request for information sent in accordance with clause 4.4. of the Sanctions Procedure shall be made by filling in by the Trading Member's broker the relevant form for responding to the request located at the address of the unique hyperlink placed in the personal information message, using a one-time identifier when signing the electronic signature. Trade Participant shall be responsible for preservation of the information contained in the personal information notice on detection of the signs of violation.

The Trading Member confirms that when the broker signs the response to the request for information sent in accordance with clause 4.4. of the Procedure for Application of Sanctions using electronic signature with a one-time identifier, such broker of the Trading Member has sufficient rights and powers to do so.

9.7. All legal relations that arise in connection with the fulfillment of the terms of this Agreement and are not regulated by it are regulated by the Regulations and the current legislation of Ukraine.

EXCHANGE LOCATION -

Limited Liability Company

"UKRAINIAN UNIVERSAL EXCHANGE"

36039, Ukraine, Poltava, Shevchenko, Str. 52

Appendix No. 1 to the Agreement
Limited Liability Company
"UKRAINIAN UNIVERSAL EXCHANGE"

APPLICATION
on joining the Agreement

According to Art. 634 of the Civil Code of Ukraine by signing and submitting this Application for joining the Agreement (hereinafter referred to as the Application) to the Limited Liability Company "UKRAINIAN UNIVERSAL EXCHANGE" (EDRPOU code 25158707) (hereinafter - the Exchange),

(full name of the legal entity or full name of the individual entrepreneur)

EDRPOU code/identification number _____,
in person _____, acting on the basis of
(for a legal entity)
_____,
(for a legal entity)

hereinafter referred to as the Participant, intending to carry out on the Exchange the activity of buying and selling untreated timber/firewood/lumber at exchange trades (auctions), the organization and conduct of which is carried out by the Exchange or the Exchange with the involvement of an Agent in accordance with the Regulations on the Organization and Conduct of Exchange Trades of trades in the purchase and sale of untreated timber and lumber on the commodity exchange - UKRAINIAN UNIVERSAL EXCHANGE Limited Liability Company (hereinafter referred to as the Regulations), and joins the Agreement, which is Appendix No. 9 to the Regulations, and is posted on the official website of the Exchange: <https://www.uub.com.ua/>.

1. By signing this Application, the Participant certifies that he is familiar with the terms of the above Agreement, the Regulations, fully and unconditionally accepts their terms, agrees with them and undertakes to comply with them, as well as gives his consent to the processing of personal data.
2. The submission of this Application is the basis for the Exchange to take measures aimed at verifying the compliance of information and information with the conditions established by the Regulations.
3. Information about persons responsible for the execution of the Agreement on behalf of the Participant

(Name, contact numbers and official email addresses for correspondence)

DETAILS OF THE PARTICIPANT:

Legal address: _____

Bank details: _____

“ “ 20

(signature) (full name)

NOTICE (issued only by natural persons-entrepreneurs)

11) withdraw consent to the processing of personal data; 12) know the mechanism of automatic processing of personal data; 13) to protect against an automated decision that has legal consequences, 9) apply legal remedies in case of violation of the legislation on the protection of personal data; 10) enter reservations regarding the limitation of the right to process your personal data when giving consent or concluding a transaction; 11) withdraw consent to the processing of personal data; 12) know the mechanism of automatic processing of personal data; 13) to protect against an automated decision that has legal consequences, 9) apply legal remedies in case of violation of the legislation on the protection of personal data; 10) enter reservations regarding the limitation of the right to process your personal data when giving consent or concluding a transaction; 11) withdraw consent to the processing of personal data; 12) know the mechanism of automatic processing of personal data; 13) to protect against an automated decision that has legal consequences for you.

“ “ 20

(signature of an individual) (full name)

***Appendix No. 10
to the Regulations of
organization and conduct
of exchange trading
of untreated timber
and lumber at the commodity exchange -
Limited Liability Company
"UKRAINIAN UNIVERSAL EXCHANGE"***

***(excluded according to the decision of the Supervisory Board
dd. 07.11.2022 (Protocol No. 84 dd. November 7, 2022)***

*Appendix No. 11
to the Regulation of
organization and implementation
of exchange trades of purchase and sale
of untreated timber
and lumber on the commodity exchange -
Limited Liability Company
"UKRAINIAN UNIVERSAL EXCHANGE"*

**List of bidders - sellers admitted to the auction from the purchase
of untreated timber/firewood/lumber that will occur 202_**

_____ provides a list of bidders - sellers admitted to participate in the
auction for the purchase of untreated timber / firewood / lumber

No	Identification code of the legal entity/registration number of the taxpayer's account card of the natural person-entrepreneur or series (if available) and the participant's passport number	Name of the legal entity/surname, first name, patronymic of the natural person- entrepreneur participant

Date: 202_

Annex No. 12
to the Regulations on organization and conduct
of purchase and sale exchange auctions
of untreated timber and lumber
at the Limited Liability Company
UKRAINIAN UNIVERSAL EXCHANGE

SAMPLE
OF ANALOGUE OF HANDWRITTEN SIGNATURE
(FACSIMILE REPRODUCTION USING MECHANICAL COPYING FACILITIES -
FACSIMILE) OF THE AUTHORIZED PERSON OF THE EXCHANGE - DIRECTOR SERGII
GLADKYI

A facsimile reproduction of a handwritten signature in blue ink. The signature is stylized, starting with a large 'S' and ending with a long, sweeping horizontal stroke.

*Appendix No. 13
to the Regulations of
organization and conduct
of exchange trading
of untreated timber
and lumber at the commodity exchange -
Limited Liability Company
"UKRAINIAN UNIVERSAL EXCHANGE"*

<p style="text-align: center;">Agreement of exchange place lease no</p> <p>Poltava "___" 202__</p> <p>UKRAINIAN UNIVERSAL EXCHANGE Limited Liability Company(hereinafter referred to as the Commodity Exchange), represented by the Chairman of the Supervisory Board Oleksandr Makovyi, acting on the basis of the Charter, on the one hand, and _____</p> <p>(hereinafter referred to as the Lessee), represented by _____, acting on the basis of _____, on the other hand, hereinafter together - the Parties have concluded this Agreement of exchange place lease (hereinafter - the Agreement) on the following:</p>	<p style="text-align: center;">Договір оренди біржового місця №</p> <p>м. Полтава «___» 202__ року</p> <p>Товариство з обмеженою відповідальністю «УКРАЇНСЬКА УНІВЕРСАЛЬНА БІРЖА» (надалі – Товарна біржа), в особі Голови Наглядової ради Макового Олександра Віталійовича, який діє на підставі Статуту, з однієї сторони, та _____ (надалі Орендар), в особі _____, що діє на підставі __, з іншої сторони, надалі разом - Сторони, уклали даний Договір оренди біржового місця (далі – Договір) про наступне:</p>
<p style="text-align: center;">1. Subject of the Agreement</p> <p>1.1. According to the terms of this Agreement, the Commodity Exchange transfers and the Lessee accepts for temporary paid use of the exchange place at the UKRAINIAN UNIVERSAL EXCHANGE LLC and the rights of the Member of the UKRAINIAN UNIVERSAL EXCHANGE LLC.</p> <p>1.2. The Commodity Exchange provides and the Lessee uses the opportunities to carry out exchange transactions at the Commodity Exchange, and in cases specified by law, OTC transactions on the Commodity Exchange, during the term of this Agreement.</p> <p>1.3. After concluding this Agreement and making payments under it, the Lessee acquires the status of a Member (brokerage office) - a trades participant on the Commodity Exchange and is accredited on the Commodity Exchange under a number _____ confirmed by a Member Certificate issued by the Commodity Exchange to the Lessee.</p>	<p style="text-align: center;">1. Предмет Договору</p> <p>1.1. Згідно умов цього Договору Товарна біржа передає, а Орендар приймає у тимчасове платне користування біржове місце на ТОВ «УКРАЇНСЬКА УНІВЕРСАЛЬНА БІРЖА» та права Члена ТОВ «УКРАЇНСЬКА УНІВЕРСАЛЬНА БІРЖА».</p> <p>1.2. Товарна біржа надає, а Орендар використовує можливості здійснювати біржові операції на Товарній біржі, а у випадках, визначених законодавством, позабіржові операції на Товарній біржі, протягом строку дії даного Договору.</p> <p>1.3. Після укладення цього Договору та здійснення розрахунків по ньому Орендар набуває статусу Члена (брокерської контори) - учасника торгів на Товарній біржі та акредитується на Товарній біржі під номером _____.</p>
<p style="text-align: center;">2. Rights and obligations of the Parties</p>	<p style="text-align: center;">3. Права та обов'язки Сторін</p>

<p>2.1.Commodity Exchange has a right to:</p> <p>2.1.1. On timely receipt of rent from the Lessee for the use of exchange place.</p> <p>2.1.2. Terminate this Agreement in accordance with clause 3.2. of this Agreement.</p> <p>2.1.3. Establish and collect fines and apply other sanctions for violations of the Rules, other internal documents of the Commodity Exchange, including those that regulate exchange trade on the Commodity Exchange, and this Agreement.</p> <p>2.1.4. Establish certain rights, obligations, requirements for the Lessee, including requirements for measures to manage the risks of non-performance of obligations under exchange agreements and exchange agreements.</p> <p>2.1.5. In case of violation by the Lessee and / or its accredited broker (s) of the requirements of legislation and / or rules and / or other internal documents of the Commodity Exchange and / or this Agreement to suspend or terminate the Lessee's right to participate in exchange trading, including by terminating this Agreement.</p> <p>2.1.6. Carry out other functions provided by the current legislation of Ukraine, by the Charter of the Commodity Exchange, by the Rules of LLC "UKRAINIAN UNIVERSAL EXCHANGE" (hereinafter - the Rules), the Regulations on the lease of exchange places on LLC "UKRAINIAN UNIVERSAL EXCHANGE" (hereinafter - the Regulations), and the other internal documents of the Commodity Exchange regulating exchange activities on the Commodity Exchange.</p>	<p>2.1.Товарна біржа має право:</p> <p>2.1.1. На своєчасне отримання орендної плати від Орендаря за користування біржовим місцем.</p> <p>2.1.2. Розірвати даний Договір, згідно п. 3.2. даного Договору.</p> <p>2.1.3. Встановлювати та стягувати штрафи та застосовувати інші санкції за порушення Правил, інших внутрішніх документів Товарної біржі, у т.ч. тих, які регулюють біржову торгівлю на Товарній біржі, та цього Договору.</p> <p>2.1.4. Встановлювати для Орендаря певні права, обов'язки, вимоги, зокрема вимоги до заходів з управління ризиками невиконання зобов'язань за біржовими угодами та біржовими договорами.</p> <p>2.1.5. У разі порушення Орендарем та/або його акредитованим (-ими) брокером (брокерами) вимог законодавства та/або правил та/або інших внутрішніх документів Товарної біржі та/або цього Договору зупинити або припинити права Орендаря щодо участі у біржових торгах, у т.ч. шляхом розірвання цього Договору.</p> <p>2.1.6. Здійснювати інші функції, передбачені чинним законодавством України, Статутом Товарної біржі, Правилами ТОВ «УКРАЇНСЬКА УНІВЕРСАЛЬНА БІРЖА» (далі – Правила), Положенням про надання в оренду біржових місць на ТОВ «УКРАЇНСЬКА УНІВЕРСАЛЬНА БІРЖА» (далі – Положення), іншими внутрішніми документами Товарної біржі, що регламентують біржову діяльність на Товарній біржі.</p>
<p>2.2. Responsibilities of the Commodity Exchange:</p> <p>2.2.1. Provide organization and proper conditions for exchange trading.</p> <p>2.2.2. After signing this Agreement and making a payment by the Lessee in accordance with Section 4 of the Agreement to grant the Lessee and his authorized broker (brokers) the right to participate in exchange trading on the Commodity Exchange by issuing the Lessee the relevant Commodity Exchange Certificate .</p>	<p>2.2. Обов'язки Товарної біржі:</p> <p>2.2.1. Забезпечувати організацію та належні умови для проведення біржових торгів.</p> <p>2.2.2. Після підписання цього Договору та здійснення Орендарем платежу згідно розділу 4 Договору надати Орендарю та уповноваженому ним брокеру (брокерам) право участі в біржових торгах на Товарній біржі.</p> <p>2.2.3. Надати Орендареві можливість перебувати в торгово-операційних залах Товарної Біржі, користуватися обладнанням Товарної біржі,</p>

<p>2.2.3. Give the Lessee the opportunity to be in the trading and operating halls of the Commodity Exchange, use the equipment of the Commodity Exchange, submit applications for purchase / sale (supply, mine) of goods, enter into exchange agreements, exchange agreements on the Commodity Exchange and documents related to exchange agreements and exchange contracts, not to prevent the Lessee from carrying out this activity.</p> <p>2.2.4. Monitor exchange trades and control the Lessee's compliance with the Rules, Regulations and other internal documents of the Commodity Exchange governing exchange trading, including in order to prevent manipulation on the commodity exchange and insider trading.</p> <p>2.2.5. Maintain trade secrets and confidential information about the Lessee, in accordance with the Law of Ukraine "On Commodity Exchanges" and other regulations of Ukraine.</p>	<p>подавати заявки на купівлю/продаж (поставку, міну) товарів, укласти біржові угоди, біржові договори на Товарній біржі та документи, пов'язані з виконанням біржових угод та біржових договорів, не перешкоджати Орендареві у здійсненні цієї діяльності.</p> <p>2.2.4. Здійснювати моніторинг біржових торгів та контроль за дотриманням Орендарем Правил, Положення, інших внутрішніх документів Товарної біржі, що регулюють біржову торгівлю, у т.ч. з метою запобігання маніпулюванню на товарній біржі та інсайдерській торгівлі.</p> <p>Зберігати комерційну таємницю та конфіденційну інформацію про Орендаря, згідно з Законом України "Про товарні біржі" та іншими нормативно-правовими актами України.</p>
<p>2.3. Lessee has the right to:</p> <p>2.3.1. Participate in exchange trades at the Commodity Exchange, as well as in cases specified by law, in OTC transactions on the Commodity Exchange, and receive remuneration for this from their clients.</p> <p>2.3.2. To mediate in concluding agreements on the Commodity Exchange on the basis of an agreement concluded with the relevant client.</p> <p>2.3.3. When mediating in the sale or purchase (delivery, mine) to be in the trading and operational hall of the Commodity Exchange, to use the equipment of the Commodity Exchange, through its employees, to submit orders for purchase / sale (delivery, mine) of goods, to conclude exchange agreements in the Commodity Exchange and perform other actions related to the execution of exchange agreements and exchange contracts, Rules and other internal documents of the Commodity Exchange regulating the exchange activities.</p> <p>2.3.4. Participate in electronic exchange trading at the Commodity Exchange, as well as in cases specified by law, in OTC transactions at the Commodity Exchange, in accordance with the Rules and other internal documents of the Exchange governing exchange trade.</p>	<p>2.3. Орендар має право:</p> <p>2.3.1. Брати участь у біржових торгах на Товарній біржі, а також у випадках, визначених законодавством, у позабіржових операціях на Товарній біржі, та отримувати за це винагороду від своїх клієнтів.</p> <p>2.3.2. Здійснювати посередництво при укладанні угод на Товарній біржі на підставі договору, укладеного з відповідним клієнтом.</p> <p>2.3.3. При здійсненні посередництва при продажу чи купівлі (поставці, міні) знаходитись в торгово-операційній залі Товарної біржі, користуватись обладнанням Товарної біржі, допомогою її працівників, подавати заявки на купівлю/продаж (поставку, міну) товарів, укласти біржові угоди на Товарній біржі та вчиняти інші дії, пов'язані з виконанням біржових угод та біржових договорів, Правил та інших внутрішніх документів Товарної біржі, що регламентують біржову діяльність.</p> <p>2.3.4. Брати участь в електронних біржових торгах на Товарній біржі, а також у випадках, визначених законодавством, у позабіржових операціях на Товарній біржі, відповідно до Правил та інших внутрішніх</p>

<p>2.3.5. To use other rights of the Exchange Member provided by the current legislation of Ukraine, Rules, Regulations and other internal documents of the Commodity Exchange regulating exchange trade.</p>	<p>документів Біржі, що регламентують біржову торгівлю.</p> <p>Користуватися іншими правами Члена Біржі, передбаченими чинним законодавством України, Правилами, Положенням та іншими внутрішніми документами Товарної біржі, що регламентують біржову торгівлю.</p>
<p>2.4.The obligations of the Lessee</p> <p>2.4.1. Accredited your authorized person (broker / brokers) at the Commodity Exchange to participate in exchange trades at the Commodity Exchange with proper execution of relevant documents on representation in accordance with the Regulations.</p> <p>2.4.2. Timely payment for the use of the exchange place to the Commodity Exchange, as well as timely and full payment of other payments for services provided by the Commodity Exchange.</p> <p>2.4.3. When operating at the Commodity Exchange, comply with current legislation, Rules, Regulations and other internal documents of the Commodity Exchange governing exchange trade, as well as requirements and indicators limiting the risks of activities in organized commodity markets established by current legislation and the Commodity Exchange .</p> <p>2.4.4. Perform settlements on its agreements in accordance with the Rules, other internal documents of the Commodity Exchange, regulating exchange trade in the relevant direction (exchange goods), and timely inform the Commodity Exchange about changes in its financial condition and other changes that may adversely affect fulfillment of obligations to clients, other participants in exchange trading and the Commodity Exchange.</p> <p>2.4.5. Keep records of exchange transactions on the Commodity Exchange on its own transactions and for each client separately and keep such information for at least three years.</p> <p>2.4.6. Provide the necessary information about yourself and supporting documents specified by the Commodity Exchange in order for the Commodity Exchange to implement the Law of Ukraine "On Prevention and Counteraction to Legalization (Laundering) of Proceeds from Crime, Terrorist Financing and Financing of Proliferation of</p>	<p>2.4. Обов'язки Орендаря:</p> <p>2.4.1. Акредитувати на Товарній біржі свою уповноважену особу (брокера/брокерів) для участі в біржових торгах на Товарній біржі з належним оформленням відповідних документів щодо представництва відповідно до Положення.</p> <p>2.4.2. Своєчасно сплатити Товарній біржі орендну плату за користування біржовим місцем, а також своєчасно та в повному обсязі сплачувати інші платежі за послуги, надані Товарною біржею.</p> <p>2.4.3. При здійсненні діяльності на Товарній біржі дотримуватись чинного законодавства, Правил, Положення, інших внутрішніх документів Товарної біржі, що регулюють біржову торгівлю, а також вимог та показників, що обмежують ризики діяльності на організованих товарних ринках, встановлених чинним законодавством та Товарною біржею.</p> <p>2.4.4. Виконувати розрахунки по своїх угодах відповідно до Правил, інших внутрішніх документів Товарної біржі, що регламентують біржову торгівлю по відповідному напрямку (біржовому товару), та своєчасно інформувати Товарну біржу про зміни в своєму фінансовому стані, а також про інші зміни, що можуть негативно вплинути на виконання зобов'язань перед клієнтами, іншими учасниками біржових торгів та Товарною біржею.</p> <p>2.4.5. Вести облік здійснених біржових операцій на Товарній біржі за власними операціями та за кожним клієнтом окремо і зберігати таку інформацію протягом щонайменше трьох років.</p> <p>2.4.6. Надавати необхідну інформацію про себе та підтверджуючі документи, визначені Товарною біржею, з метою здійснення Товарною біржею на виконання Закону України «Про запобігання та протидію легалізації (відмиванню) доходів,</p>

<p>Weapons" for identification and verification of the Clients.</p> <p>2.4.7. Inform the Commodity Exchange in writing about all changes made to the documents provided by the Lessee for the conclusion of this Agreement, including changes in address and details, within 3 (three) working days from the date of occurrence / occurrence of such changes.</p> <p>2.4.8. Disclose information on exchange transactions in the manner, amount and within the time limits prescribed by law.</p>	<p>одержаних злочинним шляхом, фінансуванню тероризму та фінансуванню розповсюдження зброї масового знищення» ідентифікації та верифікації клієнтів.</p> <p>2.4.7. Письмово інформувати Товарну біржу про всі зміни, внесені в надані Орендарем для укладення даного Договору документи, у тому числі про зміну адреси та реквізитів, протягом 3 (трьох) робочих днів з моменту виникнення/настання таких змін.</p> <p>Розкривати інформацію про здійснені біржові операції в порядку, обсягах та у строки, передбачені законодавством.</p>
<p style="text-align: center;">3. Lease term</p> <p>3.1. The term of lease of the exchange place is: from "___" 202__ and is valid until "___" 202_.</p> <p>3.2. The lease of the exchange place at the Commodity Exchange shall be terminated ahead of time and the Agreement shall be terminated:</p> <p>3.2.1. In case of liquidation or reorganization (except transformation) of the Lessee or termination of the Lessee's activity as a natural person-entrepreneur.</p> <p>3.2.2. At the initiative of the Lessee (in the case of Lessee fully fulfills its obligations under the Commodity Exchange and other participants of exchange trading, under exchange agreements (exchange contracts)) and subject to written notice no later than 10 (ten) calendar days before the scheduled date of termination of membership.</p> <p>3.2.3. At the initiative of the Commodity Exchange to terminate this Agreement, and the Commodity Exchange shall notify the Lessee in writing within 14 (fourteen) calendar days.</p> <p>3.2.4. According to the decision of the Commodity Exchange on termination of membership in cases of violation by the Lessee, its authorized broker (s) of the legislation of Ukraine governing exchange trading, Rules, other internal documents of the Commodity Exchange, this Agreement, and the Commodity Exchange warns the Lessee within 3 (three) working days from the date of such decision.</p> <p>3.2.5 By agreement of the parties.</p> <p>3.2.6. In other cases provided by the current legislation of Ukraine, the Charter of the</p>	<p style="text-align: center;">3. Строк оренди</p> <p>3.1.Строк оренди біржового місця становить: з «___» 202__ року і діє до «___» 202_ року.</p> <p>3.2.Оренда біржового місця на Товарній біржі достроково припиняється та Договір розривається:</p> <p>3.2.1. У випадку ліквідації або реорганізації (крім перетворення) Орендаря або припинення діяльності Орендаря як фізичної особи-підприємця.</p> <p>3.2.2. За ініціативою Орендаря (у разі виконання у повному обсязі Орендарем своїх зобов'язань перед Товарною біржею та іншими учасниками біржових торгів, за біржовими угодами (біржовими договорами)) та за умови письмового повідомлення не пізніше ніж за 10 (десять) календарних днів до запланованої дати припинення членства.</p> <p>3.2.3. За ініціативою Товарної біржі щодо припинення дії даного Договору, про що Товарна біржа письмово попереджає Орендаря за 14 (чотирнадцять) календарних днів.</p> <p>3.2.4. За рішенням Товарної біржі про припинення членства у випадках порушення Орендарем, його уповноваженим (-ими) брокером (брокерами) законодавства України, що регулює біржову торгівлю, Правил, інших внутрішніх документів Товарної біржі, цього Договору, про що Товарна біржа попереджає Орендаря протягом 3 (трьох) робочих днів з дня прийняття такого рішення.</p>

<p>Commodity Exchange, the Rules, Regulations and other internal documents of the Commodity Exchange regulating exchange trade.</p> <p>3.3. If during 1 month till the date of the Agreement termination, none of the Parties submit an application for termination or changes of terms of the Agreement, this Agreement is to be considered as prolonged for one calendar year with the same conditions stipulated by the Agreement. This Item means an opportunity of automative prolongation of the Agreement.</p>	<p>3.2.5. За згодою сторін.</p> <p>3.2.6. В інших випадках, передбачених чинним законодавством України, Статутом Товарної біржі, Правилами, Положенням та іншими внутрішніми документами Товарної біржі, що регламентують біржову торгівлю.</p> <p>3.3. Якщо протягом одного місяця до дати закінчення Договору, жодна зі Сторін не подасть заяву про припинення або зміну умов Договору, цей Договір вважається продовженим на один календарний рік на тих самих умовах, які були передбачені Договором. Даний пункт означає можливість автоматичної багаторазової пролонгації Договору.</p>
<p style="text-align: center;">4. Rent</p> <p>4.1. The Lessee pays the rent of the exchange place in the amount of ____ (_____) US dollars / EUR, including VAT, on the basis of the invoice.</p> <p>4.2. The rent is paid by the Lessee to the account of the Lessor within 5 (five) banking days after signing this Agreement.</p> <p>4.3. Settlements between the Parties are made exclusively in non-cash form by transferring funds to the current account of the Lessor.</p>	<p style="text-align: center;">4.Орендна плата</p> <p>4.1. Орендар сплачує вартість оренди біржового місця у розмірі ____ (_____) долари США/євро, в т.ч. ПДВ, на підставі виставленого рахунку.</p> <p>4.2. Орендна плата сплачується Орендарем на рахунок Орендодавця протягом 5 (п'яти) банківських днів після підписання цього Договору.</p> <p>4.3. Розрахунки між Сторонами проводяться виключно у безготівковій формі шляхом перерахування коштів на поточний рахунок Орендодавця.</p>
<p style="text-align: center;">5. Responsibilities of the Parties</p> <p>5.1 For non-fulfillment or improper fulfillment of the terms of this Agreement, the Parties shall be liable in accordance with the current legislation of Ukraine.</p> <p>5.2. In case of violation by the Lessee, its authorized broker (brokers) of current legislation governing exchange trading, Rules, Regulations, other internal documents of the Commodity Exchange governing exchange trade, this Agreement, the Commodity Exchange has the right to terminate the Lessee's membership in the Commodity Exchange and terminate the Contract.</p> <p>5.3. All disputes arising out of or in connection with this Agreement shall be settled by negotiation between the Parties.</p> <p>5.4. If the relevant dispute cannot be resolved through negotiations, it shall be resolved in court in</p>	<p style="text-align: center;">5. Відповідальність Сторін</p> <p>5.1 За невиконання або неналежного виконання умов цього Договору, Сторони несуть відповідальність згідно чинного законодавства України.</p> <p>5.2. У разі порушення Орендарем, його уповноваженим брокером (брокерами) чинного законодавства, що регулює біржову торгівлю, Правил, Положення, інших внутрішніх документів Товарної біржі, що регламентують біржову торгівлю, цього Договору, Товарна біржа має право припинити членство Орендаря на Товарній біржі та розірвати цей Договір.</p> <p>5.3. Усі спори, що виникають з цього Договору або пов'язані із ним, вирішуються шляхом переговорів між Сторонами.</p> <p>5.4. Якщо відповідний спір неможливо вирішити шляхом переговорів, він вирішується в</p>

<p>accordance with the established jurisdiction of such dispute in accordance with the legislation of Ukraine in force.</p>	<p>судовому порядку за встановленою підвідомчістю та підсудністю такого спору відповідно до чинного в Україні законодавства.</p>
<p>6. Other provisions</p> <p>6.1. The Agreement enters into force upon signing by the Parties and is valid until the expiration of the lease of the exchange place specified in paragraph 3.1 of the Agreement (subject to the possibility of extending the validity in accordance with paragraph 3.3 of this Agreement).</p> <p>6.2. The Lessee has no right to transfer to another person his right to participate in exchange trading and / or to transfer the exchange place under the sublease.</p> <p>6.3. Any changes and additions to this Agreement shall be made in writing by signing by both Parties the relevant Additional Agreement, which is an integral part of this Agreement.</p> <p>6.4. In cases not provided for in this Agreement, the Parties shall be governed by the current legislation of Ukraine.</p> <p>6.5. The Agreement shall be duly signed by the Parties and sealed by the Parties (if any) and transmitted by electronic means and has a power of original before the time the Parties make an exchange of the originals of the Agreement.</p> <p>6.6. This Agreement is concluded in two original copies, one for the Lessor and the Lessee. All copies have the same legal force. The agreement is concluded in Ukrainian and English. In the event of any discrepancies or any differences in the meaning of the terms, preference shall be given to the text of this Agreement, set forth in the Ukrainian language.</p>	<p>6.Інші умови</p> <p>6.1. Договір набуває чинності з моменту його підписання Сторонами і діє до закінчення строку оренди біржового місця, вказаного у п. 3.1 Договору (з урахуванням можливості продовження терміну дії відповідно до п. 3.3 цього Договору).</p> <p>6.2.Орендар не має права передавати іншій особі своє право участі в біржових торгах та/або передавати біржове місце в суборенду.</p> <p>6.3. Будь-які зміни та доповнення до цього Договору здійснюються у письмовій формі, шляхом підписання обома Сторонами відповідної Додаткової угоди, яка є невід'ємною частиною цього Договору.</p> <p>6.4.У випадках, не передбачених даним Договором, Сторони керуються чинним законодавством України.</p> <p>6.5.Договір належним чином підписаний Сторонами та скріплений печатками Сторін (за наявності) та переданий засобами електронного зв'язку, має силу оригіналу, до обміну Сторонами оригіналами екземплярів даного Договору.</p> <p>6.6.Даний Договір укладено у двох оригінальних примірниках по одному для Орендодавця та Орендаря. Усі примірники мають однакову юридичну силу. Договір укладено українською та англійською мовами. У разі виникнення різночитань або будь-яких розбіжностей в смислового змісті термінів перевага надається тексту цього Договору, викладеному українською мовою.</p>
<p>7. Details and signatures of the Parties</p> <p>COMMODITY EXCHANGE: "UKRAINIAN UNIVERSAL EXCHANGE" LIMITED LIABILITY COMPANY Location: Ukraine, 36039, Poltava, st. Shevchenko, building 52 EDRPOU code 25158707</p>	<p>7. Реквізити та підписи Сторін</p> <p>ТОВАРНА БІРЖА: ТОВАРИСТВО З ОБМЕЖЕНОЮ ВІДПОВІДАЛЬНІСТЮ "УКРАЇНСЬКА УНІВЕРСАЛЬНА БІРЖА" Місцезнаходження: Україна, 36039, м. Полтава, вул. Шевченка, буд. 52</p>

<p>Account in IBAN format: UA233223130000026004000052244 in JSC "UKREXIMBANK" SWIFT: EXBSUAUXXXX ITN 251587016013 Phone: 0532-50-92-91 Email: info@uub.com.ua</p> <p>Correspondent Bank (for EUR): DEUTSCHE BANK AG FRANKFURT AM MAIN SWIFT: DEUT DE FF ACCOUNT NUMBER 100949876710</p> <p>Correspondent Bank (for USD): JP MORGAN CHASE BANK NA NEW YORK USA SWIFT: CHAS US 33 ACCOUNT NUMBER 400-124432</p> <p>Chairman of the Supervisory Board _____ Oleksandr MAKOVYI</p> <p>LESSEE: _____ _____</p> <p>Location: _____ Bank name: _____ Bank address: _____ Bank details (USD): _____ Bank details (EUR): _____ SWIFT: _____ Tel./fax: _____ Email: _____ _____ _____</p> <p>_____ Last name, first name and patronymic (if any)</p>	<p>Код ЄДРПОУ 25158707 Рахунок у форматі IBAN: UA233223130000026004000052244 в АТ “УКРЕКСІМБАНК” SWIFT: EXBSUAUXXXX ПІН 251587016013 Телефон: 0532-50-92-91 Електронна пошта: info@uub.com.ua</p> <p>Correspondent Bank (for EUR): DEUTSCHE BANK AG FRANKFURT AM MAIN SWIFT: DEUT DE FF ACCOUNT NUMBER 100949876710</p> <p>Correspondent Bank (for USD): JP MORGAN CHASE BANK N.A. NEW YORK USA SWIFT: CHAS US 33 ACCOUNT NUMBER 400-124432</p> <p>Голова Наглядової ради _____ Олександр МАКОВИЙ</p> <p>ОРЕНДАР: _____ _____</p> <p>Місцезнаходження: _____ Найменування банку: _____ Адреса банку: _____ Банківські реквізити (USD): _____ Банківські реквізити (EUR): _____ SWIFT: _____ Тел./факс: _____ E-mail: _____ _____ _____</p> <p>_____ Прізвище, ім'я та по- батькові (за наявності)</p>
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**Appendix No. 14
to the Regulations of
organization and conduct
of exchange trading
of untreated timber
and lumber at the commodity exchange -
Limited Liability Company
"UKRAINIAN UNIVERSAL EXCHANGE"**

<p style="text-align: center;">Application for accession to the Regulations on the organization and conduct of exchange auctions for the purchase and sale of untreated timber and lumber at the commodity exchange - Limited Liability Company "UKRAINIAN UNIVERSAL EXCHANGE"</p> <p>The applicant</p> <hr/> <p style="text-align: center;">(legal entity full name/individual engaged in entrepreneurial activity)</p> <p>Code / number from the Certificate of registration or extracts from the bank, trade or court register or registration Certificate of the authorized body of a foreign state on the registration of a legal entity _____ in the person of, acting on the basis of _____</p> <p>by signing this Application joins the terms of the Regulations on the organization and conduct of exchange auctions for the purchase and sale of untreated timber and lumber at the commodity exchange - Limited Liability Company UKRAINIAN UNIVERSAL EXCHANGE (hereinafter - the Regulations), posted at the Exchange's website at: https://www.uub.com.ua/, in the version in force on the date of signing this Application, and all subsequent changes, approved in the prescribed manner, accepts all the rights and obligations set out in the Regulations for the Auction Participant, and undertakings to properly implement them.</p> <p>We are responsible for the accuracy of the information provided.</p> <p>"__" "_____" 20____</p> <hr/> <p>(position of the manager) (signature) (full name)</p> <p style="text-align: center;">SEAL (if any)</p>	<p style="text-align: center;">Заява про приєднання до Регламенту з організації та проведення біржових торгів з купівлі-продажу необробленої деревини та пиломатеріалів на товарній біржі – Товариство з обмеженою відповідальністю «УКРАЇНСЬКА УНІВЕРСАЛЬНА БІРЖА»</p> <p>Заявник</p> <hr/> <p style="text-align: center;">(повне найменування юридичної особи/фізичної особи, що здійснює підприємницьку діяльність)</p> <p>Код/номер із свідоцтва про реєстрацію або виписки з банківського, торговельного або судового реєстру або реєстраційного посвідчення уповноваженого органу іноземної держави про реєстрацію юридичної особи _____ в особі _____, що діє на підставі _____</p> <p>підписанням цієї Заяви приєднується до умов Регламенту з організації та проведення біржових торгів з купівлі-продажу необробленої деревини та пиломатеріалів на товарній біржі –Товариство з обмеженою відповідальністю «УКРАЇНСЬКА УНІВЕРСАЛЬНА БІРЖА» (далі – Регламент), що розміщені на вебсайті Біржі за адресою: https://www.uub.com.ua/, в редакції, чинній на дату підписання цієї Заяви, та всіма подальшими змінами, затвердженими у встановленому порядку, приймає всі права та обов'язки, встановлені в Регламенті для учасника аукціону, та зобов'язується їх належним чином виконувати.</p> <p>Несемо відповідальність за достовірність наданої інформації.</p> <p>«__» _____ 20____ року</p> <hr/> <p>(посада керівника) (підпис) (Прізвище, ім'я та по- батькові (за наявності)</p> <p style="text-align: center;">м.п. (за наявності)</p>
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Appendix No. 15
to the Regulations of
organization and conduct
of exchange trading
of untreated timber
and lumber at the commodity exchange -
Limited Liability Company
"UKRAINIAN UNIVERSAL EXCHANGE"

<p>Limited Liability Company "UKRAINIAN UNIVERSAL EXCHANGE"</p> <p>APPLICATION on accession to the Agreement</p> <p><i>According to Art. 634 of the Civil Code of Ukraine by signing and submitting this Application for Accession to the Agreement (hereinafter - the Application)</i> Limited Liability Company "UKRAINIAN UNIVERSAL EXCHANGE" (Company code 25158707) <i>(hereinafter - the Exchange),</i></p>	<p>Товариству з обмеженою відповідальністю «УКРАЇНСЬКА УНІВЕРСАЛЬНА БІРЖА»</p> <p>З А Я В А про приєднання до Договору</p> <p><i>Відповідно до ст. 634 Цивільного кодексу України шляхом підписання та подання цієї Заяви про приєднання до Договору (далі по тексті - Заява) Товариству з обмеженою відповідальністю «УКРАЇНСЬКА УНІВЕРСАЛЬНА БІРЖА» (код ЄДРПОУ 25158707)</i> <i>(надалі - Біржа),</i></p>
<p>(full name)</p> <p>Code / number from the Certificate of registration or extracts from the bank, trade or court register or registration Certificate of the authorized body of a foreign state on the registration of a legal entity/ an individual engaged in entrepreneurial activity _____,</p> <p>location _____</p> <p>_____ in the person of _____,</p> <p>(position, full name) _____,</p> <p>acting on the basis of _____.</p> <p>hereinafter referred to as - the Participant intends to carry out activities at the Exchange for the purchase and sale of untreated timber / lumber at exchange trades (auctions), organized and conducted by the Exchange or the Exchange with the involvement of the Agent in accordance with the Regulations on organization and conduction of untreated timber and lumber exchange trades at the Commodity Exchange - UKRAINIAN UNIVERSAL EXCHANGE Limited Liability Company (hereinafter - the Regulations), and accession to the Agreement, which is Annex No. 9 to the Regulations, and posted on the official website: https://www.uub.com.ua/.</p>	<p>(повне найменування)</p> <p>Код/номер із свідоцтва про реєстрацію або виписки з банківського, торговельного або судового реєстру або реєстраційного посвідчення уповноваженого органу іноземної держави про реєстрацію юридичної особи/ фізичної особи, що здійснює підприємницьку діяльність; _____,</p> <p>місцезнаходження _____</p> <p>_____ в _____ особі _____</p> <p>_____ (посада, прізвище, ім'я та по-батькові (за наявності) _____</p> <p>що діє на підставі _____.</p> <p>іменований надалі - Учасник, має намір здійснювати на Біржі діяльність з купівлі-продажу необробленої деревини/пиломатеріалів на біржових торгах (аукціонах), організацію і проведення яких здійснює Біржа або Біржа із залученням Агента згідно з Регламентом з організації та проведення біржових торгів з купівлі-продажу необробленої деревини та пиломатеріалів на товарній біржі – Товариство з обмеженою відповідальністю «УКРАЇНСЬКА УНІВЕРСАЛЬНА БІРЖА» (далі по тексті - Регламент), та приєднується до Договору, що є</p>

<p>1. By signing this Application, the Participant certifies that he is acquainted with the terms of the above Agreement, Regulations, fully and unconditionally accepts their terms, agrees with them and undertakes to comply with them, as well as agrees to the processing of personal data.</p> <p>2. Submission of this Application is the basis for the Exchange to take measures aimed at verifying the compliance of information and data with the conditions established by the Regulations.</p> <p>3. Information on the persons responsible for the implementation of the Agreement on behalf of the Participant.</p>	<p>Додатком № 9 до Регламенту, та розміщений на офіційному вебсайті Біржі: https://www.uub.com.ua/.</p> <ol style="list-style-type: none"> Учасник підписанням цієї Заяви засвідчує, що ознайомлений з умовами вищезазначеного Договору, Регламентом, в повному та безумовному обсязі приймає їх умови, погоджується з ними та зобов'язується їх виконувати, а також надає згоду на обробку персональних даних. Подання цієї Заяви є підставою для здійснення Біржею заходів, спрямованих на перевірку відповідності інформації та відомостей умовам, встановленим Регламентом. Інформація про відповідальних осіб за виконання Договору від імені Учасника
<p><i>(Full name, telephone, e-mail)</i></p> <p>PARTICIPANT DATA: Location: _____ Name of the Bank: _____ Address of the Bank: _____ Bank data (USD): _____ Bank data (EUR): _____ SWIFT: _____ Tel./Fax: _____ Email: _____</p> <p>"__" "__" 20__</p>	<p><i>(Прізвище, ім'я та по-батькові (за наявності), телефон та e-mail)</i></p> <p>РЕКВІЗИТИ УЧАСНИКА: Місцезнаходження: _____ Найменування банку: _____ Адреса банку: _____ Банківські реквізити (USD): _____ Банківські реквізити (EUR): _____ SWIFT: _____ Тел./факс: _____ E-mail: _____</p> <p>«__» __ 20__ року</p>
<p>(position of the manager) (signature) (full name)</p> <p>SEAL (if any)</p>	<p>(посада керівника) (підпис) (Прізвище, ім'я та по-батькові (за наявності))</p> <p>м.п. (за наявності)</p>

Annex No. 16
to the Regulations on organization and conduct
of purchase and sale exchange auctions
of untreated timber and lumber
at the Limited Liability Company
UKRAINIAN UNIVERSAL EXCHANGE

SAMPLE
OF ANALOGUE OF HANDWRITTEN SIGNATURE
(FACSIMILE REPRODUCTION USING MECHANICAL COPYING FACILITIES -
FACSIMILE) OF THE AUTHORIZED PERSON OF THE EXCHANGE – THE HEAD OF
SUPERVISORY BOARD
OLEKSANDR MAKOVYI

A facsimile reproduction of a handwritten signature in blue ink. The signature is cursive and appears to read 'Oleksandr Makovyi'.